

# Obstructions to STLC

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# Program

Talk based on:

- ▶ [arXiv:2012.15653](#) (KB, JLB, FM)  
a survey of expansions for nonlinear systems,
- ▶ [arXiv:2111.14398](#) (KB, JLB, FM)  
bounds on structure constants of Hall bases,
- ▶ [A unified approach of obstructions to small-time local controllability for scalar-input systems](#) (KB, FM),  
soon on arXiv

## An old question

Let  $f_0, f_1 \in C^\infty(\mathbb{R}^n; \mathbb{R}^n)$ , real-analytic, with  $f_0(0) = 0$ . Consider

$$\dot{x} = f_0(x) + u(t)f_1(x)$$

Notation  $x(t; u, p)$  for init. data  $p \in \mathbb{R}^n$  and control  $u \in L^\infty(0, T)$ .

### Definition (Small-time local controllability)

For every  $T, \eta > 0$ , there exists  $\delta > 0$  such that, for every  $x^* \in \mathbb{R}^n$  with  $|x^*| \leq \delta$ , there exists  $u \in L^\infty(0, T)$  such that  $x(T; u, 0) = x^*$  and  $\|u\|_\infty \leq \eta$ .

Necessary and sufficient conditions on  $f_0, f_1$  for STL? Still open!

**Definition is coordinate-invariant, so the answer must be too!**

## Two toy examples

Submanifold case:

$$\begin{cases} \dot{x}_1 = u, \\ \dot{x}_2 = (n+1)ux_1^n \end{cases} \quad (1)$$

hence, for all  $u \in L^\infty(0, T)$ ,  $x(T; u, 0) \in \mathcal{M} := \{(a, a^{n+1}); a \in \mathbb{R}\}$ .

Drift case:

$$\begin{cases} \dot{x}_1 = u, \\ \dot{x}_2 = x_1^{2n} + x_1^{2n+1} \end{cases} \quad (2)$$

hence, when  $T\|u\|_\infty \leq 1$ ,  $x(T; u, 0) \in \mathcal{H}_+ := \mathbb{R} \times \mathbb{R}_+$ .

**We focus on the second case.**

## Known drift results and goals

- ▶ Sussmann, 1983. First quadratic obstruction.
- ▶ Stefani, 1986. Strongest obstruction at each even order.
- ▶ Kawski, 1986. A conjecture on all quadratic obstructions.
- ▶ Kawski, 1987. Second quadratic obstruction.

Despite “easy” drifts, tedious computations and “re-organizations” of the terms of the Chen-Fliess series.

### **Our goals:**

- ▶ Give simpler proofs, in a unified framework.
- ▶ Prove Kawski’s conjecture.
- ▶ Rely on an approach which allows to “easily” conjecture and prove new necessary conditions.

## A key tool: linearization principle

Consider  $\dot{x} = f_0(x) + u(t)f_1(x)$ , which is a nonlinear problem.

Define the zero-order operator

$$L(t) : \begin{cases} C^\infty(\mathbb{R}^n; \mathbb{R}) \rightarrow C^\infty(\mathbb{R}^n; \mathbb{R}) \\ \varphi \mapsto (p \mapsto \varphi(x(t; u, p))) \end{cases}$$

Then,  $\forall \varphi \in C^\infty(\mathbb{R}^n; \mathbb{R}), \forall p \in \mathbb{R}^n$ ,

$$\begin{aligned} \frac{d}{dt}(L(t)\varphi)(p) &= D\varphi(x(t; u, p)) (f_0(x(t; u, p)) + u(t)f_1(x(t; u, p))) \\ &= (L(t)(f_0 \cdot \nabla + u(t)f_1 \cdot \nabla)\varphi)(p) \end{aligned}$$

So, in the weak-wweak sense,  $\dot{L}(t) = L(t)(f_0 \cdot \nabla + u(t)f_1 \cdot \nabla)$ .

We are solving a linear differential equation in  $\text{Op}(C^\infty(\mathbb{R}^n; \mathbb{R}))$ .

# Welcome to algebra

Let  $X := \{X_0, X_1\}$  be non-commutative indeterminates.

Let  $\mathcal{A}(X)$  the free algebra over  $X$ , i.e. the vector space of non-commutative polynomials of  $X_0, X_1$ .

For example  $1 + 7X_0 + (3X_0X_1 + 2X_1X_0) + 42X_1^3 \in \mathcal{A}(X)$ .

$\mathcal{A}(X) = \bigoplus_{n \in \mathbb{N}} \mathcal{A}_n(X)$  (spanned by monomials of degree  $n$ ).

Let  $\widehat{\mathcal{A}}(X)$  the formal series generated by  $\mathcal{A}(X)$ , i.e. sequences  $(a_n)_{n \in \mathbb{N}}$  with  $a_n \in \mathcal{A}_n(X)$ . Notation  $a = \sum_{n \in \mathbb{N}} a_n$ .

For example

$$\left( \sum_{n \in \mathbb{N}} n^n X_0^n + e^{n^3} X_1^n \right) \in \widehat{\mathcal{A}}(X)$$

No convergence issue (here)!

## Formal linear differential equations

Let  $T > 0$  and  $u \in L^\infty(0, T)$ .

Consider the formal differential equation in  $\widehat{\mathcal{A}}(X)$

$$\begin{cases} \dot{x}(t) = x(t)(X_0 + u(t)X_1), \\ x(0) = 1. \end{cases}$$

### Definition

The solution to this formal equation is the formal-series valued function  $x : [0, T] \rightarrow \widehat{\mathcal{A}}(X)$  whose homogeneous components  $x_n : [0, T] \rightarrow \mathcal{A}_n(X)$  are given by  $x_0(t) = 1$  and

$$x_{n+1}(t) = \int_0^t x_n(s)(X_0 + u(s)X_1)ds.$$

If  $u(t) = 7t$ , then  $x_0(t) = 1$ ,  $x_1(t) = tX_0 + \frac{7t^2}{2}X_1$ , ...

If  $u(t) = 0$ , then  $x(t) = \sum_{n \in \mathbb{N}} \frac{t^n}{n!} X_0^n$



## Key observation

Thanks to the linearization principle, any formula obtained at the formal level for the differential equation

$$\dot{x}(t) = x(t)(X_0 + u(t)X_1)$$

will have counterparts for all systems of the form

$$\dot{x}(t) = f_0(x(t)) + u(t)f_1(x(t)).$$

**(Provided we are able to handle convergence issues).**

## The Chen series - The Chen-Fliess expansion

### Theorem (Chen, 1954)

$$x(t) = \sum_{n \in \mathbb{N}} \sum_{\sigma \in \{0,1\}^n} c_{\sigma}(t, u) X_{\sigma_1} \cdots X_{\sigma_n},$$

where

$$c_{\sigma}(t, u) := \int_{0 < \tau_1 < \cdots < \tau_n < t} \left( \prod_{i=1}^n \sigma_i u(\tau_i) \right) d\tau.$$

### Theorem (Sussmann, 1983)

Let  $f_0, f_1 \in C^{\infty}(\mathbb{R}^n; \mathbb{R}^n)$  real-analytic. Let  $u \in L^{\infty}(0, T)$ . For  $t > 0$  small enough, the following series converges absolutely

$$x(t; u, 0) = \sum_{n \in \mathbb{N}} \sum_{\sigma \in \{0,1\}^n} c_{\sigma}(t, u) ((f_{\sigma_1} \cdot \nabla) \cdots (f_{\sigma_n} \cdot \nabla) \text{Id})(0).$$

**Used for all previous results, but some drawbacks.**

## Brackets, free Lie algebras, Lie brackets of vector fields

Let  $\text{Br}(X)$  be the **free magma** over  $X$ . One can see  $\text{Br}(X)$  as binary trees, or parenthesized words. For example,  $X_0 \in \text{Br}(X)$ ,  $(X_0, X_1) \in \text{Br}(X)$ ,  $((X_1, X_1), X_0) \in \text{Br}(X)$ .

Let  $\mathcal{L}(X)$  the **free Lie-algebra** over  $X$ , i.e. the smallest vector subspace of  $\mathcal{A}(X)$  containing  $X_0, X_1$ , and stable by the Lie bracket operation  $[a, b] := ab - ba$ .

For example,  $1 \notin \mathcal{L}(X)$ ,  $X_1^3 \notin \mathcal{L}(X)$  but  $2X_0X_1 - 2X_1X_0 = 2[X_0, X_1] \in \mathcal{L}(X)$ .

For analytic vector fields  $f_0, f_1$ ,  $[f_0, f_1] := (Df_1)f_0 - (Df_0)f_1$ .

One can “evaluate” (although not injective)

$$b \in \text{Br}(X) \mapsto \mathbb{E}(b) \in \mathcal{L}(X) \mapsto f_b \in \mathcal{L}(\{f_0, f_1\})$$

## Lie brackets contain the answer

Consider  $\dot{x} = f_0(x) + u(t)f_1(x)$  with  $f_0(0) = 0$  and  $x(0) = 0$ .

Consider  $\dot{y} = g_0(y) + u(t)g_1(y)$  with  $g_0(0) = 0$  and  $y(0) = 0$ .

### Theorem (Krener, 1973)

*Assume that, for every Lie bracket  $b$ ,*

$$g_b(0) = f_b(0).$$

*Then there exists a (local) diffeomorphism  $\phi$  from  $\mathbb{R}^n \rightarrow \mathbb{R}^n$  with  $\phi(0) = 0$  such that, for all  $u \in L^\infty$  and  $t$  small enough,*

$$y(t; u, 0) = \phi(x(t; u, 0)).$$

**Hence STLC can only depend on  $\{f_b(0)\}$ .**

## Some formal expansions of $x(t)$

The Chen-Fliess expansion, which is not within Lie brackets

$$x(t) = \sum_{n \in \mathbb{N}} \sum_{\sigma \in \{0,1\}^n} c_{\sigma}(t, u) X_{\sigma_1} \cdots X_{\sigma_n}.$$

Given  $\mathcal{B}$  a (Hall) basis of  $\mathcal{L}(X)$ :

$$x(t) = \exp \left( \sum_{b \in \mathcal{B}} \zeta_b(t, u) b \right) \quad \text{Magnus}$$

$$x(t) = \overleftarrow{\prod}_{b \in \mathcal{B}} \exp (\xi_b(t, u) b) \quad \text{Sussmann}$$

$$x(t) = \exp(tX_0) \exp \left( \sum_{b \in \mathcal{B} \setminus \{X_0\}} \eta_b(t, u) b \right) \quad \text{Our mixed one}$$

## Short wrap-up on these expansions for vector fields

Expansion	Chen	Magnus	Mixed-Magnus	Sussmann
Intrinsic	No	Yes	Yes	Yes
Nice coefs.	Yes	No	No	Yes
Yields $x(t)$	Yes	Yes	Yes	Indirectly
$u^M$ estimate	Yes	No (new)	Yes (new)	Yes (new)

## Our choice

Our choice, for SLTC results with  $f_0(0) = 0$ ,

$$\begin{aligned}x(t; u, 0) &= e^{\mathcal{Z}_M(t, f, u)} e^{tf_0(0)} + \mathcal{O}(\|u\|_{W^{-1, \infty}}^{M+1}) \\ &= \mathcal{Z}_M(t, f, u)(0) + o(|x(t; u, 0)|) + \mathcal{O}(\|u\|_{W^{-1, \infty}}^{M+1})\end{aligned}$$

where

$$\mathcal{Z}_M(t, f, u)(0) = \sum_{\substack{b \in \mathcal{B} \\ 1 \leq n_1(b) \leq M}} \eta_b(t, u) f_b(0)$$

where the **infinite** sum converges absolutely within Hall bases, thanks to estimates on the growth of their structure constants.

## Bases of $\mathcal{L}(X)$

There is a canonical basis of  $\mathcal{A}(X)$ , made of all monomials, e.g.  $1, X_0, X_1^9, X_0^2 X_1^3 X_0$ . A basis of  $\mathcal{A}_n(X)$  is thus  $X_{\sigma_1} \cdots X_{\sigma_n}$  with  $\sigma \in \{0, 1\}^n$ . Hence  $\dim \mathcal{A}_n(X) = 2^n$ .

There is no canonical basis of  $\mathcal{L}(X)$ .

By Witt's formula

$$\dim \mathcal{L}_n(X) = \frac{1}{n} \sum_{d|n} \mu(d) 2^{\frac{n}{d}}$$

where  $\mu$  is the Möbius function:  $\mu(d) = 0$  if  $d$  has a squared prime factor, else  $\mu(d) = (-1)^p$  when  $d$  has  $p$  prime factors.

Ex:  $\dim \mathcal{L}_1 = 2, \dim \mathcal{L}_2 = 1, \dim \mathcal{L}_3 = 2, \dim \mathcal{L}_4 = 3,$   
 $\dim \mathcal{L}_5 = 6, \dots$  (vs  $2, 4, 8, 16, 32 \dots$ )



## Hall basis of $\mathcal{L}(X)$

A **Hall set** over  $X$  is a totally ordered subset  $\mathcal{B} \subset \text{Br}(X)$  st.

- ▶  $X_0, X_1 \subset \mathcal{B}$
- ▶ for all  $b_1, b_2 \in \text{Br}(X)$ ,  $(b_1, b_2) \in \mathcal{B}$  iff
  - ▶  $b_1, b_2 \in \mathcal{B}$ ,
  - ▶  $b_1 < b_2$ ,
  - ▶  $b_2 \in X$  or  $b_2 = (b'_2, b''_2)$  where  $b'_2 \leq b_1$
- ▶ for all  $b_1, b_2 \in \mathcal{B}$ , if  $(b_1, b_2) \in \mathcal{B}$ , then  $b_1 < (b_1, b_2)$ .

Theorem (Viennot, 1978)

*The evaluation of a Hall set is a basis of  $\mathcal{L}(X)$ .*

Notations:  $n_0, n_1, |\cdot|, \lambda, \mu$ .

## Examples of classical Hall sets

- ▶ Historical length-compatible ones

$$a < b \Rightarrow |a| \leq |b|$$

- ▶ Chen-Fox-Lyndon basis

$$a < b \Leftrightarrow \text{lexico}(a) < \text{lexico}(b)$$

- ▶ Spitzer-Foata

$$a < b \Rightarrow \frac{n_1(a)}{n_0(a)} \leq \frac{n_1(b)}{n_0(b)}$$

Problem: not well suited to reflect the asymmetry between  $f_0$  and  $u(t)f_1$ , and Taylor expansions wrt.  $u$ .

**Hard to guess which brackets will be good or bad.**

## Our Hall basis

We introduce a new Hall set  $\mathcal{B}^*$  over  $\{X_0, X_1\}$  defined by the following order

- ▶  $X_1$  is minimal and  $X_0$  is maximal.
  - ▶ Thus, for any  $b \in \mathcal{B}^* \setminus \{X_0\}$ , and  $\nu \in \mathbb{N}$ ,  
 $b0^\nu := (\cdots (b, X_0), \dots, X_0) \in \mathcal{B}^*$ .
  - ▶ When  $b = X_1$  or  $\mu(b) \neq X_0$ , we call  $b$  a germ.
- ▶ If  $a < b$  are germs, then  $a0^{\nu_1} < b0^{\nu_2}$  for all  $\nu_1, \nu_2 \in \mathbb{N}$ .
- ▶ If  $a, b$  are germs, then  $a < b$  if and only if
  - ▶ either  $n_1(a) < n_1(b)$ ,
  - ▶ or  $n_1(a) = n_1(b)$  and  $\lambda(a) < \lambda(b)$ ,
  - ▶ or  $n_1(a) = n_1(b)$  and  $\lambda(a) = \lambda(b)$  and  $\mu(a) < \mu(b)$ .

# First elements of the basis

## Proposition

The elements of  $\mathcal{B}^*$  with  $1 \leq n_1(b) \leq 4$  are:

1.  $M_j := X_1 0^j$ ,  $0 \leq j$ ,
2.  $W_{j,\nu} := (M_{j-1}, M_j) 0^\nu$ ,  $1 \leq j, 0 \leq \nu$ ,
3.  $P_{j,k,\nu} := (M_{k-1}, W_{j,0}) 0^\nu$ ,  $1 \leq j \leq k, 0 \leq \nu$ ,
4.
  - ▶  $Q_{j,k,l,\nu} := (M_{l-1}, P_{j,k,0}) 0^\nu$ ,  $j \leq k \leq l, 0 \leq \nu$ ,
  - ▶  $Q_{j,\mu,k,\nu}^\sharp := (W_{j,\mu}, W_{k,0}) 0^\nu$ ,  $j < k, 0 \leq \nu$ ,
  - ▶  $Q_{f,\mu,\nu}^b := (W_{j,\mu}, W_{j,\mu+1}) 0^\nu$ ,  $1 \leq j, 0 \leq \mu, \nu$ .

## Coordinates of the second kind

Let  $\mathcal{B} \subset \text{Br}(X)$  a Hall set. For every  $b \in \mathcal{B} \setminus X$ , there exists a maximal  $k \in \mathbb{N}^*$  such that

$$b = \text{ad}_{b_1}^k(b_2)$$

with  $b_1 < b_2 \in \mathcal{B}$ .

The magical induction formula (Sussmann) is

$$\dot{\xi}_{\text{ad}_{b_1}^k(b_2)}(t) = \frac{(\xi_{b_1}(t))^k}{k!} \dot{\xi}_{b_2}(t)$$

with  $\dot{\xi}_{X_0}(t) = 1$  and  $\dot{\xi}_{X_1}(t) = u(t)$ .

## Coordinates of the second kind in $\mathcal{B}^*$

First, for all  $b \in \mathcal{B}^*$

$$\dot{\xi}_{(b, X_0)} = \xi_b \quad \text{thus} \quad \xi_{b0^\nu}(t, u) = \int_0^t \frac{(t-s)^{\nu-1}}{(\nu-1)!} \xi_b(s, u) ds.$$

### Proposition

1.  $\xi_{M_j} = u_{j+1}(t)$ , the  $(j+1)^{th}$  primitive of  $u$ ,
2.  $\xi_{W_{j,\nu}} = \int_0^t \frac{(t-s)^\nu}{\nu!} u_j^2(s) ds$ ,
3.  $\xi_{P_{j,k,\nu}} = \alpha_{j,k} \int_0^t \frac{(t-s)^\nu}{\nu!} u_j^2(s) u_k(s) ds$ ,  
with  $\alpha_{j,k} = 1/2$  when  $j < k$  else  $1/6$ .
4.
  - ▶  $\xi_{Q_{j,k,l,\nu}} \propto \int_0^t \frac{(t-s)^\nu}{\nu!} u_j(s)^2 u_k(s) u_l(s) ds$
  - ▶  $\xi_{Q_{j,\mu,\nu}^b} \propto \int_0^t \frac{(t-s)^\nu}{\nu!} \left( \int_0^s \frac{(s-s')^\mu}{\mu!} u_j^2(s') ds' \right)^2 ds$
  - ▶  $\xi_{Q_{j,\mu,k,\nu}^\#} \propto \int_0^t \frac{(t-s)^\nu}{\nu!} u_k^2(s) \int_0^s \frac{(s-s')^\mu}{\mu!} u_j^2(s') ds' ds$

# Definitions of STLC

Let  $m \geq -1$ .

## Definition ( $W^{m,\infty}$ STLC (KB-FM, *JDE 2018*))

We say that the system  $\dot{x} = f_0(x) + uf_1(x)$  is  $W^{m,\infty}$  STLC, when: for every  $T, \eta > 0$ , there exists  $\delta > 0$  such that, for every  $x^* \in \mathbb{R}^n$  with  $|x^*| \leq \delta$ , there exists  $u \in L^1(0, T)$  such that  $x(T; u, 0) = x^*$  and  $\|u\|_{W^{m,\infty}} \leq \eta$ .

- ▶ Usual definition (Coron / Kawski) is  $m = 0$ , i.e.  $L^\infty$ -STLC.
- ▶ When  $m > 0$ ,  $W^{m,\infty}$  STLC is a stronger notion (e.g. when  $m = 1$ , one asks that  $\|\dot{u}\|_\infty \ll 1$ ).
- ▶ When  $m = -1$ , weaker notion, equivalent to asking that the state remains small (but  $\|u\|_{L^1}$  can be large).

## Result #1: Strongest at each order

Let

$$S_{\llbracket 1, k \rrbracket}(X) := \text{span}\{b \in \text{Br}(X); n_1(b) \in \llbracket 1, k \rrbracket\}.$$

### Theorem (Sussmann-Stefani)

*Assume that  $\dot{x} = f_0(x) + u f_1(x)$  is  $W^{-1, \infty}$ -STLC.*

*Then, for all  $k \in \mathbb{N}^*$ ,*

$$\text{ad}_{f_1}^{2k}(f_0)(0) \in S_{\llbracket 1, 2k-1 \rrbracket}(f)(0).$$

Historical proofs of Sussmann ( $k = 1$ ) and Stefani ( $k > 1$ ) denied bounded  $L^\infty$  STLC, a stronger notion.



## Result #2: Loose quadratic obstructions

Recall  $W_k = (M_{k-1}, M_k)$  for  $k \geq 1$ .

### Theorem (KB-FM 2022)

Let  $m \geq 0$ . Assume that  $\dot{x} = f_0(x) + uf_1(x)$  is  $W^{m,\infty}$ -STLC.  
Then, for all  $k \in \mathbb{N}^*$ ,

$$f_{W_k}(0) \in S_{[1, \pi(k,m)] \setminus \{2\}}(f)(0)$$

where

$$\pi(k, m) = \left\lceil \frac{2k + m - 1}{m + 1} \right\rceil.$$

- ▶  $m = 0$ ,  $\pi(k, m) = 2k - 1$ , is Kawski's 1986 conjecture
- ▶  $m = 2k - 3$ ,  $\pi(k, m) = 2$ , is our 2018 JDE result
- ▶ intermediate cases are new
- ▶  $k = 1$  gives  $\pi(k, m) = 1$  for any  $m$

## Result #3: Tight $W_2$

Recall  $P_{1,1,\nu} = \text{ad}_{X_1}^3(X_0)0^\nu$ . Let

$$\mathcal{E}_2(X) := S_1(X) \cup \{P_{1,1,\nu}; \nu \geq 0\}.$$

### Theorem (Kawski)

*Assume that  $\dot{x} = f_0(x) + uf_1(x)$  is  $L^\infty$ -STLC.*

*Then,*

$$f_{W_2}(0) \in \mathcal{E}_2(f)(0).$$

## Result #4: Tight $W_3$

Let

$$\mathcal{E}_3(X) := \mathcal{E}_2(X) \cup \left\{ P_{1,l,\nu}, Q_{1,1,1,\nu}, Q_{1,1,2,\nu}, Q_{1,\mu,\nu}^b, \right. \\ \left. R_{1,1,1,1,\nu}, R_{1,1,1,\mu,\nu}^\# \right\},$$

where the  $R$  are explicit brackets of  $\mathcal{B}^*$  with  $n_1(R) = 5$ .

### Theorem (KB-FM 2022)

Assume that  $\dot{x} = f_0(x) + uf_1(x)$  is  $L^\infty$ -STLC.

Then,

$$f_{W_3}(0) \in \mathcal{E}_3(f)(0).$$

## Result #5: A sixth-order example

Recall  $P_{1,1,0} = \text{ad}_{X_1}^3(X_0) \in \mathcal{B}^*$ . Let  $\mathfrak{b} := \text{ad}_{P_{1,1,0}}^2(X_0) \in \mathcal{B}^*$ . Then

$$\xi_{\mathfrak{b}}(t, u) = \frac{1}{2} \int_0^t \left( \int_0^s \frac{u_1^3}{3!} \right)^2 ds.$$

### Theorem (KB-FM 2022)

Assume that  $\dot{x} = f_0(x) + u f_1(x)$  is  $L^\infty$ -STLC.

Then,

$$f_{\mathfrak{b}}(0) \in \text{span}\{b \in \mathcal{B}^*; n_1(b) \leq 7; b \neq \mathfrak{b}\}(f)(0).$$

Heuristic is

$$\int u_1^8 \lesssim \|u\|_\infty^2 \xi_{\mathfrak{b}}(t, u)$$

## A difficulty: coordinates of the pseudo-first kind

We compute them using the relation

$$\eta_b = \xi_b + \sum \text{product of } \{\xi_{b_i}\} \times \text{structure constants of } \mathcal{B}$$

where  $|b_i| < |b|$  and  $n_1(b_i) < n_1(b)$ .

A key argument of the proofs is to obtain “closed-loop” estimates on these “pollutions”, of the form

$$\xi_{b_i}(t, u) = x(t; u, 0) + \text{higher order terms}$$

which yield

$$\eta_b = \xi_b + o(|x(t; u, 0)|) + o(\text{drift}).$$

## An example of pollutions

When working on  $W_2 = (M_1, M_2)$ ,  $\xi_{W_2}(t, u) = \frac{1}{2} \int_0^t u_2^2$  and

$$\eta_{W_2}(t, u) = \xi_{W_2}(t, u) + \frac{1}{2}u_1(t)u_4(t) - \frac{1}{2}u_2(t)u_3(t)$$

For  $j = 3, 4$ , one has  $|u_j(t)| \lesssim \|u_2\|_{L^2(0,t)}$  by Cauchy-Schwarz.

For  $j = 1, 2$ , we obtain that

$$\begin{aligned}u_j(t) &= O(|x(t; u, 0)| + \|u_1\|_{L^2}^2 + \|u_3\|_{L^2}) \\ &= O(|x(t; u, 0)| + \|u\|_\infty \|u_2\|_{L^2} + t \|u_2\|_{L^2}).\end{aligned}$$

(The key point is proving that  $f_1(0)$  and  $[f_0, f_1](0)$  are free).

So

$$\eta_{W_2}(t, u) = \xi_{W_2}(t, u) + o(|x(t; u, 0)|) + o(\xi_{W_2}(t, u))$$

## Ingredients of the approach

- ▶ Choose  $\mathfrak{b} \in \mathcal{B}^*$  such that  $\xi_{\mathfrak{b}}(t, u)$  looks positive definite using Sussmann's induction formula
- ▶ Find  $M, m$  st.  $\|u\|_{W^{-1, \infty}}^{M+1} = o(\xi_{\mathfrak{b}}(t, u))$  when  $\|u\|_{W^{m, \infty}} \ll 1$  using Gagliardo-Nirenberg interpolation inequality
- ▶ Determine  $\mathcal{N} := \{b \in \mathcal{B}^*; \xi_b(t, u) \neq o(\xi_{\mathfrak{b}}(t, u)), n_1(b) \leq M\}$  using Gagliardo-Nirenberg interpolation inequality
- ▶ For  $b \notin \mathcal{N}$ , prove a bound on  $|\eta_b(t, u) - \xi_b(t, u)|$  using BCH formula and a closed-loop type estimate
- ▶ Write  $\mathcal{Z}_M(t, f, u)(0) = \sum \eta_b(t, u) f_b(0)$  where  $1 \leq n_1(b) \leq M$
- ▶ Write  $x(t; u, 0) \approx \mathcal{Z}_M(t, f, u)(0) + \mathcal{O}(\|u\|_{W^{-1, \infty}}^{M+1})$
- ▶ Prove that  $W^{m, \infty}$ -STLC implies  $f_{\mathfrak{b}}(0) \in \mathcal{N}(f)(0)$ .

# Thank you for your attention!

Related ongoing works

- ▶ first overview of some quartic obstructions and behaviors,
- ▶ detailed account of quadratic/cubic competitions,
- ▶ investigations on multi-input systems.

Thank you!