

# Microlocal analysis of the HUM operator for a system of wave equations

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A joint work with  
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Let  $\Omega$  be a Riemannian compact manifold  $\Omega$   
(without boundary)

$\Delta$  is the Laplace-Beltrami operator on  $\Omega$

We consider the following system

$$\begin{cases} \partial_t^2 u_1 - \Delta u_1 + bu_2 = 0 & \text{in } (0, T) \times \Omega, \\ \partial_t^2 u_2 - \Delta u_2 = \chi g & \text{in } (0, T) \times \Omega, \\ (u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=0} = (u_1^0, u_1^1, u_2^0, u_2^1) & \text{in } \Omega. \end{cases}$$

$\chi \in \mathcal{C}^\infty(\bar{\Omega})$  and  $\omega = \{\chi \neq 0\}$ .

We wish to bring the solutions at rest at times  $t \geq T$ :

$$(u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=T} = (0, 0, 0, 0).$$

- The system has a cascade structure.
- The control  $g$  only acts on one of the equations.
- The second equation can only be controlled through the **coupling term**  $bu_2$

Let  $\Omega$  be a Riemannian compact manifold  $\Omega$   
(without boundary)

$\Delta$  is the Laplace-Beltrami operator on  $\Omega$

**Equivalently** we consider the following system

$$\begin{cases} \partial_t^2 u_1 - \Delta u_1 + b u_2 = 0 & \text{in } (0, T) \times \Omega, \\ \partial_t^2 u_2 - \Delta u_2 = \chi g & \text{in } (0, T) \times \Omega, \\ (u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=0} = (0, 0, 0, 0) & \text{in } \Omega. \end{cases}$$

$\chi \in \mathcal{C}^\infty(\overline{\Omega})$  and  $\omega = \{\chi \neq 0\}$ .

We wish to bring the solutions at time  $t \geq T$  to a prescribed state:

$$(u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=T} = (u_1^0, u_1^1, u_2^0, u_2^1).$$

We consider the following system

$$\begin{cases} \partial_t^2 u_1 - \Delta u_1 + bu_2 = 0 & \text{in } (0, T) \times \Omega, \\ \partial_t^2 u_2 - \Delta u_2 = \chi g & \text{in } (0, T) \times \Omega, \\ (u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=0} = (0, 0, 0, 0) & \text{in } \Omega. \end{cases}$$

We wish to bring the solutions at time  $t \geq T$  to :

$$(u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=T} = (u_1^0, u_1^1, u_2^0, u_2^1).$$

### Regularity Issue

$$g \in L^2((0, T) \times \Omega),$$

$$\implies (u_2, \partial_t u_2) \in \mathcal{C}^0([0, T], H_0^1 \times L^2)$$

$$\implies (u_1, \partial_t u_1) \in \mathcal{C}^0([0, T], (H^2 \cap H_0^1) \times H_0^1)$$

we therefore need

$$(u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=T} \in (H^2 \cap H_0^1) \times H_0^1 \times H_0^1 \times L^2$$

This is the natural space for the analysis of the control problem.

First results known for weak constant coupling (symmetric system) in  
[\[Alabau-Boussouira: 03\]](#)

## Alternative formulation

$$\begin{cases} \partial_t^2 u_1 - \Delta u_1 + b(1 - \Delta)^{\frac{1}{2}} u_2 = 0 & \text{in } (0, T) \times \Omega, \\ \partial_t^2 u_2 - \Delta u_2 = \chi g & \text{in } (0, T) \times \Omega, \\ (u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=0} = (0, 0, 0, 0) & \text{in } \Omega. \end{cases}$$

## Regularity

$$g \in L^2((0, T) \times \Omega),$$

$$\implies (u_1, \partial_t u_1) \in \mathcal{C}^0([0, T], H_0^1 \times L^2)$$

$$\implies (u_2, \partial_t u_2) \in \mathcal{C}^0([0, T], H_0^1 \times L^2)$$

We therefore need

$$(u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=T} \in H_0^1 \times L^2 \times H_0^1 \times L^2$$

$$\begin{cases} \partial_t^2 u_1 - \Delta u_1 + b(1 - \Delta)^{\frac{1}{2}} u_2 = 0 & \text{in } (0, T) \times \Omega, \\ \partial_t^2 u_2 - \Delta u_2 = \chi g & \text{in } (0, T) \times \Omega, \\ (u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=0} = (0, 0, 0, 0) & \text{in } \Omega. \end{cases}$$

Control space  $g \in L^2((0, T) \times \Omega) \rightarrow$  state space

$$(u_1, \partial_t u_1, u_2, \partial_t u_2) \in H_0^1 \times L^2 \times H_0^1 \times L^2.$$

We also need conditions on

- both the **supports** of the control function and the coupling term;
- the time  $T$ .

Consider the control problem

$$\begin{cases} \partial_t^2 u - \Delta u = \chi g & \text{in } (0, T) \times \Omega \\ u = 0 & \text{on } (0, T) \times \partial\Omega \\ (u(0), \partial_t u(0)) = (0, 0) \end{cases}$$

Wave symbol:  $p = -\tau^2 + R(x, \xi)$

(flat case:  $R(x, \xi) = |\xi|^2$ , here  $R(x, \xi) = |\xi|_x^2$ )

- Hamiltonian vector field:

$$H_p = (\partial_\tau p) \partial_t - (\partial_t p) \partial_\tau + (\partial_\xi p) \partial_x - (\partial_x p) \partial_\xi$$

- Integral curves for  $H_p$

$$\begin{aligned} \frac{dt}{ds} &= \partial_\tau p(t, x, \tau, \xi) & \frac{dx}{ds} &= \partial_\xi p(t, x, \tau, \xi) \\ \frac{d\tau}{ds} &= -\partial_t p(t, x, \tau, \xi) = 0 & \frac{d\xi}{ds} &= -\partial_x p(t, x, \tau, \xi) \end{aligned}$$

- Rays or bicharacteristics: integral curves for  $H_p$  within the characteristic set  $p = 0$ .
- Singularities travel along such bicharacteristics (Hörmander)

**Geometric control condition** [Rauch-Taylor '74,  
Bardos-Lebeau-Rauch '92]

- Case of manifold without boundary.  
 $(\omega, T)$  is said to satisfy GCC at time  $T$  if all bicharacteristics starting from  $(x, \xi)$  at time  $t = 0$  enter  $\omega = \{\chi \neq 0\}$  before  $t = T$ .
- Case of manifold with boundary.  
 $(\omega, T)$  is said to satisfy GCC at time  $T$  if all **generalized bicharacteristics** starting from  $(x, \xi)$  at time  $t = 0$  enter  $\omega = \{\chi \neq 0\}$  before  $t = T$ .

Generalized bicharacteristics are described in [Melrose-Sjöstrand '78, '82]

**THEOREM (BARDOS-LEBEAU-RAUCH '92, BURQ-GÉRARD' 97)**

*GCC is equivalent to the exact controllability of the wave equation at time  $T$*



The system is

$$\begin{cases} \partial_t^2 u_1 - \Delta u_1 + b(1 - \Delta)^{\frac{1}{2}} u_2 = 0 & \text{in } (0, T) \times \Omega, \\ \partial_t^2 u_2 - \Delta u_2 = \chi g & \text{in } (0, T) \times \Omega, \\ (u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=0} = (0, 0, 0, 0) & \text{in } \Omega. \end{cases}$$

with  $b \geq 0$ .

$$\omega = \{\chi \neq 0\}, \quad \mathcal{O} = \{b > 0\}$$

Necessary condition to controllability

- $(\omega, T_\omega)$  satisfies GCC
- $(\mathcal{O}, T_\mathcal{O})$  satisfies GCC

#### DEFINITION

Given two sets  $\omega$  and  $\mathcal{O}$  both satisfying GCC, we set  $T_{\omega \rightarrow \mathcal{O} \rightarrow \omega}$  the infimum of times  $T > 0$  s.t.

every bicharacteristics traveling at speed one in  $\Omega$  meets  $\omega$  in a time  $t_0 < T$ , meets  $\mathcal{O}$  in a time  $t_1 \in (t_0, T)$  and meets  $\omega$  again in a time  $t_2 \in (t_1, T)$ .

Observe that  $\max(T_\mathcal{O}, T_\omega) \leq T_{\omega \rightarrow \mathcal{O} \rightarrow \omega} \leq 2T_\omega + T_\mathcal{O}$ .

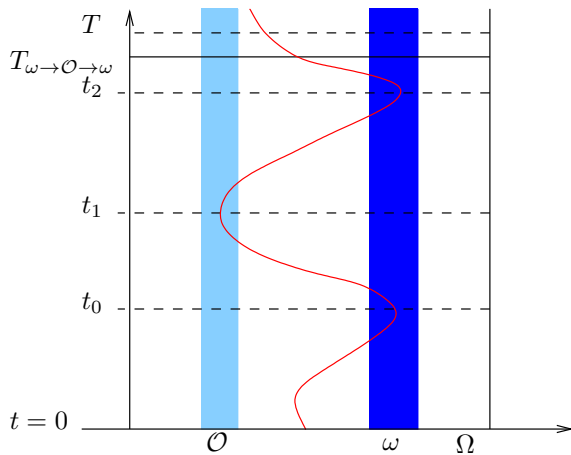
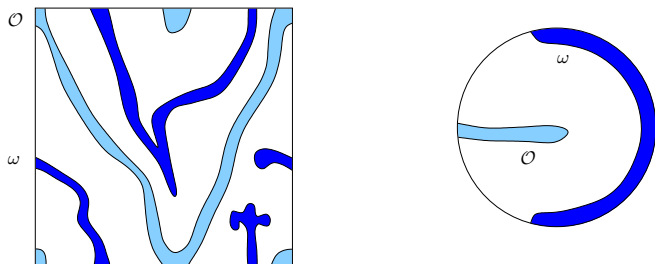


FIGURE: Geometric condition and time  $T_{\omega \rightarrow \mathcal{O} \rightarrow \omega}$ .



**FIGURE:** Examples of open sets  $(\Omega, \omega, \mathcal{O})$  s.t.  $\omega$  and  $\mathcal{O}$  both satisfy GCC in  $\Omega$ : case (a),  $\Omega$  is the flat torus (or the square), case (b),  $\Omega$  is the disk.

$$\begin{cases} \partial_t^2 u_1 - \Delta u_1 + b(1 - \Delta)^{\frac{1}{2}} u_2 = 0 & \text{in } (0, T) \times \Omega, \\ \partial_t^2 u_2 - \Delta u_2 = \chi g & \text{in } (0, T) \times \Omega, \\ (u_1, \partial_t u_1, u_2, \partial_t u_2)|_{t=0} = (0, 0, 0, 0) & \text{in } \Omega. \end{cases}$$

with  $b \geq 0$ .

### THEOREM (DEHMAN, LR, LÉAUTAUD)

Let  $\Omega$  be a compact manifold without boundary. If

- $\omega = \{\chi \neq 0\}$  satisfies GCC
- $\mathcal{O} = \{b > 0\}$  satisfies GCC
- $T > T_{\omega \rightarrow \mathcal{O} \rightarrow \omega}$

then the system is exactly controllable.

If either

- $\omega$  does not satisfy GCC
- or  $\mathcal{O}$  does not satisfy GCC
- or  $T < T_{\omega \rightarrow \mathcal{O} \rightarrow \omega}$

the system is NOT controllable.

## Existing results

- [F. Alabau-Boussouira - M. Leautaud '11] symmetric systems, weak coupling, long control time.
- [L. Rosier - L. de Teresa '11] 1-D, geometric but not sharp control time.
- [F. Alabau-Boussouira] Cascade of  $N$  equations, long control time.

Adjoint system:

$$\begin{cases} (\partial_t^2 - \Delta)w_1 = 0 & \text{in } (0, T) \times \Omega \\ (\partial_t^2 - \Delta)w_2 = -b(x)(1 - \Delta)^{\frac{1}{2}}w_1 & \text{in } (0, T) \times \Omega. \end{cases} \quad (\text{Adj})$$

Controllability is equivalent to the observability inequality:

$$e_0(w_1(0)) + e_0(w_2(0)) \leq C \int_0^T \int_{\Omega} |\chi w_2|^2 dx dt, \quad (\text{Obs})$$

for all  $(w_1, w_2)$  solutions of (Adj).

Here  $e_0(w) = \|w\|_{L^2(\Omega)}^2 + \|\partial_t w\|_{H^{-1}(\Omega)}^2$ .

Adjoint equation for the wave equation:

$$(\partial_t^2 - \Delta)v = 0 \quad \text{in } (0, T) \times \Omega$$

We set  $V = (v, \partial_t v)$

Controllability is equivalent to the observability inequality:

$$e_0(V(0)) \leq C \int_0^T \int_{\Omega} |\chi v|^2 dx dt,$$

for all  $v$  solution of the adjoint equation.

Here

$$e_0(V(0)) = \|v(0)\|_{L^2(\Omega)}^2 + \|\partial_t v(0)\|_{H^{-1}(\Omega)}^2$$

Controlled equation:  $(\partial_t^2 - \Delta)u = \chi g \quad \text{in } (0, T) \times \Omega$   
 $U(t) = (u(t), \partial_t u(t)) \in H^1(\Omega) \times L^2(\Omega).$

Adjoint equation:  $(\partial_t^2 - \Delta)v = 0 \quad \text{in } (0, T) \times \Omega.$

With an integration by parts we have:

$$\begin{aligned} \langle \chi g, v \rangle_{L^2((0, T) \times \Omega)} &= \langle \partial_t u(T), v(T) \rangle_{L^2} - \overbrace{\langle \partial_t u(0), v(0) \rangle_{L^2}}{=0} \\ &\quad - \left( \langle u(T), \partial_t v(T) \rangle_{H^1, H^{-1}} - \underbrace{\langle u(0), \partial_t v(0) \rangle_{H^1, H^{-1}}}_{=0} \right) \end{aligned}$$

We introduce the maps

$$\begin{aligned} L : L^2(\Omega) \times H^{-1}(\Omega) &\rightarrow L^2((0, T) \times \Omega) \\ V_T = V(T) = (v(T), \partial_t v(T)) &\mapsto \chi v \end{aligned}$$

and

$$\begin{aligned} M : L^2((0, T) \times \Omega) &\rightarrow H^1(\Omega) \times L^2(\Omega) \\ g &\mapsto (-u(T), \partial_t u(T)) \end{aligned}$$



In the HUM approach we seek the control as a solution of the backward wave equation: we set  $g = L(V_T) = \chi v$ .

Then  $(u(T), \partial_t u(T)) = M \circ L(V_T)$

We then find

$$\begin{aligned} \|L(V_T)\|_{L^2((0,T)\times\Omega)}^2 &= \langle \partial_t u(T), v(T) \rangle_{L^2} - \langle u(T), \partial_t v(T) \rangle_{H^1, H^{-1}} \\ &= \langle U(T), V_T \rangle_* = \langle M \circ L(V_T), V_T \rangle_* \end{aligned}$$

where

$$\langle U, V \rangle_* = \langle U_2, V_1 \rangle_{L^2} + \langle U_1, V_2 \rangle_{H^1, H^{-1}}, \quad U \in H^1 \times L^2, \quad V \in L^2 \times H^{-1}$$

$\mathcal{G} = M \circ L$  is the Gramian operator.

We have

$$\|L(V_T)\|_{L^2((0,T)\times\Omega)}^2 = \langle \mathcal{G}(V_T), V_T \rangle_*$$

Note that  $\mathcal{G} : L^2(\Omega) \times H^{-1}(\Omega) \rightarrow H^1(\Omega) \times L^2(\Omega)$

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Note that  $\mathcal{G} : L^2(\Omega) \times H^{-1}(\Omega) \rightarrow H^1(\Omega) \times L^2(\Omega)$

Observability:

$$\|V_T\|_{L^2 \times H^{-1}} \leq C \|L(V_T)\|_{L^2((0,T)\times\Omega)}$$

We have

controllability  $\Leftrightarrow$  observability  $\Leftrightarrow$  invertibility of  $\mathcal{G}$

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controllability  $\Leftrightarrow$  observability  $\Leftrightarrow$  invertibility of  $\mathcal{G}$

In such case we can solve  $\mathcal{G}(V_T) = U_T$  with  $U_T = (-u_0, u_1) \in H^1 \times L^2$

The HUM operator is precisely  $\mathcal{G}^{-1}$

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The controlled equation is then

$$(\partial_t^2 - \Delta)u = \chi L(V_T) \quad \text{in } (0, T) \times \Omega \quad (u(0), \partial_t u(0)) = (0, 0)$$

and we obtain  $(u(T), \partial_t u(T)) = (u_0, u_1)$ .

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Note that  $\mathcal{G} : L^2(\Omega) \times H^{-1}(\Omega) \rightarrow H^1(\Omega) \times L^2(\Omega)$

Observability:

$$\|V_T\|_{L^2 \times H^{-1}} \leq C \|L(V_T)\|_{L^2((0,T)\times\Omega)}$$

We have

controllability  $\Leftrightarrow$  observability  $\Leftrightarrow$  invertibility of  $\mathcal{G}$

In such case we can solve  $\mathcal{G}(V_T) = U_T$  with  $U_T = (-u_0, u_1) \in H^1 \times L^2$

The HUM operator is precisely  $\mathcal{G}^{-1}$

The controlled equation is then

$$(\partial_t^2 - \Delta)u = \chi L(V_T) \quad \text{in } (0, T) \times \Omega \quad (u(0), \partial_t u(0)) = (0, 0)$$

and we obtain  $(u(T), \partial_t u(T)) = (u_0, u_1)$ .

Question: can we prove directly the invertibility of the Gramian operator  $\mathcal{G}$ ?

In part we follow the approach of Dehman-Lebeau

Here, to expose their method, we consider a **simplified model** to avoid technicalities

We consider the half-wave first-order equation

$$\partial_t u - i\lambda u = \chi g \in L^2(\Omega), \quad \lambda = \sqrt{-\Delta}, \quad u(0) = 0$$

$\lambda$  is pseudo-differential of order 1 with principal symbol  $|\xi|$

We have  $u \in \mathcal{C}^0([0, T]; L^2(\Omega)) \cap \mathcal{C}^1([0, T]; H^{-1}(\Omega))$

Note that  $(\partial_t - i\lambda)(\partial_t + i\lambda) = \partial_t^2 - \Delta$ . We have factorized the wave equation

The adjoint equation is

$$\partial_t v - i\lambda v = 0 \in L^2(\Omega), \quad v(T) = v_T$$

$$\partial_t u - i\lambda u = \chi g \in L^2(\Omega), \quad u(0) = 0$$

The adjoint equation is

$$\partial_t v - i\lambda v = 0 \in L^2(\Omega), \quad v(T) = v_T$$

Proceeding as above we find

$$\langle \chi g, v \rangle_{L^2((0,T) \times \Omega)} = \langle u(T), v(T) \rangle_{L^2}.$$

We introduce the maps

$$\begin{aligned} L : L^2(\Omega) &\rightarrow L^2((0, T) \times \Omega) \\ v_T = v(T) &\mapsto \chi v \end{aligned}$$

and

$$\begin{aligned} M : L^2((0, T) \times \Omega) &\rightarrow L^2(\Omega) \\ g &\mapsto u(T) \end{aligned}$$

and set  $\mathcal{G} = M \circ L$ .



$$\partial_t u - i\lambda u = \chi g \in L^2(\Omega), \quad u(0) = 0$$

The adjoint equation is

$$\partial_t v - i\lambda v = 0 \in L^2(\Omega), \quad v(T) = v_T$$

Setting  $g = L(v_T)$  we have

$$\|L(v_T)\|_{L^2((0,T)\times\Omega)}^2 = \langle \mathcal{G} v_T, v_T \rangle_{L^2}.$$

controllability  $\Leftrightarrow$  observability  $\Leftrightarrow$  invertibility of  $\mathcal{G}$

$$\partial_t u - i\lambda u = \chi g \in L^2(\Omega), \quad u(0) = 0$$

Changing  $t \rightarrow T - t$  in the adjoint equation

$$\partial_t v + i\lambda v = 0 \in L^2(\Omega), \quad v(0) = v_0$$

We have

$$\|L(v_0)\|_{L^2((0,T)\times\Omega)}^2 = \langle \mathcal{G}v_0, v_0 \rangle_{L^2}.$$

controllability  $\Leftrightarrow$  observability  $\Leftrightarrow$  invertibility of  $\mathcal{G}$

Bicharacteristic flow: we introduce  $\varphi$  solution to

$$\frac{d}{ds}\varphi_s^\pm(x, \xi) = H_{\mp|\xi|_x}(\varphi_s(x, \xi)), \quad \varphi_0^\pm(x, \xi) = (x, \xi) \in T^*\Omega \setminus 0.$$

**N.B.**  $H_{\mp|\xi|_x}$  is the vector field:  $\mp(\partial_\xi|\xi|_x)\partial_x \pm (\partial_x|\xi|_x)\partial_\xi$ .

The adjoint equation is

$$\partial_t v + i\lambda v = 0 \in L^2(\Omega), \quad \lambda = \sqrt{-\Delta}, \quad v(0) = v_0$$

This gives  $v(t) = e^{-it\lambda} v_0$  (Fourier integral operator)

**N.B.** Here we ignore the eigenvalue 0

Then we compute the observation

$$\int_0^T \|\chi v\|_{L^2(\Omega)}^2 dt = \int_0^T \langle e^{it\lambda} \chi^2 e^{-it\lambda} v_0, v_0 \rangle_{L^2(\Omega)} dt$$

We thus have  $\mathcal{G}v_0 = \int_0^T e^{it\lambda} \chi^2 e^{-it\lambda} v_0 dt$ .

By the Egorov theorem  $e^{it\lambda} \chi^2 e^{-it\lambda}$  is a pseudo-differential operator of order 0 with principal symbol  $\sigma(t, x, \xi) = \chi^2 \circ \varphi_t^-(x, \xi)$

The Gramian is thus a pseudo-differential operator of order 0 with symbol

$$\int_0^T \chi^2 \circ \varphi_t^-(x, \xi) dt$$

The Gramian is thus a pseudo-differential operator of order 0 with symbol

$$\int_0^T \chi^2 \circ \varphi_t^-(x, \xi) dt$$

For the Gramian to be invertible one needs it to be elliptic, that is (order 0)

$$\int_0^T \chi^2 \circ \varphi_t^-(x, \xi) dt \geq C, \quad \forall (x, \xi) \in T^*(\Omega)$$

We thus recover the GC condition of Bardos-Lebeau-Rauch.

## THEOREM (DEHMAN-LEBEAU)

We have

$\{\chi > 0\}$  satisfies GCC  $\Leftrightarrow$  the operator  $\mathcal{G}$  is elliptic.

Moreover in such case

- ① the operator  $\mathcal{G}$  is coercive and invertible;
- ② the HUM operator  $\mathcal{G}^{-1}$  can be written as  $\mathcal{G}^{-1} = \Lambda + R$  when  $R$  is a regularizing operator and  $\Lambda$  is a pseudo-differential operator of order 0 with principal symbol

$$\left( \int_0^T \chi^2 \circ \varphi_t^-(x, \xi) dt \right)^{-1}$$

The proof of coercivity goes along two steps with a compactness-uniqueness argument as in the original proof of **Bardos-Lebeau-Rauch** for the controllability of the wave equation.

We acknowledge very fruitful discussions with **C. Laurent** on some aspects of the proof.

First-step: from the ellipticity of  $\mathcal{G}$  we find with the **Gårding inequality**:

$$\langle \mathcal{G}v_0, v_0 \rangle_{L^2} \geq C\|v_0\|_{L^2}^2 - C'\|v_0\|_{H^{-\frac{1}{2}}}^2. \quad (1)$$

We thus have coercivity for the high-frequencies.

Second-step: We consider

$$\mathcal{N}(T) = \{v_0 \in L^2(\Omega); L(v) = \chi v(t, x) = 0 \text{ in } (0, T) \times \omega\},$$

By proving that the unit sphere in  $\mathcal{N}(T)$  is compact from (1) we have

#### LEMMA

*The space  $\mathcal{N}(T)$  is finite dimensional.*

Moreover if  $v_0 \in \mathcal{N}(T)$  then  $\chi v = 0$ , implying  $\chi \partial_t v = 0$ . This implies  $Lv_0 \in \mathcal{N}(T)$ .



Second-step (continued):

Hence,  $\mathcal{N}(T)$  is stable under  $\lambda$  and is finite dimensional.

If  $\mathcal{N}(T) \neq \{0\}$ , this implies that there exist  $\lambda$  and  $w \neq 0$ , such that

$$w \in \mathcal{N}(T), \quad \lambda w = \lambda w, \quad \chi w = 0,$$

We thus have  $-\Delta w = \lambda^2 w$  and  $\chi w = 0$ . A classical unique continuation result yields  $w = 0$

LEMMA

*We have  $\mathcal{N}(T) = \{0\}$ .*

Second-step (continued):

We assume that coercivity does not hold:

There exists  $(v_0^{(n)}) \subset L^2(\Omega)$  such that

$$\|v_0^{(n)}\| = 1 \quad \|\mathcal{G}v_0^{(n)}\|_{L^2(\Omega)} \rightarrow 0$$

We have  $v_0^{(n)} \rightharpoonup v \in L^2(\Omega)$ .

Continuity of the half wave equation yields  $v \in \mathcal{N}(T)$ , that is  $v = 0$

In particular we have  $v_0^{(n)} \rightarrow 0$  in  $H^{-1}(\Omega)$ .

The high-frequency result:

$$\langle \mathcal{G}v_0, v_0 \rangle_{L^2} \geq C\|v_0\|_{L^2}^2 - C'\|v_0\|_{H^{-\frac{1}{2}}}^2$$

then implies the contradiction

$$0 \geq C - C' \times 0.$$

Again we consider a simplified model here for the sake of exposition: Set  $\lambda = \sqrt{-\Delta}$  on  $L^2_+$ , projecting onto the orthogonal of the space of constant functions.

Consider:

$$\begin{cases} (\partial_t - i\lambda)u_1 - \frac{1}{2i}bu_2 = 0 & \text{in } (0, T) \times \Omega, \\ (\partial_t - i\lambda)u_2 = \chi f & \text{in } (0, T) \times \Omega. \end{cases}$$

Adjoint system:

$$\begin{cases} (\partial_t + i\lambda)v_1 = 0 & \text{in } (0, T) \times \Omega, \\ (\partial_t + i\lambda)v_2 + \frac{1}{2i}bv_1 = 0 & \text{in } (0, T) \times \Omega, \\ (v_1(0), v_2(0)) = (g, h) \in L^2(\Omega; \mathbb{C}^2) \end{cases}$$

The observability inequality reads

$$\|g\|_{L^2(\Omega)}^2 + \|h\|_{L^2(\Omega)}^2 \leq C \int_0^T \|\chi v_2\|_{L^2(\Omega)}^2 dt.$$

The Gramian operator is given by

$$\int_0^T \|\chi v_2\|_{L^2(\Omega)}^2 dt = (\mathcal{G}(g, h), (g, h))_{L^2(\Omega; \mathbb{C}^2)}.$$

If the wave system is exactly controllable then  $\mathcal{G}$  is invertible and the HUM operator is  $\mathcal{G}^{-1}$

#### THEOREM

There exists  $G \in \Psi^0(\Omega; \mathbb{C}^{2 \times 2})$ , and  $R$  an infinitely smoothing operator on  $\Omega$  such that

$$\mathcal{G} = G + R,$$

where the principal symbol (in  $S^0(T^*\Omega, \mathbb{C}^{2 \times 2})$ ) of  $G$  is

$$\begin{pmatrix} \frac{1}{4} \int_0^T \chi^2 \circ \varphi_t^- \left( \int_0^t b \circ \varphi_\sigma^- d\sigma \right)^2 dt & \frac{1}{2i} \int_0^T \chi^2 \circ \varphi_t^- \left( \int_0^t b \circ \varphi_\sigma^- d\sigma \right) dt \\ -\frac{1}{2i} \int_0^T \chi^2 \circ \varphi_t^- \left( \int_0^t b \circ \varphi_\sigma^- d\sigma \right) dt & \int_0^T \chi^2 \circ \varphi_t^- dt \end{pmatrix}$$

## THEOREM (CONTINUED)

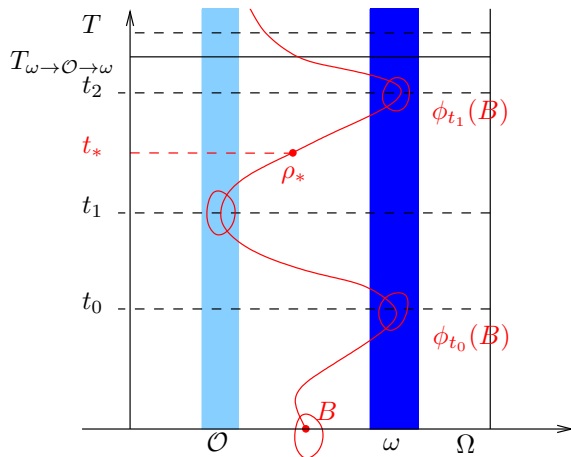
- *In particular, we have*

$$\det(\sigma_0(G)) = \frac{1}{8} \int_0^T \int_0^T (\chi^2 \circ \varphi_{t_1}^-) (\chi^2 \circ \varphi_{t_2}^-) \left( \int_{t_1}^{t_2} b \circ \varphi_\sigma^- d\sigma \right)^2 dt_1 dt_2 \in S^0(T^*\Omega).$$

- *The operator  $\mathcal{G}$  is coercive on  $L^2(\Omega; \mathbb{C}^2)$ .*
- *The operator  $\mathcal{G}$  is invertible in  $L(L^2(\Omega))$ . Its inverse  $(\mathcal{G})^{-1}$ , the HUM operator, can be decomposed as  $(\mathcal{G})^{-1} = \Lambda + R$  where  $R$  is smoothing and  $\Lambda \in \Psi^0(T^*\Omega, \mathbb{C}^{2 \times 2})$ , with principal symbol*

$$\det(\sigma_0(G))^{-1} \times \begin{pmatrix} \int_0^T \chi^2 \circ \varphi_t dt & \frac{1}{2i} \int_0^T \chi^2 \circ \varphi_t^- \left( \int_0^t b \circ \varphi_\sigma^- d\sigma \right) dt \\ -\frac{1}{2i} \int_0^T \chi^2 \circ \varphi_t^- \left( \int_0^t b \circ \varphi_\sigma^- d\sigma \right) dt & \frac{1}{4} \int_0^T \chi^2 \circ \varphi_t^- \left( \int_0^t b \circ \varphi_\sigma^- d\sigma \right)^2 dt \end{pmatrix}$$

$$\det(\sigma_0(G)) = \frac{1}{8} \int_0^T \int_0^T (\chi^2 \circ \varphi_{t_0}^-)(\chi^2 \circ \varphi_{t_2}^-) \left( \int_{t_0}^{t_2} b \circ \varphi_\sigma^- d\sigma \right)^2 dt_0 dt_2 \in S^0(T^*\Omega).$$



Observe that the geometric condition appears clearly in  $\det(\sigma_0(G))$ .

*Sketch of proof.* The Duhamel formula gives

$$v_1(t) = e^{-it\lambda}g, \quad v_2(t) = e^{-it\lambda}h - \frac{1}{2i} \int_0^t e^{-i(t-\sigma)\lambda} b v_1(\sigma) d\sigma.$$

We compute

$$\int_0^T \|\chi v_2\|_{L^2(\Omega)}^2 dt = ((G + R)(g, h), (g, h))_{L^2}$$

with

$$G = \begin{pmatrix} \frac{1}{4} \int_0^T (B_t)^* e^{it\lambda} \chi^2 e^{-it\lambda} B_t dt & + \frac{1}{2i} \int_0^T (B_t)^* e^{it\lambda} \chi^2 e^{-it\lambda} dt \\ -\frac{1}{2i} \int_0^T e^{it\lambda} \chi^2 e^{-it\lambda} B_t dt & \int_0^T e^{it\lambda} \chi^2 e^{-it\lambda} dt \end{pmatrix},$$

where

$$B_t := \int_0^t e^{i\sigma\lambda} b e^{-i\sigma\lambda} d\sigma$$

The conclusion follows from the Egorov Theorem.

Thank you for your attention.

Further details in:

B. Dehman, J. Le Rousseau, and M. Léautaud. *Controllability of two coupled wave equations on a compact manifold*, preprint 2012, 53 pages.

<http://hal.archives-ouvertes.fr/hal-00686967>