

NEW RESULTS ABOUT ORTHOGONALITY PRESERVING MAPS

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ABSTRACT. The Alaway's theorem on orthogonality preserving maps [1] is revisited and we provide a new proof of this result, through an original separation property involving regular forms. In fact, we show a light more general result concerning weakly orthogonal sequences(see section 3).

0. Introduction

In this paper, we derive an improved version of the well known Alaway's result on orthogonality preserving maps [1, 2, 3], first by carrying out a new proof without using Laguerre form and secondly by weakening the assumptions. Incidentally, we give a separation property of the set of regular forms which is interesting for itself(see Theorem 1.2). Surprisingly, the methods which have been used possess a completely elementary character.

1. Preliminaries and notations

Let us recall some definitions and general results. Let \mathcal{P} be the vector space of polynomials with coefficients in \mathbb{C} and let \mathcal{P}' be its dual. We denote by $\langle u, f \rangle$ the effect of $u \in \mathcal{P}'$ on $f \in \mathcal{P}$. In particular, we denote by $(u)_n := \langle u, x^n \rangle$, $n \geq 0$ the moments of u . For any form u , any polynomial g let $Du = u'$ and gu , be the forms defined by duality

$$\langle u', f \rangle := - \langle u, f' \rangle, \quad \langle gu, f \rangle := \langle u, gf \rangle, \quad f, g \in \mathcal{P}.$$

We define $(x - c)^{-1}u$ by $\langle (x - c)^{-1}u, f \rangle := \langle u, \theta_c f \rangle$, $f \in \mathcal{P}$ where $(\theta_c f)(x) := \frac{f(x) - f(c)}{x - c}$. Next, we define the right multiplication of a form by a polynomial

Received September 24, 2003.

2000 Mathematics Subject Classification: 33C45, 42C05.

Key words and phrases: orthogonal polynomials, regular forms, isomorphisms.

$$(uf)(x) := \langle u, \frac{xf(x) - \xi f(\xi)}{x - \xi} \rangle, f \in \mathcal{P}, u \in \mathcal{P}'.$$

This leads to the Cauchy product of two forms $\langle vu, f \rangle := \langle v, uf \rangle$, $u, v \in \mathcal{P}'$, $f \in \mathcal{P}$. The translation $\tau_b u$ and the dilatation $h_a u$ of a form u are respectively defined by

$$\begin{aligned} \langle \tau_b u, f \rangle &:= \langle u, \tau_{-b} f \rangle = \langle u, f(x + b) \rangle, \quad b \in \mathbb{C}, u \in \mathcal{P}', f \in \mathcal{P}. \\ \langle h_a u, f \rangle &:= \langle u, h_a f \rangle = \langle u, f(ax) \rangle, \quad a \in \mathbb{C} - \{0\}, u \in \mathcal{P}', f \in \mathcal{P}. \end{aligned}$$

Let $\{P_n\}_{n \geq 0}$ be a sequence of monic polynomials with $\deg P_n = n$, $n \geq 0$ (monic polynomial sequence : MPS) and let $\{u_n\}_{n \geq 0}$ be its dual sequence, $u_n \in \mathcal{P}'$ defined by $\langle u_n, P_m \rangle := \delta_{n,m}$, $n, m \geq 0$. The following result is basic [8].

LEMMA 1.1 For any $u \in \mathcal{P}'$ and any integer $m \geq 1$, the following statements are equivalent

- i) $\langle u, P_{m-1} \rangle \neq 0$, $\langle u, P_n \rangle = 0$, $n \geq m$,
- ii) $\exists \lambda_\nu \in \mathbb{C}$, $0 \leq \nu \leq m - 1$, $\lambda_{m-1} \neq 0$ such that

$$u = \sum_{\nu=0}^{m-1} \lambda_\nu u_\nu.$$

As usual, we denote by $\{P_n^{(1)}\}_{n \geq 0}$ the associated sequence of $\{P_n\}_{n \geq 0}$ with respect to u_0 defined by

$$P_n^{(1)}(x) := \langle u_0, \frac{P_{n+1}(x) - P_{n+1}(\xi)}{x - \xi} \rangle = (u_0 \theta_0 P_{n+1})(x), n \geq 0.$$

The form u is called *regular* if we can associate with it a sequence $\{P_n\}_{n \geq 0}$ such that

$$\langle u, P_m P_n \rangle = r_n \delta_{n,m}, \quad n, m \geq 0; \quad r_n \neq 0, n \geq 0.$$

The sequence $\{P_n\}_{n \geq 0}$ is then said orthogonal with respect to u . Necessarily $u = \lambda u_0$, $\lambda \neq 0$ and $\{P_n\}_{n \geq 0}$ is a polynomial sequence whose any polynomial can be supposed monic.

In this case, we have $u_n = (\langle u_0, P_n^2 \rangle)^{-1} P_n u_0$, $n \geq 0$ and reciprocally. The sequence $\{P_n\}_{n \geq 0}$ is then called a monic orthogonal polynomial sequence (MOPS). It satisfies the following second-order recurrence relation

$$(1.1) \quad \begin{aligned} P_0(x) &= 1, & P_1(x) &= x - \beta_0, \\ P_{n+2}(x) &= (x - \beta_{n+1})P_{n+1}(x) - \gamma_{n+1}P_n(x), & n &\geq 0. \end{aligned}$$

When u is regular, let ϕ be a polynomial such that $\phi u = 0$, then $\phi = 0$.

Moreover, it is well-known that the form $v = (x - c)u_0$ is regular

if and only if $P_n(c) \neq 0, n \geq 1$. In this case, the sequence $\{Q_n\}_{n \geq 0}$ orthogonal with respect to v is given by [4]

$$(1.2) \quad (x - c)Q_n(x) = P_{n+1}(x) - \frac{P_{n+1}(c)}{P_n(c)}P_n(x), \quad n \geq 0.$$

This implies

$$(1.3) \quad P_{n+1}(x) = Q_{n+1}(x) - \frac{P_n(c)}{P_{n+1}(c)}\gamma_{n+1}Q_n(x), \quad n \geq 0.$$

The sequence $\{Q_n\}_{n \geq 0}$ fulfills

$$(1.4) \quad \begin{aligned} Q_0(x) &= 1, & Q_1(x) &= x - \xi_0, \\ Q_{n+2}(x) &= (x - \xi_{n+1})Q_{n+1}(x) - \alpha_{n+1}Q_n(x), & n &\geq 0, \end{aligned}$$

where

$$(1.5) \quad \begin{aligned} \xi_n &= \beta_{n+1} + \frac{P_{n+2}(c)}{P_{n+1}(c)} - \frac{P_{n+1}(c)}{P_n(c)}, \\ \alpha_{n+1} &= \frac{P_{n+2}(c)P_n(c)}{P_{n+1}^2(c)}\gamma_{n+1}, \quad n \geq 0. \end{aligned}$$

As a consequence of these results, we have the following so-called *separation theorem*.

THEOREM 1.2. *Let $f \in \mathcal{P}$ such that $\langle u, f \rangle = 0$ for any u regular. Then necessarily $f = 0$.*

Proof. Suppose $n := \deg f \geq 1$. Following assumptions f is in the kernel of u

$$f = \sum_{\nu=1}^n \lambda_\nu P_\nu.$$

Now, there exists c_1 such that $u(1) = (x - c_1)u$ is regular. Then

$$0 = \langle u(1), f \rangle = \lambda_1 \langle u, P_1^2(x) \rangle,$$

which implies $\lambda_1 = 0$.

Thus, there exists c_μ such that $u(\mu) = (x - c_\mu)u(\mu - 1)$ is regular with $\lambda_\nu = 0, 1 \leq \nu \leq \mu - 1$ and

$$f = \sum_{\nu=\mu+1}^n \lambda_\nu P_\nu.$$

When $\mu = n - 1$, there exists c_n such that $u(n) = (x - c_n)u(n - 1) = \prod_{\nu=1}^n (x - c_\nu)u$ is regular and $0 = \langle u(n), f \rangle = \lambda_n \langle u, P_n^2 \rangle$. Thus

$\lambda_n = 0$, this is contradictory with the assumption $n \geq 1$. Necessarily $n = 0$, therefore $f = 0$. □

We also consider the co-recursive form $u_0(\mu)$ and its orthogonal sequence $\{P_n(\mu; \cdot)\}_{n \geq 0}$ fulfilling

$$(1.6) \quad \begin{aligned} P_0(\mu; x) &= 1, & P_1(\mu; x) &= x - \beta_0 - \mu, \\ P_{n+2}(\mu; x) &= (x - \beta_{n+1})P_{n+1}(\mu; x) - \gamma_{n+1}P_n(\mu; x), & n &\geq 0. \end{aligned}$$

We have [4,7]

$$(1.7) \quad P_n(\mu; x) = P_n(x) - \mu P_{n-1}^{(1)}(x), \quad n \geq 0,$$

$$(1.8) \quad u_0(\mu) = u_0 + \mu x^{-1} u_0 u_0(\mu).$$

Further, the form u is called *symmetric* when $(u)_{2n+1} = 0, n \geq 0$ and called *quasi antisymmetric* when $(u)_{2n} = 0, n \geq 1$. When u is regular, the symmetricalness implies $\beta_n = 0, n \geq 0$ ([4]) and the quasi antisymmetricalness implies $\beta_n = \alpha_{n-1} - \alpha_n, \alpha_{-1} = 0, \gamma_{n+1} = -\alpha_n^2, n \geq 0$ ([6]). Finally, let us recall some fundamental properties of linear mappings from \mathcal{P} into \mathcal{P} . Let J be a linear mapping from \mathcal{P} into \mathcal{P} and let $\{B_n\}_{n \geq 0}$ be an (MPS). The further results hold.

LEMMA 1.3. *The following statements are equivalent.*

- a) J is injective (one-to-one) and $\deg J(B_n) \leq n, n \geq 0$.
- b) The sequence $\{J(B_n)\}_{n \geq 0}$ is linearly independant and $\deg J(B_n) \leq n, n \geq 0$.
- c) $\deg J(B_n) = n, n \geq 0$.
- d) J is an isomorphism of \mathcal{P} .

Proof. Evident. □

Now, consider the following linear mapping

$$(1.9) \quad J := \sum_{\nu \geq 0} \frac{a_\nu(x)}{\nu!} D^\nu,$$

where

$$(1.10) \quad a_n(x) = \sum_{\nu=0}^n a_\nu^n x^\nu, \quad \deg a_n \leq n, \quad n \geq 0.$$

We easily obtain

$$(1.11) \quad J(\xi^n)(x) = \sum_{\tau=0}^n \left(\sum_{\mu+\nu=\tau} \binom{n}{n-\nu} a_\mu^{n-\nu} \right) x^\tau, \quad n \geq 0.$$

This application does not increase the degree.

LEMMA 1.4. *For any linear mapping J , not increasing the degree, there exists $\{a_n\}_{n \geq 0}$ given by (1.10) and such that J is read as in (1.9). This representation is unique. Further, the linear mapping J is an isomorphism of \mathcal{P} if and only if*

$$(1.12) \quad \lambda_n := \sum_{\mu=0}^n \binom{n}{\mu} a_\mu^\mu \neq 0, \quad n \geq 0.$$

Proof. The linear mapping J is well-determined by the data of the images $J(\xi^n) = D_n$, $n \geq 0$. Writing $D_n(x) = \sum_{\tau=0}^n d_\tau^n x^\tau$, from (1.11) we have $\sum_{\mu+\nu=\tau} \binom{n}{n-\nu} a_\mu^{n-\nu} = d_\tau^n$, $0 \leq \tau \leq n$ Therefore

$$(1.13) \quad a_0^n = d_0^n, \quad n \geq 0, \quad a_\tau^n = d_\tau^n - \sum_{\nu=1}^{\tau} \binom{n}{n-\nu} a_{\tau-\nu}^{n-\nu}, \quad 1 \leq \tau \leq n.$$

Thus, for any $n \geq 0$, a_τ^n , $0 \leq \tau \leq n$ is uniquely determined. The condition c) of Lemma 1.3 implies (1.12). \square

REMARKS 1. When the sequence $\{a_n\}_{n \geq 0}$ is an (MPS), the map J given by (1.9) is an isomorphism, since in this case $\lambda_n = 2^n$, $n \geq 0$.
 2. In particular, we have

$$(1.14) \quad \begin{cases} J(1)(x) = a_0^0, \\ J(\xi)(x) = (a_0^0 + a_1^1)x + a_0^1, \\ J(\xi^2)(x) = (a_0^0 + 2a_1^1 + a_2^2)x^2 + (2a_0^1 + a_1^2)x + a_0^2. \end{cases}$$

2. Characterizing orthogonality preserving isomorphisms

Let J be an isomorphism and let $\{P_n\}_{n \geq 0}$ an (MPS). Consider the following (MPS) $\{\widehat{P}_n\}_{n \geq 0}$ where $\widehat{P}_n := \lambda_n^{-1} J(P_n)$, $n \geq 0$. Denoting by $\{\widehat{u}_n\}_{n \geq 0}$ the dual sequence of $\{\widehat{P}_n\}_{n \geq 0}$, by virtue of Lemma 1.1, we have

$$(2.1) \quad J(\widehat{u}_n) = \lambda_n u_n, \quad n \geq 0.$$

where

$$J(u) := {}^t J(u) = \sum_{n \geq 0} \frac{(-1)^n}{n!} D^n(a_n u), \quad u \in \mathcal{P}'.$$

When $\{P_n\}_{n \geq 0}$ and $\{\widehat{P}_n\}_{n \geq 0}$ are respectively orthogonal sequences, we have

$$u_n = (\langle u_0, P_n^2 \rangle)^{-1} P_n u_0, \quad \hat{u}_n = (\langle \hat{u}_0, \widehat{P}_n^2 \rangle)^{-1} \widehat{P}_n \hat{u}_0, \quad n \geq 0.$$

Moreover $\{P_n\}_{n \geq 0}$ fulfils (1.1) and $\{\widehat{P}_n\}_{n \geq 0}$ fulfils

$$(2.2) \quad \begin{aligned} \widehat{P}_0(x) &= 1, & \widehat{P}_1(x) &= x - \hat{\beta}_0, \\ \widehat{P}_{n+2}(x) &= (x - \hat{\beta}_{n+1})\widehat{P}_{n+1}(x) - \hat{\gamma}_{n+1}\widehat{P}_n(x), & n &\geq 0. \end{aligned}$$

Since from (2.1) we have $\langle u_n, P_m \rangle = \lambda_n^{-1} \langle \hat{u}_n, J(P_m) \rangle$, $n, m \geq 0$, then in particular

$$(2.3) \quad \begin{aligned} \langle u_0, P_m \rangle &= \lambda_0^{-1} \langle \hat{u}_0, J(P_m) \rangle, \quad m \geq 0, \\ \langle u_0, P_1 P_m \rangle &= \lambda_1^{-2} \gamma_1 \hat{\gamma}_1^{-1} \langle \hat{u}_0, J(P_1) J(P_m) \rangle, \quad m \geq 0. \end{aligned}$$

This leads to

$$\begin{aligned} &\langle u_0, x P_m(x) \rangle - \lambda_1^{-2} \gamma_1 \hat{\gamma}_1^{-1} \langle \hat{u}_0, (J\xi)(x)(J P_m)(x) \rangle \\ &= \beta_0 \langle u_0, P_m \rangle \{1 - \lambda_0^2 \lambda_1^{-2} \gamma_1 \hat{\gamma}_1^{-1}\}, \quad m \geq 0. \end{aligned}$$

Consequently for any $f \in \mathcal{P}$

$$(2.4) \quad \begin{aligned} &\langle u_0, x f(x) - \lambda_0 \lambda_1^{-2} \gamma_1 \hat{\gamma}_1^{-1} (J^{-1}(J(\xi)J(f)))(x) \rangle \\ &= \beta_0 \langle u_0, f \rangle \{1 - \lambda_0^2 \lambda_1^{-2} \gamma_1 \hat{\gamma}_1^{-1}\}. \end{aligned}$$

Now suppose that J preserves orthogonality for any orthogonal sequence. Then the following result holds [1].

THEOREM. *When for any orthogonal sequence $\{P_n\}_{n \geq 0}$, the sequence $\{\widehat{P}_n\}_{n \geq 0}$ where $\widehat{P}_n = \lambda_n^{-1} J(P_n)$, $n \geq 0$ is also orthogonal, then necessarily $J = s(h_a \circ \tau_{-b})$, $s \neq 0$.*

Proof. Given $\{P_n\}_{n \geq 0}$ orthogonal, since the co-recursive sequence $\{P_n(\mu; \cdot)\}_{n \geq 0}$ is also orthogonal, then putting

$$\widehat{P}_n(x; \mu) = \lambda_n^{-1} (J P_n(\mu; \cdot))(x), \quad n \geq 0$$

the sequence $\{\widehat{P}_n(\cdot; \mu)\}_{n \geq 0}$ is orthogonal for any $\mu \in \mathbb{C}$ by virtue of assumption. We only need to calculate $\widehat{P}_1(x; \mu) = x - \hat{\beta}_0(\mu)$ and $\widehat{P}_2(x; \mu) = (x - \hat{\beta}_1(\mu))\widehat{P}_1(x; \mu) - \hat{\gamma}_1(\mu)$. From (1.14), we have

$$\begin{aligned} (J(1))(x) &= s, & (J(\xi))(x) &= s(ax + b), \\ (J(\xi^2))(x) &= \lambda_2 x^2 + (2sb + a_1^2)x + a_0^2, \end{aligned}$$

where we have put $\lambda_0 = s, \lambda_1 = sa, a_0^1 = sb$. Taking (1.7) into account, we obtain

$$\widehat{P}_1(x; \mu) = s^{-1}a^{-1}\{(J(\xi))(x) - (\beta_0 + \mu)s\} = x + a^{-1}(b - \beta_0 - \mu),$$

whence

$$(2.5) \quad \hat{\beta}_0(\mu) = a^{-1}(\beta_0 - b + \mu) = \hat{\beta}_0 + a^{-1}\mu.$$

Likewise, we have

$$\begin{aligned} \widehat{P}_2(x; \mu) &= \lambda_2^{-1}\{(J(\xi^2))(x) - (\beta_0 + \beta_1)(J(\xi))(x) + (\beta_0\beta_1 - \gamma_1)s\} \\ &\quad - \mu\lambda_2^{-1}\{(J(\xi))(x) - \beta_1s\}, \\ &= x^2 + \lambda_2^{-1}\{2sb + a_1^2 - sa(\beta_0 + \beta_1 + \mu)\}x \\ &\quad + \lambda_2^{-1}\{a_0^2 - sb(\beta_0 + \beta_1 + \mu) + s(\beta_0\beta_1 - \gamma_1 + \beta_1\mu)\}, \end{aligned}$$

which implies

$$\hat{\beta}_0(\mu) + \hat{\beta}_1(\mu) = \lambda_2^{-1}\{sa(\beta_0 + \beta_1 + \mu) - 2sb - a_1^2\},$$

$$\begin{aligned} \hat{\beta}_0(\mu)\hat{\beta}_1(\mu) - \hat{\gamma}_1(\mu) \\ = \lambda_2^{-1}\{a_0^2 - sb(\beta_0 + \beta_1 + \mu) + s(\beta_0\beta_1 - \gamma_1 + \beta_1\mu)\}. \end{aligned}$$

Therefore

$$\begin{cases} \hat{\beta}_1(\mu) = A\mu + \hat{\beta}_1 \\ \hat{\gamma}_1(\mu) = a^{-1}A\mu^2 + \{\hat{\beta}_0A + a^{-1}\hat{\beta}_1 + s\lambda_2^{-1}(b - \beta_1)\}\mu + \hat{\gamma}_1 \end{cases}$$

with

$$(2.6) \quad \hat{\beta}_1 = A(\beta_0 + \beta_1) + B + a^{-1}(\beta_1 - b)$$

$$(2.7) \quad \hat{\gamma}_1 = s\lambda_2^{-1}\gamma_1 + a^{-1}(A\beta_0^2 + B\beta_0 + C)$$

$$(2.8) \quad \begin{cases} A = sa\lambda_2^{-1} - a^{-1} \\ B = 2a^{-1}b(1 - sa\lambda_2^{-1}) - \lambda_2^{-1}a_1^2 \\ C = -a\lambda_2^{-1}a_0^2 + b(a^{-1}b - B) \end{cases}$$

Let us put $\vartheta(u_0) := a\hat{\gamma}_1\gamma_1^{-1} - a^{-1}$, then (2.7) becomes

$$(2.9) \quad (\vartheta(u_0) - A)\gamma_1 = A\beta_0^2 + B\beta_0 + C.$$

When u_0 is a symmetric regular form $u_0 = w_0$, we have $\beta_0 = 0$, consequently

$$(\vartheta(w_0) - A)\gamma_1 = C.$$

This provides for (2.9)

$$(2.10) \quad (\vartheta(u_0) - \vartheta(w_0))\gamma_1 = A\beta_0^2 + B\beta_0.$$

When u_0 is an antisymmetric regular form $u_0 = z_0$, we have $\gamma_1 = -\beta_0^2 \neq 0$ and from (2.9), (2.10) respectively this implies

$$\begin{aligned} -\vartheta(z_0)\beta_0^2 &= B\beta_0 + C, \\ \vartheta(z_0)\beta_0^2 &= (\vartheta(w_0) - A)\beta_0^2 - B\beta_0. \end{aligned}$$

Hence $0 = (\vartheta(w_0) - A)\beta_0^2 + C$ for any $\beta_0 \neq 0$ where $\vartheta(w_0), C$ do not depend on β_0 . This yields

$$(2.11) \quad \vartheta(w_0) - A = 0, \quad C = 0.$$

But we have not taken into account the regularity of $\hat{u}_0(\mu)$. For $\hat{\gamma}_1(\mu) \neq 0, \forall \mu \in \mathbb{C}$, it is necessary and sufficient that $A = 0$ and $\hat{\beta}_0 A + a^{-1}\hat{\beta}_1 + s\lambda_2^{-1}(b - \beta_1) = 0$ or

$$(2.12) \quad A = 0, \quad B = 0.$$

It follows from (2.8) $\lambda_2 = sa^2, a_1^2 = 2sb(a - 1), a_0^2 = sb^2$ and from (2.7) : $a^{-2}\gamma_1\hat{\gamma}_1^{-1} = 1$, therefore (2.4) becomes

$$(2.13) \quad \langle u_0, xf(x) - s^{-1}(J^{-1}(J(\xi)J(f))) \rangle(x) = 0.$$

On now using the Theorem 1.2, we finally arrive at

$$xf(x) - s^{-1}(J^{-1}(J(\xi)J(f))) \rangle(x) = 0,$$

or

$$J(\xi f(\xi))(x) = s^{-1}J(\xi)(x)J(f)(x), \quad f \in \mathcal{P}.$$

With $f(x) = x^n, n \geq 0$, this leads to $J(\xi^n)(x) = s(ax + b)^n, n \geq 0$ and the result is proved. □

3. Generalization

In fact, the previous result is a consequence of the more general following claim. First, a definition.

DEFINITION. The sequence $\{P_n\}_{n \geq 0}$ is said *weakly orthogonal of index* (p, q) with respect to u , when there exist two integers $p, q \geq 1$ such that [5]

$$(3.1) \quad \begin{aligned} \langle u, P_{p-1} \rangle &\neq 0, & \langle u, P_n \rangle &= 0, & n &\geq p, \\ \langle xu, P_{q-1} \rangle &\neq 0, & \langle xu, P_n \rangle &= 0, & n &\geq q. \end{aligned}$$

EXAMPLES. An orthogonal sequence is weakly orthogonal of index $(1, 2)$, but in general the converse is not true. An interesting case where weak orthogonality is equivalent to regular orthogonality arises when $\{P_n\}_{n \geq 0}$ is orthogonal and its derivative sequence $\{P_n^{[1]}\}_{n \geq 0}$ is weakly orthogonal of index $(1, 2)$, with $P_n^{[1]}(x) := (n + 1)^{-1}P'_{n+1}(x)$, $n \geq 0$. Then $\{P_n^{[1]}\}_{n \geq 0}$ is orthogonal, in other words $\{P_n\}_{n \geq 0}$ is necessarily classical [5, 8].

For any (MPS) $\{P_n\}_{n \geq 0}$, we have the following so called structure relation

$$(3.2) \quad \begin{aligned} P_0(x) &= 1, & P_1(x) &= x - \beta_0, \\ P_{n+2}(x) &= (x - \beta_{n+1})P_{n+1}(x) - \sum_{\nu=0}^n \chi_{n,\nu} P_\nu(x), & n &\geq 0, \end{aligned}$$

with

$$(3.3) \quad \begin{aligned} \beta_n &= \langle u_n, xP_n(x) \rangle, & n &\geq 0, \\ \chi_{n,\nu} &= \langle u_\nu, xP_{n+1}(x) \rangle, & 0 \leq \nu &\leq n. \end{aligned}$$

Further, the co recursive sequence $\{P_n(\mu; \cdot)\}_{n \geq 0}$ of $\{P_n\}_{n \geq 0}$ is defined by

$$(3.4) \quad \begin{aligned} P_0(\mu; x) &= 1, & P_1(\mu; x) &= x - \beta_0 - \mu, \\ P_{n+2}(\mu; x) &= (x - \beta_{n+1})P_{n+1}(\mu; x) - \sum_{\nu=0}^n \chi_{n,\nu} P_\nu(\mu; x), & n &\geq 0. \end{aligned}$$

The associated sequence $\{P_n^{(1)}\}_{n \geq 0}$ fulfils [7]

$$(3.5) \quad \begin{aligned} P_0^{(1)}(x) &= 1, & P_1^{(1)}(x) &= x - \beta_0^{(1)}, \\ P_{n+2}^{(1)}(x) &= (x - \beta_{n+1}^{(1)})P_{n+1}^{(1)}(x) - \sum_{\nu=0}^n \chi_{n,\nu}^{(1)} P_\nu^{(1)}(x), & n &\geq 0, \end{aligned}$$

where

$$(3.6) \quad \beta_n^{(1)} = \beta_{n+1}, \quad n \geq 0, \quad \chi_{n,\nu}^{(1)} = \chi_{n+1,\nu+1}, \quad 0 \leq \nu \leq n.$$

LEMMA 3.1. *The relation (1.7) remains true.*

Proof. For $n = 1$, the relation (1.7) holds. Suppose it holds for $1 \leq n \leq m + 1$. Then we have

$$\begin{aligned}
 P_{m+2}(\mu; x) &= (x - \beta_{m+1})P_{m+1}(\mu; x) - \sum_{\nu=0}^m \chi_{m,\nu} P_{\nu}(\mu; x) \\
 &= (x - \beta_{m+1})\{P_{m+1}(x) - \mu P_m^{(1)}(x)\} \\
 &\quad - \sum_{\nu=0}^m \chi_{m,\nu} \{P_{\nu}(x) - \mu P_{\nu-1}^{(1)}(x)\} \\
 &= P_{m+2}(x) - \mu \left\{ (x - \beta_{m+1})P_m^{(1)}(x) \right. \\
 &\quad \left. - \sum_{\nu=0}^m \chi_{m,\nu} P_{\nu-1}^{(1)}(x) \right\} \\
 &= P_{m+2}(x) - \mu P_{m+1}^{(1)}(x) .
 \end{aligned}$$

□

Obviously when the sequence $\{P_n\}_{n \geq 0}$ is weakly orthogonal of index (1, 2), then the sequence $\{P_n(\mu; \cdot)\}_{n \geq 0}$ is weakly orthogonal of index (1, 2).

LEMMA 3.2. For any (MPS) $\{P_n\}_{n \geq 0}$, the following assertions are equivalent (see [8])

- a) The sequence $\{P_n\}_{n \geq 0}$ is weakly orthogonal of index (1, 2).
- b) $\chi_{0,0} \neq 0, \quad \chi_{n,0} = 0, \quad n \geq 1.$
- c) $xu_0 = \beta_0 u_0 + \chi_{0,0} u_1, \quad \chi_{0,0} \neq 0.$

Proof. a) \implies b) Evident. b) \implies c) Following Lemma 1.1.

c) \implies a) Evident. □

Now we are able to prove

PROPOSITION. If the isomorphism J transforms any orthogonal sequence into a weakly orthogonal sequence of index (1, 2), then necessarily $J = s(h_a \circ \tau_{-b})$.

Proof. By virtue of the property c) of the Lemma 3.2, the relation (2.4) remains true

$$\begin{aligned}
 (3.7) \quad &< u_0, x f(x) - s^{-1} \Lambda(u_0) \left(J^{-1}(J(\xi)J(f)) \right) (x) > \\
 &= \beta_0 < u_0, f > \{1 - \Lambda(u_0)\},
 \end{aligned}$$

where

$$(3.8) \quad \Lambda(u_0) = \lambda_0^2 \lambda_1^{-2} \gamma_1 \widehat{\chi}_{0,0}^{-1} .$$

Likewise, the relations (2.6) remain valid

$$\hat{\beta}_1(\mu) = A\mu + \hat{\beta}_1,$$

$$\hat{\chi}_{0,0}(\mu) = a^{-1}A\mu^2 + \{\hat{\beta}_0A + a^{-1}\hat{\beta}_1 + s\lambda_2^{-1}(b - \beta_1)\}\mu + \hat{\chi}_{0,0},$$

and also (2.9)

$$(\vartheta(u_0) - A)\chi_{0,0} = A\beta_0^2 + B\beta_0 + C,$$

with $\vartheta(u_0) = a\hat{\chi}_{0,0}\chi_{0,0}^{-1} - a^{-1}$ and where A, B, C are given by (2.8).

A symmetric sequence $\{P_n\}_{n \geq 0}$ is characterized by $\beta_n = 0, n \geq 0$; $\chi_{2n+1,2\nu} = 0, 0 \leq \nu \leq n$; $\chi_{2n,2\nu+1} = 0, 0 \leq \nu \leq n-1$ ([7]). In the case of a quasi-antisymmetric sequence, we have $0 = (u_0)_2 = \beta_0^2 + \chi_{0,0}$. Therefore, we have always $C = 0$ and $A = 0, B = 0$ yielded by $\hat{\chi}_{0,0}(\mu) \neq 0, \forall \mu \in \mathbb{C}$. Thus $\vartheta(u_0) = 0$ and this leads to $\Lambda(u_0) = 1$ in accordance with the following identity $a\Lambda(u_0) = (\vartheta(u_0) + a^{-1})^{-1}$. From (3.7), we meet again (2.13), thus we obtain the desired result. \square

ACKNOWLEDGEMENTS. Part of this work was performed while I was in residence at the *Departamento de Matemática Aplicada da Faculdade de Ciências da Universidade do Porto*. I am grateful for the support of the *Fundação para a Ciência e a Tecnologia, Praxis XXI*.
I would like to thank the referee for his useful comments.

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