

# Lie brackets and interpolation for controllability.

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## Small-time local controllability (STLC)

Let  $f_0, f_1 : \mathbb{R}^n \rightarrow \mathbb{R}^n$ , real-analytic, with  $f_0(0) = 0$ . Consider:

$$\dot{x} = f_0(x) + u(t)f_1(x). \quad (\star)$$

### Definition

We say that  $(\star)$  is STLC when, for every  $T, \eta > 0$ , there exists  $\delta > 0$  such that, for every  $x^* \in \mathbb{R}^n$  with  $|x^*| \leq \delta$ , there exists  $u \in L^\infty((0, T); \mathbb{R})$  such that  $x(T; u, 0) = x^*$  and  $\|u\|_\infty \leq \eta$ .

= Local surjectivity at  $(0, 0)$  of the input-output map

$$\left| \begin{array}{l} \mathcal{F} : \mathbb{R} \times L^\infty \rightarrow \mathbb{R}^n \\ (T, u) \mapsto x(T; u, 0) \end{array} \right.$$

**Goal:** Find conditions on  $f_0$  and  $f_1$  for  $(\star)$  to be STLC or not.

## Some examples

Linear theory (Kalman rank condition):

$$\begin{cases} \dot{x}_1 = u, \\ \dot{x}_2 = x_1, \end{cases}$$

Quadratic theory (looks **bad**):

$$\begin{cases} \dot{x}_1 = u, \\ \dot{x}_2 = x_1^2. \end{cases}$$

Cubic theory (looks **good**):

$$\begin{cases} \dot{x}_1 = u, \\ \dot{x}_2 = x_1^3. \end{cases}$$

## Why Lie brackets? (# 1)

Lie brackets measure the lack of commutativity between motions.  
For vector fields  $f, g \in C^\omega(\mathbb{R}^n; \mathbb{R}^n)$ ,  $[f, g]$  is the vector field

$$[f, g](x) := Dg(x) \cdot f(x) - Df(x) \cdot g(x).$$

**Example:** If  $\dot{x} = f_0(x) + u(t)f_1(x)$ ,  $x(0) = 0$  and one uses

$$\begin{cases} u(t) = +\eta & \text{for } t \in (0, \tau), \\ u(t) = -\eta & \text{for } t \in (\tau, 2\tau), \end{cases}$$

then

$$x(2\tau; u, 0) = \tau^2 \eta [f_1, f_0](0) + \mathcal{O}(\tau^3).$$

For **all** systems, one can move towards **both**  $\pm [f_1, f_0](0) \in \mathbb{R}^n$ .  
The underlying “abstract” Lie bracket  $[X_1, X_0]$  is “good”.

## Notations for brackets

- ▶ Let  $X := \{X_0, X_1\}$  be non-commutative **indeterminates**
- ▶ Let  $\mathcal{A}(X)$  be the **free algebra** over  $X$ , i.e. the vector space of non-commutative polynomials, e.g.  $7X_0^2 + 3X_1X_0 + 2X_0X_1$
- ▶ Let  $\mathcal{L}(X)$  the **free Lie algebra** over  $X$ , i.e. the smallest vector subspace of  $\mathcal{A}(X)$  containing  $X_0, X_1$ , and stable by the Lie bracket (commutator) operation  $[a, b] := ab - ba$
- ▶ One can “**evaluate**” (although not injective)

$$b \in \mathcal{L}(X) \leftrightarrow f_b \in C^\omega(\mathbb{R}^n; \mathbb{R}^n) \leftrightarrow f_b(0) \in \mathbb{R}^n$$

$$[X_1, X_0] = X_1X_0 - X_0X_1 \rightarrow [f_1, f_0] = (Df_0)f_1 - (Df_1)f_0 \rightarrow [f_1, f_0](0)$$

## The Lie algebra rank condition

$$\dot{x} = f_0(x) + u(t)f_1(x). \quad (\star)$$

Theorem (Hermann 1963, Nagano 1966)

If  $(\star)$  is STLC, then it satisfies

$$\text{Lie}(f_0, f_1)(0) := \text{span} \{f_b(0); b \in \mathcal{L}(X)\} = \mathbb{R}^n. \quad (\text{LARC})$$

**For non-zero drift**  $f_0 \neq 0$ , (LARC) is not sufficient.

$$\begin{cases} \dot{x}_1 = u, \\ \dot{x}_2 = x_1^2, \end{cases}$$

has  $f_{X_1}(0) = f_1(0) = e_1$  and  $f_{W_1}(0) = [f_1, [f_1, f_0]](0) = 2e_2$ .

The quadratic Lie bracket  $W_1 := [X_1, [X_1, X_0]]$  looks like a “bad” bracket, associated with a signed motion in an oriented direction.

## Why Lie brackets? (#2)

Consider

$$\dot{x} = f_0(x) + u(t)f_1(x) \quad \text{with} \quad f_0(0) = 0$$

$$\dot{y} = g_0(y) + u(t)g_1(y) \quad \text{with} \quad g_0(0) = 0.$$

Theorem (Nagano 1968, Krener 1973, Sussmann 1974, 1985)

*The two systems are diffeomorphic **iff** same vectorial structure:*

$$\{b \in \mathcal{L}(X); f_b(0) = 0\} = \{b \in \mathcal{L}(X); g_b(0) = 0\}.$$

Hence, **the vectors  $f_b(0)$  contain all the information for STLC.**

## Goal of this talk

$$\dot{x} = f_0(x) + u(t)f_1(x) \quad (\star)$$

- ▶ Prove sufficient/necessary conditions of STLC formulated in terms of Lie brackets of  $f_0$  and  $f_1$  evaluated at 0
- ▶ With a new strategy :
  - ▶ to go further on ODEs
  - ▶ to prepare the transfer to PDEs

Definition ( $m \in \llbracket -1, \infty \rrbracket$ )

( $\star$ ) is  $W^{m,\infty}$ -STLC when,  $\forall T, \eta > 0$ ,  $\exists \delta > 0$  st  $\forall x^* \in \mathbb{R}^n$  with  $|x^*| \leq \delta$ ,  $\exists u \in W^{m,\infty}(0, T)$  st  $x(T; u, 0) = x^*$  and  $\|u\|_{W^{m,\infty}} \leq \eta$ .

$(W^{m,\infty}\text{-STLC}) \Rightarrow (L^\infty\text{-STLC}) \Rightarrow (W^{-1,\infty}\text{-STLC}) = (\text{small-state STLC})$



## Computing the state using Lie brackets

$$\dot{x} = f_0(x) + u(t)f_1(x) \qquad x(0) = 0$$

Theorem (Beauchard, Le Borgne, Marbach 2020)

$$x(t; u) = \sum_b \eta_b(t, u) f_b(0) + O(\text{"remainders"}) + o(x(t; u)).$$

The sum

- ▶ ranges over elements  $b$  of a basis of  $\mathcal{L}(X)$
- ▶ involves system-dependent vectors  $f_b(0) \in \mathbb{R}^n$
- ▶ universal functionals  $\eta_b(t, u)$  homogeneous:  
 $\eta_b(t, \epsilon u) = \epsilon^{n_1(b)} \eta_b(t, u) \qquad \eta_b(\epsilon, u(\frac{\cdot}{\epsilon})) = \epsilon^{|b|} \eta_b(1, u) \quad \dots$

**Caution:** The full sum does not converge, even with analyticity. One has to consider (possibly infinite) truncations (wrt  $t$ , or  $u$ , or a parameter). And well chosen bases of  $\mathcal{L}(X)$ . **This is not a Taylor expansion, but a csq of a Magnus-type formula.**

## State-of-the-art about sufficient conditions

$$\dot{x} = f_0(x) + u(t)f_1(x). \quad (\star)$$

Known sufficient conditions for STLC share a common structure:

### Theorem

Assume (LARC) and that, for every  $b \in \mathfrak{B}$ ,

$$f_b(0) \in \text{span} \{f_g(0); \omega(g) < \omega(b)\}.$$

Then  $(\star)$  is STLC.

- ▶  $\mathfrak{B} \subset \mathcal{L}(X)$  is a set of “potentially bad” brackets, which you do not know how to use with your current technology
- ▶  $\omega : \mathcal{L}(X) \rightarrow \mathbb{R}$  is a “weight” which sorts the brackets according to a small-parameter limit you are considering

## Version #1: Linear test

An example:

$$\begin{cases} \dot{x}_1 = u + x_1^3, \\ \dot{x}_2 = x_1 + x_1^2 + x_3^5, \\ \dot{x}_3 = x_2 + x_2^4. \end{cases}$$

## Version #1: Linear test

$$\dot{x} = f_0(x) + u(t)f_1(x). \quad (\star)$$

### Theorem (Kalman 1960)

*The result holds with*

- ▶  $\mathfrak{B} := \{b \in \mathcal{L}(X); n_1(b) \geq 2\}$
- ▶  $\omega(b) := n_1(b)$

Indeed, use a control of the form  $u(t) = \varepsilon \bar{u}(t)$ , then

$$\begin{aligned} x(t; u, 0) &\approx \sum_b \varepsilon^{n_1(b)} \eta_b(t, \bar{u}) f_b(0) \\ &\approx \varepsilon \left( \sum_{n_1(b)=1} \eta_b(t, \bar{u}) f_b(0) + \varepsilon \sum_{n_1(b) \geq 2} \dots \right) \end{aligned}$$

When  $n_1(b) = 1$ ,  $b = \pm \text{ad}_{X_0}^k(X_1)$  and  $f_b(0) = \pm (Df_0(0))^k f_1(0)$ .

## Version #2: Hermes condition

An example:

$$\begin{cases} \dot{x}_1 = u, \\ \dot{x}_2 = x_1^3 + x_1^4, \\ \dot{x}_3 = x_2^5 + x_1^{16}. \end{cases}$$

## Version #2: Hermes condition

$$\dot{x} = f_0(x) + u(t)f_1(x). \quad (\star)$$

### Theorem (Sussmann 1983)

*The result holds with*

- ▶  $\mathfrak{B} := \{b \in \mathcal{L}(X); n_1(b) \text{ is even}\}$
- ▶  $\omega(b) := n_1(b)$

Indeed, use a control of the form  $u(t) = \varepsilon \bar{u}(t)$ , then

$$\begin{aligned} x(t; u, 0) &\approx \sum_b \varepsilon^{n_1(b)} \eta_b(t, \bar{u}) f_b(0) \\ &\approx \sum_{j \geq 0} \varepsilon^{2j+1} \left( \sum_{n_1(b)=2j+1} \eta_b(t, \bar{u}) f_b(0) + \varepsilon \sum_{n_1(b)=2j+2} \eta_b(t, \bar{u}) f_b(0) \right) \end{aligned}$$

**Key point:** Odd terms aren't signed since  $\eta_b(t, -u) = -\eta_b(t, u)$ .  
Back to the local inversion thm thanks to  $\Gamma$ -controls  $N$ -normal.

### Version #3: $\mathcal{S}(\theta)$ condition

An example (Jakubczyk, Sussmann 1983):

$$\begin{cases} \dot{x}_1 = u, \\ \dot{x}_2 = x_1, \\ \dot{x}_3 = x_2^2 + x_1^3. \end{cases}$$

If  $u$  is oscillating (contains high frequencies), then  $x_1$  and  $x_2$  also.

Since  $x_1 = \dot{x}_2$ , one can have  $|x_1^3| \gg x_2^2$ .

Involves  $W_2 = \text{ad}_{[X_1, X_0]}^2(X_0)$  and  $\text{ad}_{X_1}^3(X_0)$ .

Another (Stefani, 1985):

$$\begin{cases} \dot{x}_1 = u, \\ \dot{x}_2 = x_1, \\ \dot{x}_3 = x_1^3 x_2. \end{cases}$$

The last one is quartic ... but good!

By time-reversal  $\check{u}(t) = u(T - t)$  then  $x_3(T) \leftarrow -x_3(T)$

## Version #3: $\mathcal{S}(\theta)$ condition

$$\dot{x} = f_0(x) + u(t)f_1(x). \quad (\star)$$

### Theorem (Sussmann 1987)

Let  $\theta \in [0, 1]$ . The result holds with

- ▶  $\mathfrak{B} := \{b \in \mathcal{L}(X); n_1(b) \text{ is even and } n_0(b) \text{ is odd}\}$
- ▶  $\omega(b) := n_1(b) + \theta n_0(b)$

For controls of the form  $u(t) = \varepsilon^{1-\theta} \bar{u}(t/\varepsilon^\theta)$ , then

$$x(t; u, 0) \approx \sum_b \varepsilon^{\omega(b)} \eta_b(t, \bar{u}) f_b(0)$$

**Key point:** Terms with  $n_1(b)$  even and  $n_0(b)$  even aren't signed since, by time reversal,  $\eta_b(t, \check{u}) \approx -\eta_b(t, u)$ .



## Version #4: Agrachev Gamkrelidze 1993, Krastanov 2009

- ▶ much stronger sufficient condition for STLC
- ▶ smaller set  $\mathfrak{B}$  of potentially bad brackets
- ▶ richer control variations
- ▶ new weight

## Version #5: A new condition for quartic terms

An example:

$$\left\{ \begin{array}{l} \dot{x}_1 = u \\ \dot{x}_2 = x_1 \\ \dot{x}_3 = x_2 \\ \dot{x}_4 = x_3 + x_1^2 \\ \dot{x}_5 = x_4 + x_1^4 \\ \dot{x}_6 = x_1^3 + x_1^2 x_2^2 \\ \dot{x}_7 = x_1^3 x_2 \\ \dot{x}_8 = x_1^3 x_3 \\ \dot{x}_9 = x_3^3 x_5 \end{array} \right. \quad \begin{array}{l} + \text{ terms of order higher} \\ \text{than the green one} \\ \text{on each line} \end{array}$$

The last 2 lines correspond to brackets of type (even, odd) but the associated coordinates are simultaneously surjective.

## Version #5: A new condition for quartic terms

### Theorem (KB-Marbach 2023)

$$S_{[[1,4]]}(f)(0) = \mathbb{R}^d$$

$$\forall b \in \mathcal{B}_2^*, \quad f_b(0) \in S_1(f)(0),$$

$$\forall b \in \mathcal{B}_{4,bad}^*, \quad f_b(0) \in S_{[[1,3]]}(f)(0).$$

$\Rightarrow \quad \dot{x} = f_0(x) + u(t)f_1(x) \quad \text{is smoothly-STLC.}$

$$\mathcal{B}_1^*: \quad M_\nu := X_1 0^\nu$$

$$\mathcal{B}_2^*: \quad W_{j,\nu} := (M_{j-1}, M_j) 0^\nu$$

$$\mathcal{B}_3^*: \quad P_{j,k,\nu} := (M_{k-1}, W_{j,0}) 0^\nu$$

$$\mathcal{B}_4^*: \quad Q_{j,k,l,\nu} := (M_{l-1}, P_{j,k,0}) 0^\nu$$

$$Q_{j,\mu,k,\nu}^\# := (W_{j,\mu}, W_k) 0^\nu$$

$$Q_{j,\mu,\nu}^b := (W_{j,\mu}, W_{j,\mu+1}) 0^\nu$$

$$u_{\nu+1}(t) = \int_0^t \frac{(t-\tau)^\nu}{\nu!} u(\tau) d\tau$$

$$\int_0^t \frac{(t-\tau)^\nu}{\nu!} u_j(\tau)^2 d\tau$$

$$\int_0^t \frac{(t-\tau)^\nu}{\nu!} u_j(\tau)^2 u_k(\tau) d\tau$$

$$\int_0^t \frac{(t-\tau)^\nu}{\nu!} u_j(\tau)^2 u_k(\tau) u_l(\tau) d\tau$$

$$\int_0^t \int_0^\tau \frac{(\tau-s)^\mu}{\mu!} u_j(s)^2 ds u_k(\tau)^2 d\tau$$

$$\int_0^t \left( \int_0^\tau \frac{\tau-s)^\mu}{\mu!} u_j(s)^2 ds \right)^2 d\tau$$

$$\mathcal{B}_{4,bad}^* := \{Q_{j,k,k,\nu}; j \leq k\} \cup \{Q_{j,\mu,k,\nu}^\#; j < k\} \cup \{Q_{j,\mu,\nu}^b\}$$

$$\mathcal{B}_{4,good}^* := \{Q_{j,k,l,\nu}; j \leq k < l\} \longrightarrow \text{simult. surjective coordinates}$$

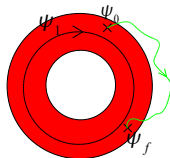
## Example of transfer to a PDE

$$i\partial_t\psi = -\partial_x^2\psi - u(t)\mu(x)\psi$$

$$\psi(t, 0) = \psi(t, 1) = 0$$

Ground state:

$$\psi_1(t, x) := \sqrt{2} \sin(\pi x) e^{-i\pi^2 t}$$



Depending on the assumption on  $\mu$ :

- ▶ linear test + smoothing effect [KB-Laurent 2010]
- ▶ 1 direction lost on the linearized syst and [Bournissou 2022]
  - ▶ quadratic obstruction to  $H^3$ -STLC
  - ▶  $H^2$ -STLC :  $\int_0^T u_3^2 dt + \int_0^T u_1^2 u_2$   
by adaptation of Sussmann's condition  $S(\theta)$  in  $\infty$  dim

**This is the first positive STLC result for a PDE with a nonlinear competition.**

## Sufficient conditions: conclusion

$$\dot{x} = f_0(x) + u(t)f_1(x)$$

We proposed a new methodology to prove SC for STLC

- ▶ approximate representation of the state from the  $f_b(0)$
- ▶ simultaneously surjective coordinates  $\xi_b$

that gives new results and a work plan for PDEs.

The brackets of  $\mathcal{L}(X) \setminus \mathfrak{B}$  are **good**: we know how to use them for controllability.

What about the brackets of  $\mathfrak{B}$ ? Are they really **bad**? Is some kind of compensation condition indeed **necessary** for STLC?

## Necessary #1

$$\dot{x} = f_0(x) + u(t)f_1(x). \quad (\star)$$

Theorem (Sussmann 1983, Stefani 1986)

If  $(\star)$  is  $W^{-1,\infty}$ -STLC, then, for each  $\ell \in \mathbb{N}^*$ ,

$$f_{\text{ad}_{X_1}^{2\ell}}(X_0)(0) \in \text{span}\{f_b(0); n_1(b) \leq 2\ell - 1\}.$$

**Idea:**

$$\begin{aligned} x(t; u, 0) \approx & \sum_{n_1(b) < 2\ell} \eta_b(t, u) f_b(0) + \frac{1}{(2\ell)!} \overbrace{\left( \int_0^t u_1^{2\ell} \right)}^{\text{coercive}} f_{\text{ad}_{X_1}^{2\ell}}(X_0)(0) \\ & + \mathcal{O} \left( t \int_0^t u_1^{2\ell} + \int_0^t |u_1|^{2\ell+1} \right). \end{aligned}$$

where  $u_1(s) := \int_0^s u$ .

## A naive strategy to prove obstructions

$$x(T; u, 0) = \sum_{b \in \mathcal{B}_{[1, M]}} \eta_b(T, u) f_b(0) + O(\|u\|_{W^{-1, \infty}}^{M+1}) + o(|x(T; u)|)$$

- ▶ Choose  $B \in \mathcal{B}$  st the functional  $\eta_B(T, \cdot)$  is signed for  $T$  small.
- ▶ Find  $M \in \mathbb{N}$  st  $\|u\|_{W^{-1, \infty}}^{M+1} = o(\eta_B(T, u))$  when  $(T, \|u\|_{W^{m, \infty}}) \rightarrow 0$ .
- ▶ Determine  $\mathcal{N} = \{b \in \mathcal{B}_{[1, M]}; \eta_b(T, u) \neq o(\eta_B(T, u))\}$ .

Then a necessary condition for STLC is

$$f_B(0) \in \text{Span} \{f_b(0); b \in \mathcal{N}\}$$

because otherwise,  $x(T; u, 0)$  drifts along  $f_B(0)$ .

**Drawback:** The expression of the coordinates  $\eta_b$  is not simple :

- ▶ a principal part  $\xi_b$  ("coordinates of the second kind") : easily computable by recursion, nice for  $\mathcal{B}^*$  : obvious signs
- ▶ a pollution : products of other  $\xi_{b'}$

Example:  $\eta_{W_1}(t, u) = \int_0^t u_1^2 - u_1(t)u_2(t)$  is not signed

# Our unified approach for obstructions to STLC

$$x(T; u) = \sum_{b \in \mathcal{B}_{[1, M]}} \xi_b(T, u) f_b(0) + \text{pollution} + O(\|u\|_{W^{-1, \infty}}^{M+1}) + o(|x(T; u)|)$$

- ▶ Choose  $B \in \mathcal{B}$  such that the functional  $\xi_B(T, \cdot)$  is signed.
- ▶ Find  $M \in \mathbb{N}$  st  $\|u\|_{W^{-1, \infty}}^{M+1} = o(\xi_B(T, u))$  when  $(T, \|u\|_{W^{m, \infty}}) \rightarrow 0$   
using interpolation inequality.
- ▶ Determine  $\mathcal{N} = \{b \in \mathcal{B}_{[1, M]}; \xi_b(T, u) \neq o(\xi_B(T, u))\}$   
using interpolation inequality.
- ▶ Prove  $\text{pollution} = o(\xi_B(T, u)) + o(|x(T; u)|)$  when

$$f_B(0) \notin \text{Span} \{f_b(0); b \in \mathcal{N}\} \quad (\star)$$

using closed loop estimates + interpolation

If  $(\star)$  and  $T, \|u\|_{W^{m, \infty}}$  are small enough then  $x(T; u)$  drifts along  $f_B(0)$ .

Thus a NC for  $W^{m, \infty}$ -STLC is  $f_B(0) \in \text{Span} \{f_b(0); b \in \mathcal{N}\}$ .



Necessary #2 : on  $W_j := \text{ad}_{\text{ad}_{X_0}^{j-1}(X_1)}^2(X_0)$

$$\dot{x} = f_0(x) + u(t)f_1(x). \quad (\star)$$

Theorem (KB-Marbach 2022)

Let  $m \in \llbracket -1, \infty \llbracket$ . If  $(\star)$  is  $W^{m, \infty}$ -STLC, then, for each  $j \in \mathbb{N}$

$$f_{W_j}(0) \in \text{span}\{f_b(0); 2 \neq n_1(b) \leq \pi(j, m)\}.$$

where  $\pi(j, m) = 1 + \left\lceil \frac{2(j-1)}{m+1} \right\rceil$ .

$\xi_{W_j}(t, u) = \int_0^t u_j^2$  is the square of an  $L^2$ -norm thus

Gagliardo-Nirenberg inequalities give the conclusion. For instance

$$\int_0^t |u_1|^{\pi+1} \leq C_t \|u\|_{W^{m, \infty}}^{\pi-1} \int_0^t u_j^2$$

Necessary #3: on  $Q_{j,k} = \text{ad}_{\text{ad}_{X_0}^{k-1}(X_1)}^2 \text{ad}_{\text{ad}_{X_0}^{j-1}(X_1)}^2(X_0)$

### Theorem (KB-Marbach 2023)

Let  $j \leq k \in \mathbb{N}^*$  and  $m \in \llbracket -1, \infty \rrbracket$ .

We assume  $\dot{x} = f_0(x) + u(t)f_1(x)$  is  $W^{m,\infty}$ -STLC and

- ▶ either  $k \leq (2j + m)$ ,
- ▶ or  $(2j + m) < k$  and  $f_{W_j}(0) \in \text{Span}\{f_b(0); b \in \mathcal{B}_{\llbracket 1, \pi \rrbracket}^* \setminus \{2\}\} \setminus \{M_{k-1}\}$ .

Then

$$f_{Q_{j,k}}(0) \in \text{Span}\{f_b(0); b \in \mathcal{B}^*, b \neq Q_{j,k}, n_1(b) \leq M\}$$

where  $M = M(j, k, m) := 3 + \left\lceil \frac{2(k+j-2)}{m+1} \right\rceil$ .

For  $j \neq k$ ,  $\xi_{Q_{j,k}}(t, u) = \int_0^t u_j^2 u_k^2$  is **not** the exponent 4 of a norm, thus new interpolation inequalities are required. For instance

$$\int_0^t |u_1|^{M+1} \leq C_t \|u\|_{W^{m,\infty}}^{M-3} \int_0^t u_j^2 u_k^2$$

[Marbach 2023]

## Necessary #3: on $Q_{j,k}$

In the low regularity case  $(2j + m) < k$ , the absence of component of  $f_{W_j}(0)$  along  $f_{M_{k-1}}(0)$  is necessary for the obstruction to hold.

Example: For every  $\lambda \in \mathbb{R}^*$ , the nilpotent system

$$\begin{cases} \dot{x}_1 = u \\ \dot{x}_2 = x_1 + \lambda x_1^2 \\ \dot{x}_3 = 2x_1^2 x_2 \\ \dot{x}_4 = x_1^2 x_2^2 + \lambda x_1^2 x_3 \end{cases} \quad (1)$$

is  $W^{-1,\infty}$ -STLC despite satisfying  $f_{Q_{1,2,2}}(0) \notin \mathcal{N}_{1,2}^\infty(f)(0)$ .

**Ccl: The splitting pb is better posed in smooth-STLC.**

### Necessary #3: on $Q_{j,k}$ for $m = -1$

$$x(T; u) = \sum_{b \in \mathcal{B}_{[1, M]}} \xi_b(T, u) f_b(0) + \text{pollution} + O\left(\|u\|_{W^{-1, \infty}}^{M+1}\right) + o(|x(T; u)|)$$

In this representation formula, the rest  $\int_0^T |u_1|^{M+1}$  cannot be absorbed by the drift  $\int_0^T u_j^2 u_k^2$  when  $\|u_1\|_\infty$  is small.

For nilpotent systems, this rest does not appear, thus our approach proves the drift.

We use an argument of "embedded nilpotent system" to deduce the drift for non-nilpotent systems.

## Necessary conditions: conclusion, perspectives

$$\dot{x} = f_0(x) + u(t)f_1(x)$$

We have :

**(1)** proposed methodology ingredients to prove NC for STLC:

- ▶ approximate formula for the state from the  $f_b(0)$ ,
- ▶ interpolation inequalities to absorb the remainder by the coercive signed drift and the smallness of the control
- ▶ or embedded nilpotent systems

**(2)** solved the long-standing problem of "splitting"  $\mathcal{L}(X)$  between good and bad brackets, at the level of  $\{n_1 = 4\}$ :

- ▶ a new Hall basis  $\mathcal{B}^*$  of  $\mathcal{L}(X)$ , designed for this purpose,
- ▶ new interpolation inequalities

**Perspectives:** multi-input systems [[Gherdaoui](#)]