

High Frequency Analysis for the Wave Equation with Potential

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$$\begin{cases} \partial_t^2 u - \Delta_x u + q(x)u = 0 & \text{in }]0, T[\times \Omega \\ u = 0 & \text{on }]0, T[\times \partial\Omega \\ (u(0), \partial_t u(0)) = (u_0, u_1) \end{cases} \quad (W)$$

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- $q = q(x)$ bounded function, with real values.

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ω open subset of Ω and $T > 0$ (suitable)

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Perform a high frequency (HF) study for system (W) within the framework of two precise problems:

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- ② The control vector and the controlled solution (case 2)

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Important remark: The whole work will be achieved under the **microlocal** condition of geometric control (Bardos-Lebeau-Rauch).

General setting

- The couple (ω, T) satisfies the geometric control condition (G.C), i.e every geodesic of Ω issued at $t = 0$ and travelling with speed 1, enters in ω before the time T .

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- Under (G.C), we get the observability inequality

$$\|(\varphi_0, \varphi_1)\|_{L^2 \times H^{-1}}^2 \leq C(q) \int_0^T \int_{\Omega} a_{\omega}^2 |\varphi|^2 dx dt \quad (\text{Obs})$$

for every solution φ of system (W) , where $a_{\omega}(x) \approx \mathbf{1}_{\omega}$ smooth.

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for every solution φ of system (W) , where $a_{\omega}(x) \approx \mathbf{1}_{\omega}$ smooth.

- We will see later that $C(q) \rightarrow C_r$ with $\|q\|_{\infty} \leq r$.
- The potential $q \in W^{2,\infty}(\Omega)$.
- $m > 0$,

$$W_m^{2,\infty}(\Omega) = \{q \in W^{2,\infty}(\Omega), \|q\|_{W^{2,\infty}} \leq m\}$$

Littlewood-Paley Decomposition

Denote $(e_j, \omega_j^2)_{j \geq 1}$ the spectral elements of Ω .

$$-\Delta e_j = \omega_j^2 e_j \quad \text{in } \Omega, \quad e_j = 0 \quad \text{on } \partial\Omega, \quad \|e_j\|_{L^2} = 1$$

Take $\theta \in C_0^\infty(\mathbb{R})$ and $\psi \in C_0^\infty(\mathbb{R}^*)$ such that

$$\theta(s) + \sum_{k=1}^{\infty} \psi(2^{-k}s) = 1, \quad s \in \mathbb{R}_+$$

$$\begin{cases} \psi_0(s) = \theta(s) \\ \psi_k(s) = \psi(2^{-k}s), \quad k \geq 1 \end{cases}$$

Spectral localization operators

$$k \in \mathbb{N}, \quad u = \sum_j a_j e_j,$$

$$\psi_k(D)u = \sum_j \psi(2^{-k}\omega_j^2) a_j e_j$$

$$S_k(D) = \sum_{j=0}^{k-1} \psi_j(D) \quad k \geq 1$$

$$\eta_k(D) = \sum_{j=k}^{\infty} \psi_j(D) = I - S_k(D)$$

In particular, for $j = -1, 0$,

$$\|\eta_k(D)\|_{\mathcal{L}(H^{j+1}, H^j)} \leq C2^{-k/2}$$

- 1 $\psi_k(D)u$ and $S_k(D)u$ are resp. the dyadic rings and blocks of u .
- 2 $\eta_k(D)u$ are the high frequencies of u .
- 3 On a compact manifold, these are pseudo-differential operators of order 0.
- 4 They are self-adjoints and commute with the laplacian.

Digression

$$\begin{cases} \partial_t^2 u - \Delta_x u = \chi_\omega(x)f & \text{in }]0, T[\times \Omega \\ u = 0 & \text{on }]0, T[\times \partial\Omega \\ (u(0), \partial_t u(0)) = (u_0, u_1) \in H_0^1 \times L^2 \end{cases}$$

We look for $f \in L^2(]0, T[\times \Omega)$, supported in ω s.t

$$(u(T), \partial_t u(T)) = (0, 0)$$

By HUM and under (G.C),

$$f = \chi_\omega(x)v$$

where

$$\begin{cases} \partial_t^2 v - \Delta_x v = 0 & \text{in }]0, T[\times \Omega \\ v = 0 & \text{on }]0, T[\times \partial\Omega \\ (v(0), \partial_t v(0)) = (v_0, v_1) \in L^2 \times H^{-1} \end{cases}$$

$$\left\{ \begin{array}{l} \Lambda : H_0^1 \times L^2 \rightarrow L^2 \times H^{-1} \\ (u_0, u_1) \rightarrow (v_0, v_1) \end{array} \right.$$

is the HUM optimal control.

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Theorem

(D-Lebeau)

In the setting above and under (G.C),

a) *For all $s \geq 0$,*

$$\Lambda : H^{s+1} \times H^s \rightarrow H^s \times H^{s-1}$$

is an isomorphism.

b)

$$\|\Lambda\psi_k(D) - \psi_k(D)\Lambda\| \leq C2^{-k/2}$$

The $\psi_k(D)$ almost commute to the HUM control operator.

$$\left\{ \begin{array}{ll} \square \Phi [Q] + Q(x) \Phi [Q] = 0 & \text{in }]0, T[\times \Omega \\ \Phi [Q] = 0 & \text{on }]0, T[\times \partial \Omega \\ (\Phi [Q] (0), \partial_t \Phi [Q] (0)) = (\Phi_0, \Phi_1) \in L^2 \times H^{-1} \end{array} \right. \quad (1)$$

Question: Reconstruct the initial data

$$(\Phi [Q] (0), \partial_t \Phi [Q] (0)) = (\Phi_0, \Phi_1)$$

from the measurement

$$a_\omega \Phi \quad \text{in }]0, T[\times \omega$$

Classical case: Q is a known potential.

Minimize over $(\varphi_0, \varphi_1) \in L^2 \times H^{-1}$, the functional

$$J[Q](\varphi_0, \varphi_1) = \frac{1}{2} \int_0^T \int_{\Omega} a_{\omega}^2 |\varphi[Q] - \Phi[Q]|^2 dxdt$$

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Minimize over $(\varphi_0, \varphi_1) \in L^2 \times H^{-1}$, the functional

$$J[Q](\varphi_0, \varphi_1) = \frac{1}{2} \int_0^T \int_{\Omega} a_{\omega}^2 |\varphi[Q] - \Phi[Q]|^2 dxdt$$

Less classical: Q is not known (precisely).

Here, we propose instead the minimizing over $(\varphi_0, \varphi_1) \in L^2 \times H^{-1}$ of the functional

$$J[q](\varphi_0, \varphi_1) = \frac{1}{2} \int_0^T \int_{\Omega} a_{\omega}^2 |\varphi[q] - \Phi[Q]|^2 dxdt \quad (2)$$

$$\begin{cases} \square \varphi[q] + q(x)\varphi[q] = 0 & \text{in }]0, T[\times \Omega \\ \varphi[q] = 0 & \text{on }]0, T[\times \partial\Omega \\ (\varphi[q](0), \partial_t \varphi[q](0)) = (\varphi_0, \varphi_1) \in L^2 \times H^{-1} \end{cases} \quad (3)$$

Here, the potential q is an **approximation** of Q .

- Take
- $m > 0$ and $q, Q \in W_m^{2,\infty}(\Omega)$,
 - $(\Phi_0, \Phi_1) \in L^2 \times H^{-1}$ and $\Phi [Q]$ the associated solution of system (1).
 - $(\Phi_0 [q], \Phi_1 [q])$ the minimizer of $J [q]$ in (2).

Theorem

There exists a constant $C > 0$ independent of (Φ_0, Φ_1) such that for every $k \geq 1$,

$$\begin{aligned} & \|\eta_k(D)((\Phi_0, \Phi_1) - (\Phi_0 [q], \Phi_1 [q]))\|_{L^2 \times H^{-1}} \\ & \leq C 2^{-k/4} \|a_\omega \Phi [Q]\|_{L^2(L^2)} \|q - Q\|_{W^{2,\infty}} \end{aligned} \quad (\text{DA})$$

Remark: Good approximation of the HF of the reconstructed initial data, even if the potential is not well known.

We still work under condition **(G.C)**.

Find $u \in L^2(]0, T[\times \Omega)$ s.t. the solution of system

$$\begin{cases} \square y + q(x)y = a_\omega u & \text{in }]0, T[\times \Omega \\ y = 0 & \text{on }]0, T[\times \partial\Omega \\ (y(0), \partial_t y(0)) = (y_0, y_1) \in H_0^1 \times L^2 \end{cases}$$

satisfies

$$(y(T), \partial_t y(T)) = (0, 0)$$

Actually, we get the optimal control u , i.e the one having the minimal norm in $L^2(]0, T[\times \Omega)$.

Minimize over $(\varphi_0, \varphi_1) \in L^2 \times H^{-1}$, the functional

$$K[q](\varphi_0, \varphi_1) = \frac{1}{2} \int_0^T \int_{\Omega} a_{\omega}^2 |\varphi[q]|^2 dxdt + \langle (\varphi_0, \varphi_1), (y_0, y_1) \rangle$$

where

$$\langle (\varphi_0, \varphi_1), (y_0, y_1) \rangle = \int_{\Omega} \varphi_0 y_1 - \int_{\Omega} \nabla(-\Delta)^{-1} \varphi_1 \cdot \nabla y_1$$

If $(\Phi_0[q], \Phi_1[q])$ is the minimizer of $K[q]$, the control $u[q]$ is then given by

$$u[q] = a_{\omega} \Phi[q]$$

where $\Phi[q]$ is the solution of (3).

- $m > 0$ and two potentials $q^a, q^b \in W_m^{2,\infty}(\Omega)$
- $(y_0, y_1) \in H_0^1 \times L^2$
- $(\Phi_0 [q^{a,b}], \Phi_1 [q^{a,b}])$ the respective minimizers of $K [q^{a,b}]$.

Theorem

There exists a constant $C > 0$, independent of (y_0, y_1) s.t. for every $k \geq 1$,

$$\begin{aligned} & \|\eta_k(D) a_\omega(\Phi [q^a] - \Phi [q^b])\|_{L^2(L^2)} \\ & \leq C 2^{-k/4} \|(y_0, y_1)\|_{H_0^1 \times L^2} \|q^a - q^b\|_{W^{2,\infty}} \end{aligned}$$

Moreover,

$$\begin{aligned} & \|\eta_k(D)(\Phi_0 [q^a] - \Phi_0 [q^b], \Phi_1 [q^a] - \Phi_1 [q^b])\|_{L^2 \times H^{-1}} \\ & \leq C 2^{-k/4} \|(y_0, y_1)\|_{H_0^1 \times L^2} \|q^a - q^b\|_{W^{2,\infty}} \end{aligned}$$

- One can weaken the potential regularity:
 $q \in H^2$ ($d = 1$), $W^{2,p}$ ($p > 2$, $d = 2$), $W^{1,\infty} \cap W^{2,d}$ ($d \geq 3$).

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- State of the art
 - * Bukhgeim-Klibanov (81')
 - * Puel-Yamamoto (96'), Yamamoto (99'), Imanuvilov-Yamamoto (03'), Baudouin-Mercado-Osses (07')
 - * Zhang (00')
 - * Baudouin-Buhan-Ervedoza (11')
 - * Stefanov-Uhlmann (11')

Estimates for the bracket

(D-Lebeau precised)

Theorem

There exists a constant $C > 0$ s.t. for every $k \geq 1$ and every $q \in W^{2,\infty}$,

$$\|[\psi_k(D), q]\|_{\mathcal{L}(L^2)} \leq C2^{-k/2} \|q\|_{W^{2,\infty}}$$

$$\|[\psi_k(D), q]\|_{\mathcal{L}(H_0^1)} \leq C2^{-k/2} \|q\|_{W^{2,\infty}}$$

$$\|[\psi_k(D), q]\|_{\mathcal{L}(H^{-1})} \leq C2^{-k/2} \|q\|_{W^{2,\infty}}$$

$$\|[\psi_k(D), q]\|_{\mathcal{L}(L^2, H^{-1})} \leq C2^{-k} \|q\|_{W^{2,\infty}}$$

$$\|[\psi_k(D), q]\|_{\mathcal{L}(H_0^1, L^2)} \leq C2^{-k} \|q\|_{W^{2,\infty}}$$

Remark: Similar estimates hold for high frequency brackets: $[\eta_k(D), q]$.

Theorem

Precised observability estimate

Assume that (ω, T) satisfies the (G.C) condition. Then for every $r > 0$, there exists a constant $C_r > 0$ s.t. for every $q \in L^\infty(\Omega)$ satisfying $\|q\|_{L^\infty} \leq r$, the following estimate

$$\|(\varphi(0), \partial_t \varphi(0))\|_{L^2 \times H^{-1}}^2 \leq C_r \int_0^T \int_\Omega a_\omega^2 |\varphi|^2 dx dt$$

holds true for every solution of the system

$$\square \varphi + q(x)\varphi = 0 \quad \text{in }]0, T[\times \Omega, \quad \varphi = 0 \quad \text{on }]0, T[\times \partial\Omega$$

Remark: This estimate is well known when ω satisfies the geometric Γ -condition of J-L.Lions (using Carleman inequalities). Here, we deal with a microlocal condition.

Sketch of the proof

Compactness-uniqueness argument.

- Relaxed observability (m.d.m's propagation)

$$\|(\varphi(0), \partial_t \varphi(0))\|_{L^2 \times H^{-1}}^2 \leq C_r \int_0^T \int_{\Omega} a_{\omega}^2 |\varphi|^2 dx dt + C_r \|\varphi\|_{L^2(H^{-1})}^2$$

- Removing the compact term (wave front propagation + unique continuation for Δ).

$$X[q] = \{ \varphi[q] \in L^2(]0, T[\times \Omega), \varphi[q] \text{ solution, } \varphi[q] = 0 \text{ in }]0, T[\times \omega \}$$

$$X[q] = \{0\}$$

Lemma

$$\left\{ \begin{array}{l} \text{If } \|q_n\|_{L^\infty} \leq r, \quad q_n \rightharpoonup Q \text{ in } L^2(\Omega) \\ \text{and } \varphi_n \rightharpoonup \varphi \text{ in } L^2(]0, T[\times \Omega), \end{array} \right.$$

then

$$q_n \varphi_n \rightharpoonup Q \varphi \text{ in } L^2(]0, T[\times \Omega)$$

Key point: $q_n = q_n(x)$, i.e. $\frac{\partial q_n}{\partial t} = 0$.

And $\partial_t^2 \varphi_n - \Delta \varphi_n + q_n \varphi_n = 0$.

So the mdm's of (q_n) et (φ_n) are supported on transverse manifolds (see P.Gérard 91').

Estimates for the bracket (Sketch of the proof)

$$\psi \in C_0^\infty(\mathbb{R}^*), \quad R = 2^k,$$

$$\psi_R(D)\left(\sum_j a_j e_j\right) = \sum_j \psi\left(\frac{\omega_j^2}{R}\right) a_j e_j$$

For $z \in \mathbb{C} \setminus \mathbb{R}_+$,

$$(z + \Delta)^{-1}\left(\sum_j a_j e_j\right) = \sum_j \frac{a_j}{z - \omega_j^2} e_j$$

Then for $z \in K$ compact $\subset \mathbb{C} \setminus \mathbb{R}_+$

$$\|(zR + \Delta)^{-1}f\|_{H^m} \leq \frac{1}{R|\operatorname{Im}z|} \|f\|_{H^m}, \quad m = 0, 1$$

$$\|(zR + \Delta)^{-1}f\|_{H^m} \leq \frac{C_1}{\sqrt{R}|\operatorname{Im}z|} \|f\|_{H^{m-1}}, \quad m = 0, 1$$

Let $\chi \in C_0^\infty(\mathbb{R})$, $(\alpha_k \rightarrow +\infty)$ and

$$\tilde{\psi}(x + iy) = \sum_{k \geq 0} \frac{\psi^{(k)}(x)}{k!} (iy)^k \chi(\alpha_k y)$$

an almost analytic extension of ψ , i.e. $\tilde{\psi}(x) = \psi(x)$ for x real and

$$\bar{\partial} \tilde{\psi}(z) \in O(|\operatorname{Im} z|^\infty).$$

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Helffer-Sjöstrand formula

$$\psi_R(D) = \frac{-1}{\pi} \int_{\mathbb{C}} \frac{\bar{\partial} \tilde{\psi}(z)}{z + \Delta/R} dz = \frac{-R}{\pi} \int_{\mathbb{C}} \frac{\bar{\partial} \tilde{\psi}(z)}{zR + \Delta} dz$$

Therefore,

$$[q, \psi_R(D)] = \frac{-R}{\pi} \int_{\mathbb{C}} \bar{\partial} \tilde{\psi}(z) (zR + \Delta)^{-1} [\Delta, q] (zR + \Delta)^{-1} dz$$

Recall the setting

$$\begin{cases} \square \Phi [Q] + Q(x) \Phi [Q] = 0 & \text{in }]0, T[\times \Omega \\ (\Phi [Q] (0), \partial_t \Phi [Q] (0)) = (\Phi_0, \Phi_1) \in L^2 \times H^{-1} \end{cases}$$

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→ The potential Q is not (well) known.

→ $(\Phi_0[q], \Phi_1[q])$ is the minimizer of the functional $J[q]$.

Step 1: First evaluations

$$\|(\Phi_0, \Phi_1)\|_{L^2 \times H^{-1}} + \|\Phi[Q]\|_{C(L^2) \cap C^1(H^{-1})} \leq C \|a_\omega \Phi[Q]\|_{L^2(L^2)}$$

$$\|a_\omega \Phi[q]\|_{L^2(L^2)} \leq C \|a_\omega \Phi[Q]\|_{L^2(L^2)}$$

$$\|(\Phi_0[q], \Phi_1[q])\|_{L^2 \times H^{-1}} + \|\Phi[q]\|_{C(L^2) \cap C^1(H^{-1})} \leq C \|a_\omega \Phi[Q]\|_{L^2(L^2)}$$

$$\|\Phi[q] - \Phi[Q]\|_{C(L^2) \cap C^1(H^{-1})} \leq C \|q - Q\|_{W^{2,\infty}} \|a_\omega \Phi[Q]\|_{L^2(L^2)}$$

Step 2: We estimate

$$w_k = \phi_k - \eta_k^2(D)(\Phi[q] - \Phi[Q])$$

where ϕ_k is the solution of

$$\begin{cases} \square \phi_k + q(x)\phi_k = 0 & \text{in }]0, T[\times \Omega \\ (\phi_k(0), \partial_t \phi_k(0)) = \eta_k^2(D)(\Phi_0[q] - \Phi_0, \Phi_1[q] - \Phi_1) \end{cases}$$

$$\begin{cases} \square w_k + q(x)w_k = f_k & \text{in }]0, T[\times \Omega \\ (w_k(0), \partial_t w_k(0)) = (0, 0) \end{cases}$$

$$f_k = [\eta_k^2(D), q](\Phi[q] - \Phi[Q]) + \eta_k^2(D)((q - Q)\Phi[Q])$$

$$\|w_k\|_{C(L^2) \cap C^1(H^{-1})} \leq C 2^{-k/2} \|q - Q\|_{W^{2,\infty}} \|a_\omega \Phi[Q]\|_{L^2(L^2)}$$

Last step: We estimate

$$\tilde{w}_k = \tilde{\phi}_k - \eta_k(D)(\Phi[q] - \Phi[Q])$$

where $\tilde{\phi}_k$ solves

$$\left\{ \begin{array}{l} \square \tilde{\phi}_k + q(x)\tilde{\phi}_k = 0 \quad \text{in }]0, T[\times \Omega \\ (\tilde{\phi}_k(0), \partial_t \tilde{\phi}_k(0)) = \eta_k(D)(\Phi_0[q] - \Phi_0, \Phi_1[q] - \Phi_1) \end{array} \right.$$

$$\|a_\omega \tilde{\phi}_k\|_{L^2(L^2)} \leq C 2^{-k/4} \|q - Q\|_{W^{2,\infty}} \|a_\omega \Phi[Q]\|_{L^2(L^2)}$$

And the result follows.

Recall the setting

$$\begin{cases} \square \Phi [q^a] + q^a(x) \Phi [q^a] = 0 & \text{in }]0, T[\times \Omega \\ (\Phi [q^a] (0), \partial_t \Phi [q^a] (0)) = (y_0, y_1) \in H_0^1 \times L^2 \end{cases}$$

$$\begin{cases} \square \Phi [q^b] + q^b(x) \Phi [q^b] = 0 & \text{in }]0, T[\times \Omega \\ (\Phi [q^b] (0), \partial_t \Phi [q^b] (0)) = (y_0, y_1) \in H_0^1 \times L^2 \end{cases}$$

Proof of the control theorem

Recall the setting

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$\rightarrow q^a, q^b \in W_m^{2,\infty}$.

$\rightarrow (\Phi_0[q], \Phi_1[q])$ is the minimizer over $L^2 \times H^{-1}$ of the functional

$$K[q](\varphi_0, \varphi_1) = \frac{1}{2} \int_0^T \int_{\Omega} a_{\omega}^2 |\varphi [q]|^2 dxdt + \langle (\varphi_0, \varphi_1), (y_0, y_1) \rangle$$

Step 1: First evaluations

$$\|(\Phi_0[q], \Phi_1[q])\|_{L^2 \times H^{-1}} + \|\Phi[q]\|_{C(L^2) \cap C^1(H^{-1})} \leq C \|(y_0, y_1)\|_{H_0^1 \times L^2}$$

Let $\varphi[q^a]$ be the solution of

$$\begin{cases} \square \varphi + q^a(x) \varphi = 0 & \text{on }]0, T[\times \Omega \\ (\varphi(0), \partial_t \varphi(0)) = (\Phi_0[q^a] - \Phi_0[q^b], \Phi_1[q^a] - \Phi_1[q^b]) \end{cases}$$

$$\left\| \varphi[q^a] - (\Phi[q^a] - \Phi[q^b]) \right\|_{C(L^2) \cap C^1(H^{-1})} \leq C \left\| q^a - q^b \right\|_{W^{2,\infty}} \|(y_0, y_1)\|_{H_0^1 \times L^2}$$

$$\left\| a_\omega(\Phi[q^a] - \Phi[q^b]) \right\|_{L^2(L^2)} \leq C \left\| q^a - q^b \right\|_{W^{2,\infty}} \|(y_0, y_1)\|_{H_0^1 \times L^2}$$

$$\left\{ \begin{array}{l} \|(\Phi_0[q^a] - \Phi_0[q^b], \Phi_1[q^a] - \Phi_1[q^b])\|_{L^2 \times H^{-1}} \\ \quad + \|\Phi[q^a] - \Phi[q^b]\|_{C(L^2) \cap C^1(H^{-1})} \\ \leq C \|q^a - q^b\|_{W^{2,\infty}} \|(y_0, y_1)\|_{H_0^1 \times L^2} \end{array} \right.$$

Step 2: Taking $q \in W_m^{2,\infty}$, we introduce $\phi_k[q]$ the solution of

$$\begin{cases} \square \phi_k + q(x)\phi_k = 0 & \text{in }]0, T[\times \Omega \\ (\phi_k(0), \partial_t \phi_k(0)) = \eta_k^2(D)(\Phi_0[q^a] - \Phi_0[q^b], \Phi_1[q^a] - \Phi_1[q^b]) \end{cases}$$

$$\begin{cases} \|\phi_k[q] - \eta_k^2(D)(\Phi[q^a] - \Phi[q^b])\|_{C(L^2) \cap C^1(H^{-1})} \\ \leq C2^{-k/2} \|q^a - q^b\|_{W^{2,\infty}} \|(y_0, y_1)\|_{H_0^1 \times L^2} \end{cases}$$

$$\|\eta_k(D)a_\omega(\Phi[q^a] - \Phi[q^b])\|_{L^2(L^2)} \leq C2^{-k/4} \|q^a - q^b\|_{W^{2,\infty}} \|(y_0, y_1)\|_{H_0^1 \times L^2}$$

Last step: We estimate

$$\tilde{\phi}_k - \eta_k(D)(\Phi[q^a] - \Phi[q^b])$$

where $\tilde{\phi}_k$ solves

$$\begin{cases} \square \tilde{\phi}_k + q^a(x) \tilde{\phi}_k = 0 & \text{in }]0, T[\times \Omega \\ (\tilde{\phi}_k(0), \partial_t \tilde{\phi}_k(0)) = \eta_k(D)(\Phi_0[q^a] - \Phi_0[q^b], \Phi_1[q^a] - \Phi_1[q^b]) \end{cases}$$

$$\|a_\omega \tilde{\phi}_k\|_{L^2(L^2)} \leq C 2^{-k/4} \|q^a - q^b\|_{W^{2,\infty}} \|(y_0, y_1)\|_{H_0^1 \times L^2}$$

And the result follows.