

# On the regularity of abnormal minimizers for rank 2 sub-Riemannian structures

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# Joint work with

This is based on a joint work with

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→ Main references:

**BCJPS-18** D. Barilari, Y. Chitour, F. Jean, D. Prandi, M. Sigalotti,  
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sub-Riemannian structures,*  
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# Outline

- 1 Introduction
- 2 Main results
- 3 Strategy of the proof
- 4 Ideas from the proof: the case of imaginary eigenvalues
- 5 Ideas for the general case: non minimality of some spirals

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# Introduction

- The question of regularity of length-minimizers is one of the main open problems in sub-Riemannian geometry,
- Length-minimizers are solutions to a variational problem with constraints and satisfy a first-order necessary condition ( $\rightarrow$  Pontryagin Maximum Principle).

To a length-minimizer  $\gamma : [0, T] \rightarrow M \rightarrow$  a lift  $\lambda : [0, T] \rightarrow T^*M$  satisfying a suitable Hamiltonian equation. This splits length-minimizers into

normal & abnormal (or singular)

A length-minimizer  $\gamma$  can indeed admit several lifts, each of them being either normal or abnormal.

- If a length-minimizer admits a normal lift, then it is  $C^\infty$ , solutions of smooth autonomous Hamiltonian systems in  $T^*M$ .

# Regularity of length-minimizers

- The question of regularity in SR is reduced to length-minimizers that are strictly abnormal
- For such length-minimizers, from the first order necessary condition (and second order as well) it is not possible to deduce **a priori** any regularity other than Lipschitz, see e.g. [Monti, '14].

Here we investigate the following:

## Question

Are all length-minimizers in a sub-Riemannian manifold of class  $C^1$ ?

→ We assume length-minimizers to be arclength parameterized and their regularity is meant with respect to this time parameterization.

## Sub-Riemannian: notations

A sub-Riemannian manifold is a triple  $(M, D, g)$  where

- $M$  is a smooth manifold
- $D$  is a smooth vector distribution
- $g$  is a smooth metric on  $D$

We *do not assume* a priori that  $D$  has constant rank.

→ one reduces to the constant rank case through a desingularisation procedure.

One can always reduce to the following global situation

- $D = \text{span}\{X_1, \dots, X_k\}$ ,
- $g(X_i, X_j) = \delta_{ij}$

We always assume that the structure is *bracket generating*

$$\text{span}\{X_i, [X_j, X_k], \dots\}(x) = T_x M.$$

# Sub-Riemannian: length-minimizers

Fix a generating frame  $X_1, \dots, X_k$  of  $(D, g)$ . For any horizontal curve  $\gamma$  of finite length, there exists  $u \in L^\infty([0, T], \mathbb{R}^k)$  satisfying

$$\dot{\gamma}(t) = \sum_{i=1}^k u_i(t) X_i(\gamma(t)), \quad \text{for a.e. } t \in [0, T]. \quad (1)$$

The sub-Riemannian distance is obtained by minimizing the length

$$d(x, y) = \inf\{\ell(\gamma) \mid \gamma(0) = x, \gamma(T) = y\}, \quad \ell(\gamma) = \int_0^T \left( \sum_{i=1}^k u_i(t)^2 \right)^{1/2} dt.$$

A horizontal curve  $\gamma : [0, T] \rightarrow M$  is

- *arclength parameterized* if  $u \in L^\infty([0, T], \mathbb{S}^{k-1})$ . Thus  $\ell(\gamma) = T$ .
- *length-minimizer* if  $d(\gamma(0), \gamma(T)) = \ell(\gamma)$ .



# Hamiltonians: normal vs abnormal

Let  $h_i : T^*M \rightarrow \mathbb{R}$  the functions  $h_i(\lambda) = p \cdot X_i(x)$  for  $\lambda = (p, x)$ .

## Theorem (First order conditions)

Let  $\gamma : [0, T] \rightarrow M$  be an arclength parametrized length-minimizer. There exists a Lipschitz continuous curve  $t \mapsto \lambda(t) \in T_{\gamma(t)}^*M$  such that (at least) one of the following conditions is satisfied:

- (N)  $\dot{\lambda}(t) = \vec{H}(\lambda(t))$  for all  $t \in [0, T]$ , where  $H = \frac{1}{2} \sum_{i=1}^k h_i^2$ ,
- (A)  $\dot{\lambda}(t) = \sum_{i=1}^k u_i(t) \vec{h}_i(\lambda(t))$  and  $h_i(\lambda(t)) \equiv 0$  for  $i = 1, \dots, k$ .

- condition (N) implies that  $\lambda(t)$  is  $C^\infty$
- condition (A) implies that  $\lambda(t) \in (D_{\gamma(t)})^\perp$  for all  $t$
- condition (A) does not imply further regularity than Lipschitz
- if (A) is satisfied and (N) is not, then  $\lambda(t) \in (D_{\gamma(t)}^2)^\perp$  (Goh condition)

## Some previous results

- If  $(M, D, g)$  has step 2, there are no strictly abnormal length-minimizers  
→ every length-minimizer is  $C^\infty$ .
- If  $(M, D, g)$  has step 3, the situation is already more complicated. A positive answer is known only for Carnot groups (→ length-minimizers are  $C^\infty$ ).  
[Leonardi-Le Donne-Monti-Vittone, '13]
- If  $(M, D, g)$  is real-analytic, every length-minimizer is real-analytic on an open dense subset of its interval of definition [Sussmann, '14].

→ these results hold with no restriction on the rank of the distribution

### Theorem (Chitour-Jean-Trélat, '06)

*Let  $M$  be a smooth manifold and  $k \geq 3$ . For an open dense set of SR structures  $(D, g)$  with  $\dim D = k$ , there are no strictly abnormal length-minimizers.*

→ key study: when the rank of the distribution is 2

# Corners are not minimizers

## Theorem (Hakavouri-Le Donne, '16)

Let  $M$  be a sub-Riemannian manifold. Let  $T > 0$  and let  $\gamma : [-T, T] \rightarrow M$  be a horizontal curve such that, in local coordinates, there exist

$$\dot{\gamma}^+(0) := \lim_{t \downarrow 0} \frac{\gamma(t) - \gamma(0)}{t}, \quad \dot{\gamma}^-(0) := \lim_{t \uparrow 0} \frac{\gamma(t) - \gamma(0)}{t}.$$

If  $\dot{\gamma}^+(0) \neq \dot{\gamma}^-(0)$ , then  $\gamma$  is not a length-minimizer.

- based on a two main arguments “cut and adjust” + “blowup” techniques first introduced by [Leonardi-Monti, '08].
- permits to reduce the proof of corners to Carnot groups of rank 2.
- other recent generalizations about blowup and existence of tangent lines [Monti-Pigati-Vittone, '17], cf. also very recent [Hakavouri-Le Donne, '18]

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- 4 Ideas from the proof: the case of imaginary eigenvalues
- 5 Ideas for the general case: non minimality of some spirals

Let  $(D, g)$  on  $M$  be a bracket generating distribution  $D$  endowed with a metric  $g$ . Hence  $D$  defines a flag of subspaces at every point  $x \in M$

$$D_x = D_x^1 \subset D_x^2 \subset D_x^3 \subset \cdots \subset D_x^r = T_x M,$$

This induces a dual decreasing sequence of subspaces of  $T_x^* M$

$$\{0\} = (D_x^r)^\perp \subset \cdots \subset (D_x^4)^\perp \subset (D_x^3)^\perp \subset (D_x^2)^\perp \subset (D_x^1)^\perp \subset T_x^* M,$$

- By construction, any abnormal lift satisfies  $\lambda(t) \in (D^1)^\perp$ .
- For strictly abnormal minimizer, then by Goh conditions  $\lambda(t) \in (D^2)^\perp$ .

When the distribution has rank 2

- if  $\lambda(t)$  does not cross  $(D^3)^\perp$ , then the length-minimizer is  $C^\infty$   
[Liu-Sussmann, '95, Agrachev-Sarychev, '95]

Question?

What can we say if  $\lambda(t)$  enters in  $(D^3)^\perp$ ?

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## Question?

What can we say if  $\lambda(t)$  enters in  $(D^3)^\perp$ ?



# Main results: one step further!

Let  $(D, g)$  be a **rank 2** sub-Riemannian structure on  $M$ . Assume that  $\gamma : [0, T] \rightarrow M$  is an arclength parameterized abnormal minimizer.

## Theorem

*If  $\gamma$  admits a lift satisfying  $\lambda(t) \notin (D^4)^\perp$  for every  $t \in [0, T]$ , then  $\gamma$  is  $C^1$ .*

If the sub-Riemannian manifold has rank 2 and step at most 4, the assumption is trivially satisfied

## Corollary

*Assume that the sub-Riemannian structure has rank 2 and step at most 4. Then all length-minimizers are of class  $C^1$ .*

→ The assumption in the corollary implies  $\dim M \leq 8$

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## Main results, II

In the case of a nilpotent algebra the argument improves to  $C^\infty$  regularity.

### Theorem

Assume that  $D$  is generated by two vector fields  $X_1, X_2$  such that

- $\text{Lie}\{X_1, X_2\}$  is *nilpotent* of step at most 4

Then for every SR structure  $(D, g)$  on  $M$ , length-minimizers are  $C^\infty$ .

- in particular to Carnot groups of rank 2 and step at most 4  $\rightarrow$  we recover [Leonardi-Monti, '08]
- $C^{1,\alpha}$  regularity in some Carnot with step  $s > 4$  [Monti, '15]

### Theorem (Belotto, Figalli, Parusinski, Rifford, '18)

For every **3D analytic** manifold all length minimizers are of class  $C^1$

$\rightarrow$  generic case [Zelenko, Zhitomirskii '95], improved [Belotto, Rifford '17]

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# Basic idea of the proof

- abnormal length-minimizer  $\gamma : [0, T] \rightarrow M$  parameterized by arclength: there exists  $u \in L^\infty([0, T], \mathbb{S}^1)$  such that

$$\dot{\gamma}(t) = u_1(t)X_1(\gamma(t)) + u_2(t)X_2(\gamma(t)), \quad \text{a.e. } t \in [0, T].$$

- we prove that the control  $u = (u_1, u_2)$  admits left/right limits at every  $t \in [0, T]$ .
  - the two limits must coincide
- since corners are not minimizing!
- then  $u$  is continuous, and the curve  $\gamma$  is  $C^1$

# Desingularisation

- In general a sub-Riemannian manifold may admit non-regular points.
- However, for our purposes, we can restrict ourselves with no loss of generality to equiregular manifolds thanks to a desingularisation procedure.

We consider the following property

## Statement (S)

Every arclength parameterized abnormal length-minimizer admitting a lift  $\lambda(t) \notin (D^4)^\perp$  is of class  $C^1$ .

## Lemma

*Fix an integer  $k \geq 2$ . Assume that (S) holds for every rank  $k$  equiregular sub-Riemannian structure.*

*Then (S) holds true for every rank  $k$  sub-Riemannian structure.*

# Setting

- $(M, D, g)$  be an equiregular sub-Riemannian manifold of rank  $k = 2$ .
- local generating frame  $\{X_1, X_2\}$  of  $(D, g)$ .
- abnormal length-minimizer  $\gamma : [0, T] \rightarrow M$  parameterized by arclength: there exists  $u \in L^\infty([0, T], \mathbb{S}^1)$  such that

$$\dot{\gamma}(t) = u_1(t)X_1(\gamma(t)) + u_2(t)X_2(\gamma(t)), \quad \text{a.e. } t \in [0, T].$$

- $\gamma$  admits a lift  $\lambda : [0, T] \rightarrow T^*M$  which satisfies

$$\dot{\lambda}(t) = u_1 \vec{h}_1(\lambda(t)) + u_2 \vec{h}_2(\lambda(t)) \quad \text{and} \quad h_1(\lambda(t)) \equiv h_2(\lambda(t)) \equiv 0.$$

Set for every  $i_1, \dots, i_m \in \{1, 2\}$ ,

$$h_{i_1 \dots i_m}(t) = \langle \lambda(t), [X_{i_1}, \dots, [X_{i_{m-1}}, X_{i_m}]](\gamma(t)) \rangle.$$

Such a function  $h_{i_1 \dots i_m}$  is absolutely continuous and satisfies

$$\dot{h}_{i_1 \dots i_m}(t) = u_1(t)h_{1i_1 \dots i_m}(t) + u_2(t)h_{2i_1 \dots i_m}(t) \quad \text{for a.e. } t \in [0, T].$$

## Further necessary conditions

- Differentiating the equalities  $h_1 \equiv h_2 \equiv 0$  we obtain

$$0 = u_1 h_{11} + u_2 h_{12}, \quad 0 = u_1 h_{21} + u_2 h_{22}$$

that implies  $h_{12} \equiv 0$  since  $u \neq 0$  ( $\rightarrow$  no constant curves).

- The identities  $h_1(t) = h_2(t) = h_{12}(t) = 0$  imply that  $\lambda(t) \in (D^2)^\perp$
- The latter is known as *Goh condition*: a necessary condition for the associated curve to be length-minimizing.

Further conditions can be obtained by differentiating more!

- Differentiating again we get only one new relation

$$0 = \dot{h}_{12} = u_1 h_{112} + u_2 h_{212} \quad \text{a.e. on } [0, T].$$



## Further necessary conditions, II

We get only one new relation

$$0 = \dot{h}_{12} = u_1 h_{112} + u_2 h_{212} \quad \text{a.e. on } [0, T].$$

- If the vector  $\zeta := (-h_{212}, h_{112})$  is never zero on  $[0, T]$  then we can recover  $u = (u_1, u_2)$  from this equality ( $\rightarrow$  up to a sign)
- Indeed in this case we have

$$u = \sigma \frac{1}{|\zeta|} \zeta, \quad \sigma = \pm 1 \text{ constant}$$

- $u$  is continuous! the corresponding trajectory is  $C^1$

### Problems arise when

- the vector  $\zeta = (-h_{212}, h_{112})$  vanishes on  $[0, T]$
- $\rightarrow$  the lift  $\lambda(t)$  enters in  $(D^3)^\perp$

## Basic observation

Differentiating once more one obtains

$$\dot{\zeta} = Au, \quad A = \begin{pmatrix} -h_{2112} & -h_{2212} \\ h_{1112} & h_{2112} \end{pmatrix}, \quad \text{on } [0, T].$$

- the matrix  $A = A(t)$  is non-autonomous, and is absolutely continuous on the whole interval  $[0, T]$ .
- notice that  $A$  has zero trace (since  $h_{1212} = h_{2112}$  from the Jacobi identity)
- $u = \pm \frac{\zeta}{|\zeta|}$  on any interval on which  $\zeta \neq 0$

The geometric condition “not enter in  $(D^4)^\perp$ ” is translated into a property of  $A$

### Lemma

*Assume that  $\lambda(t) \notin (D^4_{\gamma(t)})^\perp$  for every  $t \in [0, T]$ . If  $\zeta(t_0) = 0$  for some  $t_0 \in [0, T]$ , then  $A(t_0) \neq 0$ .*

# Main technical result

The key point of the whole argument is the following statement

## Theorem

*Let  $(t_0, t_1)$  be a maximal interval on which  $\zeta \neq 0$ . Assume that  $t_1 < T$  (i.e.  $\zeta(t_1) = 0$ ) and  $A(t_1) \neq 0$ . Then  $u(t)$  has a limit as  $t \uparrow t_1$ .*

Notice that  $A(t_1) \neq 0$  and  $\text{trace}(A(t_1)) = 0$  hence we have the following possibilities:

- 1  $\det A(t_1) > 0 \rightarrow$  imaginary eigenvalues, excluded by general arguments
- 2  $\det A(t_1) < 0 \rightarrow$  two real eigenvalues, there exists a limit
- 3  $\det A(t_1) = 0 \rightarrow$  nilpotent case, maybe spirals (the difficult case!)

Recall, that  $t \mapsto A(t)$  is not constant, the analysis is a priori non trivial

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## Case $\det A(t_1) > 0$

### Lemma

Let  $(t_0, t_1)$  be a maximal open interval of  $[0, T]$  on which  $\zeta \neq 0$  and assume that  $t_1 < T$ . Then  $\det A(t_1) \leq 0$ .

Assume by contradiction that  $\det A(t_1) > 0$ . Since  $\operatorname{tr} A(t_1) = 0$ , up to a constant linear change of coordinates we assume

$$A(t_1) = \begin{pmatrix} 0 & -a \\ a & 0 \end{pmatrix}.$$

Then we can write

$$A(t) = \begin{pmatrix} -\varepsilon_1(t) & \varepsilon_2(t) - \alpha(t) \\ \varepsilon_2(t) + \alpha(t) & \varepsilon_1(t) \end{pmatrix},$$

- $\varepsilon_1, \varepsilon_2, \alpha$  are absolutely continuous with bounded derivatives on  $(t_0, t_1)$ ,
- $\varepsilon_1(t), \varepsilon_2(t) \rightarrow 0$ , and  $\alpha(t) \rightarrow a$  as  $t \rightarrow t_1$ .

- Consider polar coordinates

$$\zeta(t) = \rho(t)e^{i\theta(t)}$$

- the “main equation” can be rewritten

$$\begin{cases} \rho' = (-\varepsilon_1 \cos 2\theta + \varepsilon_2 \sin 2\theta), \\ \theta' = \frac{1}{\rho}(\varepsilon_1 \sin 2\theta + \varepsilon_2 \cos 2\theta + \alpha). \end{cases}$$

- Set  $w := \varepsilon_1 \sin 2\theta + \varepsilon_2 \cos 2\theta + \alpha$
- notice that  $a/2 < w < 2a$  in a left-neighborhood of  $t_1$ . Hence

$$(\rho^2 w)' = \rho^2 w \frac{\varepsilon_1' \sin 2\theta + \varepsilon_2' \cos 2\theta + \alpha'}{w} \geq -M\rho^2 w,$$

- $t \mapsto e^{Mt} \rho^2(t) w(t)$  is increasing
- it is impossible for  $\rho^2 w$  to tend to zero as  $t \rightarrow t_1$   
 $\implies$  impossible for  $\rho$  to tend to zero

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## Case when $A$ is non-autonomous

Recall that we want to prove the following result:

### Theorem

Let  $(t_0, t_1)$  be a maximal interval on which  $\zeta \neq 0$ . Assume  $\zeta(t_1) = 0$  and  $A(t_1) \neq 0$ . Then  $u(t)$  has a limit as  $t \uparrow t_1$ .

Notice that  $A(t_1) \neq 0$  and  $\text{trace}(A(t_1)) = 0$  hence we have the following possibility

- 1  $\det A(t_1) > 0 \rightarrow$  excluded by general arguments
- 2  $\det A(t_1) < 0 \rightarrow$  “two real eigenvalues”, there exists a limit for  $u$
- 3  $\det A(t_1) = 0 \rightarrow$  nilpotent case, maybe spirals (the difficult case!)

$\rightarrow$  we have to prove that there exists a limit in the last case!



## The case $\det A(t_1) = 0$

Assume that  $\det A(t_1) = 0$  and recall that  $\operatorname{tr} A(t_1) = 0$ . Up to a constant linear change of coordinates,

$$A(t_1) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$

Taking a suitable change of variables for  $t_* \in (t_0, t_1)$ ,

$$t \mapsto s(t) = \int_{t_*}^t \frac{d\tau}{|\zeta(\tau)|}, \quad s \rightarrow +\infty \quad \text{as} \quad t \rightarrow t_1,$$

we have

$$\frac{d\zeta}{ds} = A(s)\zeta(s), \quad A(s) = \begin{pmatrix} -\varepsilon_1(s) & 1 + \varepsilon_2(s) \\ \varepsilon_3(s) & \varepsilon_1(s) \end{pmatrix},$$

where

- $\varepsilon_1, \varepsilon_2, \varepsilon_3$  are absolutely continuous with bounded derivatives on  $(0, +\infty)$
- $\varepsilon_1, \varepsilon_2, \varepsilon_3 \rightarrow 0$  as  $s \rightarrow +\infty$ .

## The case $\det A(t_1) = 0$ , II

Writing in polar coordinates

$$\frac{\rho'}{\rho} = \sin \theta \cos \theta + \varepsilon_\rho, \quad \theta' = -\sin^2 \theta + \varepsilon_\theta,$$

where  $\varepsilon_\rho, \varepsilon_\theta \rightarrow 0$  as  $s \rightarrow +\infty$

Notice that the dynamics of  $\theta$  is a perturbation via  $\varepsilon_\theta$  of

$$\theta' = -\sin^2 \theta$$

→ Two equilibria joined by two clock-wise oriented heteroclinic trajectories.

## Lemma

We have the following dichotomy:

- 1  $\sin \theta \rightarrow 0$  as  $s \rightarrow +\infty$  ( $\implies u$  has a limit!)
- 2  $\theta \rightarrow -\infty$  as  $s \rightarrow +\infty$

Moreover, in case 2, for any  $0 < \varepsilon < \pi/2$  there exist  $(s_n)_{n \in \mathbb{N}}$  and  $(\bar{s}_n)_{n \in \mathbb{N}}$  tending to infinity and such that

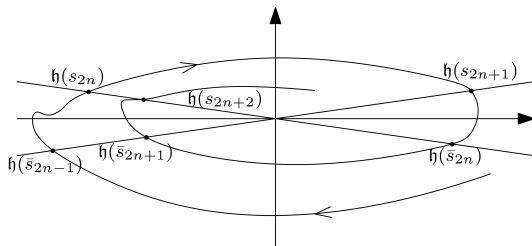
$$\bar{s}_{2n-1} < s_{2n} < s_{2n+1} < \bar{s}_{2n} < \bar{s}_{2n+1},$$

$$\theta(s_{2n}) = \pi - \varepsilon \pmod{2\pi},$$

$$\theta(s_{2n+1}) = \varepsilon \pmod{2\pi},$$

$$\theta(\bar{s}_{2n}) = -\varepsilon \pmod{2\pi},$$

$$\theta(\bar{s}_{2n+1}) = \varepsilon - \pi \pmod{2\pi},$$



Assume we are in case (2)

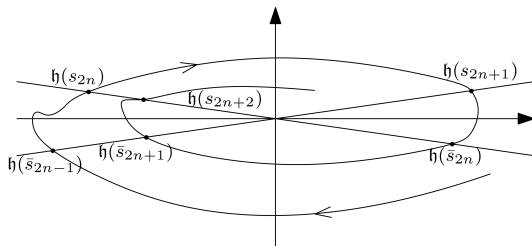
## Lemma

For any  $\varepsilon$  small enough there exists  $N_\varepsilon$  such that for  $n \geq N_\varepsilon$  one has

$$\frac{2}{\varepsilon} (1 - \varepsilon^2) \leq s_{2n+1} - s_{2n} \leq \frac{2}{\varepsilon} (1 + \varepsilon^2),$$

and for  $s \in [s_{2n}, s_{2n+1}]$  one has

$$(1 - \varepsilon)\varepsilon\rho(s_{2n}) \leq \rho(s) \sin \theta(s) \leq (1 + \varepsilon)\varepsilon\rho(s_{2n}),$$



# To the Limit!

- Fix a sequence  $(\varepsilon^{(k)})_{k \in \mathbb{N}}$ , strictly decreasing to 0.
- Denote  $(s_n^{(k)})_{n \in \mathbb{N}}$  the sequence  $(s_n)_{n \in \mathbb{N}}$  corresponding to  $\varepsilon = \varepsilon^{(k)}$ .
- with a diagonal procedure we build a sequence of times  $(\sigma_\ell)_{\ell \in \mathbb{N}}$  that is strictly increasing and tends to infinity
- Let  $t_\ell$  be  $\sigma_\ell$  in the original time scale.
- For every  $\ell \geq 0$  consider the function  $u_\ell \in L^\infty([0, 1], \mathbb{S}^1)$  defined by

$$u_\ell = u|_{[t_{2\ell}, t_{2\ell+1}]}$$

- By the weak- $\star$  compactness of all bounded subsets of  $L^\infty([0, 1], \mathbb{R}^2)$ , we can assume without loss of generality that  $u_\ell \rightharpoonup u_\star$  in the weak- $\star$  topology.
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- For every  $\ell \geq 0$  consider the function  $u_\ell \in L^\infty([0, 1], \mathbb{S}^1)$  defined by

$$u_\ell(\tau) = u(t_{2\ell} + \tau(t_{2\ell+1} - t_{2\ell})).$$

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## Lemma

*Under the above assumptions, there exists a unit vector  $v_\star \in \mathbb{R}^2$  such that  $u_\star(t) = v_\star$  for a.e.  $t \in [0, 1]$ . Moreover,  $v_\star$  is parallel to  $(1, 0)$  (i.e. horizontal).*

- Contradiction with the estimates (and their consequences)
  - These spirals are not length-minimizers
  - there exists a left/right limit for the control  $u$ .

This solves the cases where  $\zeta$  vanishes at isolated points!

## One still has to treat

- Segments where  $\zeta$  identically vanishes (→ not difficult)
- Accumulation of points where  $\zeta$  is not zero (→ adaptation)

It gets technical...

# Conclusions and natural questions

## $C^1$ regularity for curves that do not enter in $(D^4)^\perp$

- Can be extended to deeper singularity in rank 2? e.g.  $(D^5)^\perp$ 
  - higher order analysis (one step more? recursivity?)
  - generic case:  $\lambda(t) \notin (D^4)^\perp$  a.e.
- Can be extended to rank  $> 2$ ?
  - a priori yes but no more available tools from 2D ODEs
- Can one obtain further regularity than  $C^1$ ?
  - not evident

THANKS FOR YOUR ATTENTION



# Conclusions and natural questions

## $C^1$ regularity for curves that do not enter in $(D^4)^\perp$

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# The case of Carnot groups of step $\leq 4$

- Without loss of generality, we assume that the step is equal to 4.
- Recall that for an abnormal minimizer on an interval  $I$  we have

$$h_1 \equiv h_2 \equiv h_{12} \equiv 0, \quad 0 = \dot{h}_{12} = u_1 h_{112} + u_2 h_{212} \quad \text{a.e. on } I.$$

- The vector  $\zeta = (-h_{212}, h_{112})$  satisfies the differential equation

$$\dot{\zeta} = Au, \quad A = \begin{pmatrix} -h_{2112} & -h_{2212} \\ h_{1112} & h_{2112} \end{pmatrix}, \quad \text{a.e. on } I.$$

- $A$  is a constant matrix, with zero trace ( $\rightarrow$  nilpotent group)
- We reduced to the case where  $\zeta$  vanishes at some point  $\bar{t} \in I$ .

$$\dot{\zeta} = Au \quad \text{a.e.}, \quad A = \begin{pmatrix} -h_{2112} & -h_{2212} \\ h_{1112} & h_{2112} \end{pmatrix} \quad \text{constant}$$

We have the following cases:

- (a)  $\zeta(t) \equiv 0$  on  $I$ ;
- (b) there exist  $\bar{t}, t_* \in I$  such that  $\zeta(\bar{t}) = 0$  and  $\zeta(t_*) \neq 0$ .

Case (a). Easy

- $u(t)$  is in the kernel of  $A$  for a.e.  $t$ .
- $A$  has one-dimensional kernel  $\ker A = \text{span}\{\bar{u}\}$ , where  $\bar{u}$  has norm one.
- Then  $u(t) = \sigma(t)\bar{u}$  for a.e.  $t$ , with  $\sigma(t) \in \{-1, 1\}$  and

$$\dot{\gamma}(t) = \sigma(t)X_{\bar{u}}(\gamma(t)) \quad \text{a.e.},$$

with  $X_{\bar{u}}$  a constant vector field.

- By length-minimality,  $\sigma$  is constant, and  $u$  is constant (hence smooth).

$$\dot{\zeta} = Au \quad \text{a.e.}, \quad A = \begin{pmatrix} -h_{2112} & -h_{2212} \\ h_{1112} & h_{2112} \end{pmatrix} \quad \text{constant}$$

We have the following cases:

- (a)  $\zeta(t) \equiv 0$  on  $I$ ;
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Case (b). Less trivial.

- Consider the maximal neighborhood  $J = (t_0, t_1)$  of  $t_*$  on which  $\zeta$  is non-vanishing. Assume for instance  $\zeta(t_1) = 0$ .
- $u = \pm \frac{\zeta}{|\zeta|}$  on  $J$
- The trajectories of  $\zeta$  are time reparameterizations of  $\dot{z} = Az$ .
- Hence  $\zeta$  stays in the stable or in the unstable subspace of  $A$ .
- Recall that  $\det A \leq 0$ . We deduce that  $\det A < 0$ . ( $\rightarrow$  picture)
- No corners  $\implies u$  is constant on  $I$ , and in particular it is smooth.