

Critical Rotational Speeds in the Gross-Pitaevskii Theory on a Disc with Dirichlet Boundary Conditions

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Abstract

We study the two-dimensional Gross-Pitaevskii theory of a rotating Bose gas in a disc-shaped trap with Dirichlet boundary conditions, generalizing and extending previous results that were obtained under Neumann boundary conditions. The focus is on the energy asymptotics, vorticity and qualitative properties of the minimizers in the parameter range $|\log \varepsilon| \ll \Omega \lesssim \varepsilon^{-2} |\log \varepsilon|^{-1}$ where Ω is the rotational velocity and the coupling parameter is written as ε^{-2} with $\varepsilon \ll 1$. Three critical speeds can be identified. At $\Omega = \Omega_{c_1} \sim |\log \varepsilon|$ vortices start to appear and for $|\log \varepsilon| \ll \Omega < \Omega_{c_2} \sim \varepsilon^{-1}$ the vorticity is uniformly distributed over the disc. For $\Omega \geq \Omega_{c_2}$ the centrifugal forces create a hole around the center with strongly depleted density. For $\Omega \ll \varepsilon^{-2} |\log \varepsilon|^{-1}$ vorticity is still uniformly distributed in an annulus containing the bulk of the density, but at $\Omega = \Omega_{c_3} \sim \varepsilon^{-2} |\log \varepsilon|^{-1}$ there is a transition to a giant vortex state where the vorticity disappears from the bulk. The energy is then well approximated by a trial function that is an eigenfunction of angular momentum but one of our results is that the true minimizers break rotational symmetry in the whole parameter range, including the giant vortex phase.

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1 Introduction and Main Results

The Gross-Pitaevskii (GP) theory is the most commonly used model to describe the behavior of rotating superfluids. Since the nucleation of quantized vortices is a signature of the superfluid behavior it is of great interest to understand that phenomenon in the framework of the GP theory. A fascinating example of superfluid is provided by a cold Bose gas forming a Bose-Einstein condensate (BEC). The possibility to nucleate quantized vortices in a rotating BEC has triggered a lot of interest in the last decade, both experimental and theoretical (see the reviews [Co, Fe1] and the monograph [A] for further references).

Bose-Einstein condensates are trapped systems: A magneto-optical confinement is imposed on the atoms. When rotating such a system, the strength of the confinement can lead to two different behaviors. If the trapping potential increases quadratically with the distance from the rotation axis (‘harmonic’ trap), there exists a limiting angular velocity that one can impose to the gas. Any larger velocity would result in a centrifugal force stronger than the trapping force. The atoms would then be driven out of the trap. By contrast, a stronger confinement (‘anharmonic’ trap) allows in principle an arbitrary angular velocity. In this paper we focus on the two-dimensional GP theory for a BEC with anharmonic confinement.

Theoretical and numerical arguments have been proposed in the physics literature (see, e.g., [FJS, FB, KB]) in favor of the existence of three critical speeds at which important phase transitions are expected to happen:

- If the velocity Ω is smaller than the first critical velocity Ω_{c_1} , then there are no vortices in the condensate (‘vortex-free state’);
- If Ω is between Ω_{c_1} and Ω_{c_2} , there is a hexagonal lattice of singly quantized vortices (‘vortex-lattice state’);
- When Ω is taken larger than Ω_{c_2} , the centrifugal force becomes so important that it dips a hole in the center in the condensate. The annulus in which the mass is concentrated still supports a vortex lattice however (‘vortex-lattice-plus-hole state’), until Ω crosses the third threshold Ω_{c_3} ;
- If Ω is larger than Ω_{c_3} , all vortices retreat in the central low density hole, resulting in a ‘giant vortex’ state. The central hole acts as a multiply quantized vortex with a large phase circulation.

In [CDY1, CY, CRY, R] we have studied these phase transitions using as model case a BEC in a ‘flat’ trap, that is a constant potential with hard walls. This is the ‘most anharmonic’ confinement one can imagine and serves as an approximation for potentials used in experiments. Mathematically, it has the advantage that the rescaling of spatial variables as $\varepsilon \rightarrow 0$ and/or $\Omega \rightarrow \infty$ is avoided. The GP energy functional in the non-inertial rotating frame is defined as

$$\mathcal{E}^{\text{GP}}[\Psi] := \int_{\mathcal{B}} d\vec{r} \left\{ |\nabla\Psi|^2 - 2\Psi^* \vec{\Omega} \cdot \vec{L}\Psi + \varepsilon^{-2} |\Psi|^4 \right\} \quad (1.1)$$

where we have denoted the physical angular velocity by $2\vec{\Omega}$, $\vec{L} = -i\vec{r} \wedge \nabla$ is the angular momentum operator and \mathcal{B} the unit two-dimensional disc. We have written the coupling constant as ε^{-2} . The subsequent analysis (as well as the papers [CDY1, CY, CRY, R]) is concerned about the ‘Thomas-Fermi’ (or strongly interacting) limit where $\varepsilon \rightarrow 0$.

The simplest way to define the ground state of the system is to minimize the energy functional (1.1) under the mass constraint

$$\int_{\mathcal{B}} d\vec{r} |\Psi|^2 = 1$$

with no further conditions. This is the approach that has been considered in the previous papers [CDY1, CY, CRY, R], leading to Neumann boundary conditions on $\partial\mathcal{B}$. We will refer to this situation as the ‘flat Neumann problem’ in the sequel.

There are, however, both physical and mathematical reasons for considering also the corresponding problem with a Dirichlet boundary condition, i.e., requiring the wave function to vanish on the boundary of the unit disc. Physically, this corresponds to a hard, repelling wall which is usually a closer approximation to real experimental situations than a ‘sticky’ wall modeled by a Neumann boundary condition. The Dirichlet boundary condition can be formally implemented by replacing the flat trap with a smooth confining potential of the form r^s and taking¹ $s \rightarrow \infty$.

Mathematically, the new boundary condition poses several new aspects compared to the Neumann case. For one thing, the density profile is no longer a monotonously increasing function of the radial variable and the position of the density maximum has to be precisely estimated. Furthermore, energy estimates have to be refined to take the boundary effect into account, and a boundary estimate for the GP minimizer, that was an important ingredient in the proof of the giant vortex transition in [CRY], has to be replaced by a different approach.

In addition to these adaptations to the new situation the present paper contains also substantial improvements of results proved previously in the Neumann case. These concern in particular the uniform distribution of vorticity in the bulk (Theorem 1.1) and the rotational symmetry breaking (Theorem 1.6). Besides, the error term in our energy estimate in Theorem 1.4 below is much smaller than the corresponding term in [CRY, Theorem 1.2]. This last improvement is due to the new method for estimating a potential function that we use to avoid the boundary estimate.

From now on the minimization of (1.1) is considered on the domain

$$\mathcal{D}^{\text{GP}} := \{ \Psi \in H_0^1(\mathcal{B}) : \|\Psi\|_2 = 1 \}, \quad (1.2)$$

where $H_0^1(\mathcal{B})$ is the Sobolev space of complex valued functions Ψ on \mathcal{B} with $\int_{\mathcal{B}} (|\Psi|^2 + |\nabla\Psi|^2) < \infty$ and $\Psi(\vec{r}) = 0$ on $\partial\mathcal{B}$. The ground state energy is thus defined as

$$E^{\text{GP}} := \inf_{\Psi \in \mathcal{D}^{\text{GP}}} \mathcal{E}^{\text{GP}}[\Psi], \quad (1.3)$$

¹This limit has to be taken with care, however, because it can not be interchanged with the asymptotic limit $\varepsilon\Omega \rightarrow \infty$ we shall consider. This point will be discussed further in [CPRY].

and any corresponding minimizer is denoted by Ψ^{GP} . This case will be referred to as the ‘flat Dirichlet problem’. In the following we will often use a different form of the GP functional which can be obtained by introducing a vector potential, i.e.,

$$\mathcal{E}^{\text{GP}}[\Psi] = \int_{\mathcal{B}} d\vec{r} \left\{ \left| (\nabla - i\vec{A}) \Psi \right|^2 - \Omega^2 r^2 |\Psi|^2 + \varepsilon^{-2} |\Psi|^4 \right\}, \quad (1.4)$$

where

$$\vec{A} := \vec{\Omega} \wedge \vec{r} = \Omega r \vec{e}_\vartheta. \quad (1.5)$$

Here (r, ϑ) are two-dimensional polar coordinates and \vec{e}_ϑ a unit vector in the angular direction.

The GP minimizer is in general not unique because vortices can break the rotational symmetry (see Section 1.3) but any minimizer satisfies in the open ball the variational equation (GP equation)

$$-\Delta \Psi^{\text{GP}} - 2\vec{\Omega} \cdot \vec{L} \Psi^{\text{GP}} + 2\varepsilon^{-2} |\Psi^{\text{GP}}|^2 \Psi^{\text{GP}} = \mu^{\text{GP}} \Psi^{\text{GP}}, \quad (1.6)$$

with additional Dirichlet conditions at the boundary, i.e.,

$$\Psi^{\text{GP}}(\vec{r}) = 0 \quad \text{on } \partial\mathcal{B}. \quad (1.7)$$

The chemical potential in (1.6) is given by the normalization condition on Ψ^{GP} , i.e.,

$$\mu^{\text{GP}} := E^{\text{GP}} + \frac{1}{\varepsilon^2} \int_{\mathcal{B}} d\vec{r} |\Psi^{\text{GP}}|^4. \quad (1.8)$$

For such a model, variational arguments have been provided in [FB] to support the following conjectures about the three critical speeds:

$$\Omega_{c_1} \propto |\log \varepsilon|, \quad (1.9)$$

$$\Omega_{c_2} \propto \varepsilon^{-1}, \quad (1.10)$$

$$\Omega_{c_3} \propto \varepsilon^{-2} |\log \varepsilon|^{-1}. \quad (1.11)$$

As for the behavior of the condensate close to Ω_{c_1} , the centrifugal force is not strong enough for the specificity of the anharmonic confinement to be of importance. A consequence is that the analysis developed in [IM1, IM2] (see also [AJR] for recent developments) for harmonic traps applies and leads to the rigorous estimate

$$\Omega_{c_1} = |\log \varepsilon| (1 + o(1)) \quad (1.12)$$

when $\varepsilon \rightarrow 0$. In this paper we aim at providing estimates of Ω_{c_2} and Ω_{c_3} and thus will assume that

$$\Omega \gg |\log \varepsilon|,$$

i.e., we consider angular velocities strictly above Ω_{c_1} . The situation is then very different from that in a harmonic trap because of the onset of strong centrifugal forces when Ω approaches Ω_{c_2} .

Our main results can be summarized as follows. We show that if $\Omega \leq 2(\sqrt{\pi\varepsilon})^{-1}$, the condensate is disc-shaped, while for $\Omega > 2(\sqrt{\pi\varepsilon})^{-1}$ the matter density is confined in an annulus along the boundary of \mathcal{B} . In addition we prove that if

$$|\log \varepsilon| \ll \Omega \ll \frac{1}{\varepsilon^2 |\log \varepsilon|},$$

there is a uniform distribution of vorticity in the bulk of the condensate. Although our estimates are not precise enough to show that there is a hexagonal lattice of vortices, these results support the qualitative picture provided in [FB]. We deduce that when $\varepsilon \rightarrow 0$

$$\Omega_{c_2} = \frac{2}{\sqrt{\pi\varepsilon}} (1 + o(1)). \quad (1.13)$$

We refer to Section 1.1 for the detailed statements of these results.

In Section 1.2 we present our results about the third critical speed. We show that if $\Omega = \Omega_0 \varepsilon^{-2} |\log \varepsilon|^{-1}$ with $\Omega_0 > (3\pi)^{-1}$, then there are no vortices in the bulk of the condensate. This provides an upper bound on the third critical speed

$$\Omega_{c_3} \leq \frac{2}{3\pi \varepsilon^2 |\log \varepsilon|^{-1}} (1 + o(1)). \quad (1.14)$$

It should be noted right away that we do believe that this upper bound is optimal. This has been proved in [R] in the flat Neumann case and the adaptation of the adequate tools to the flat Dirichlet case is possible but beyond the scope of this paper. We hope to come back to the regime $\Omega \propto \Omega_{c_3}$ in the future.

In the regime $\Omega > \Omega_{c_3}$ a very natural question occurs about the distribution of vorticity in the central hole of low matter density: Is the phase of the condensate created by a single multiply quantized vortex at the center of the trap? We show that this is not the case in Section 1.3 and, as a consequence, the rotational symmetry is always broken at the level of the ground state, even when $\Omega > \Omega_{c_3}$.

Before stating our results more precisely, we want to make a comparison with the 2D Ginzburg-Landau (GL) theory for superconductors in applied magnetic fields (see [FH, SS2] for a mathematical presentation). The analogies between GP and GL theories have often been pointed out in the literature, with the external magnetic field playing in GL theory the role of the angular velocity in GP theory. We stress that our results in fact enlighten significant differences between the two theories. Whereas the first critical speed in GP theory can be seen as the equivalent of the first critical field in GL theory, the second and third critical speeds have little to do with the second and third critical fields of the GL theory. The difference can be seen both in the order of magnitudes of these quantities as functions of ε (which for a superconductor is the inverse of the GL parameter) and in the qualitative properties of the states appearing in the theories. In GP theory there is no equivalent of the normal state and there is no vortex-lattice-plus-hole state in GL theory. The giant vortex state of GP theory could be compared to the surface superconductivity state in GL theory, but the physics governing the onset of these two phases is quite different. The main reason for this different behavior is the combined influence of the centrifugal force and mass constraint in GP theory, two features that have no equivalent in GL theory.

We will now state our results rigorously. The core analysis that we present below is an adaptation of the techniques developed in [CDY1, CY, CRY] for the Neumann case, but the Dirichlet condition leads to important novel aspects that we discuss in the sequel.

1.1 The Regime $|\log \varepsilon| \ll \Omega \ll \varepsilon^{-2} |\log \varepsilon|^{-1}$: Uniform Distribution of Vorticity

Before stating our results we need to introduce some notation. We define the density functional

$$\hat{\mathcal{E}}^{\text{GP}}[f] := \int_{\mathcal{B}} d\vec{r} \left\{ |\nabla f|^2 - \Omega^2 r^2 f^2 + \varepsilon^{-2} f^4 \right\}, \quad (1.15)$$

for any *real* function f . The minimization is given by

$$\hat{E}^{\text{GP}} := \inf_{f \in \hat{\mathcal{G}}^{\text{GP}}} \hat{\mathcal{E}}^{\text{GP}}[f], \quad \hat{\mathcal{G}}^{\text{GP}} := \{f \in H_0^1(\mathcal{B}) : f = f^*, \|f\|_2 = 1\} \quad (1.16)$$

and g is the associated minimizer (see Proposition 2.1). In order to give a precise meaning to the expression ‘bulk of the condensate’, we introduce the following Thomas-Fermi functional, obtained by dropping the first term in (1.4) or (1.15):

$$\mathcal{E}^{\text{TF}}[\rho] := \frac{1}{\varepsilon^2} \int_{\mathcal{B}} d\vec{r} \left\{ \rho^2 - \varepsilon^2 \Omega^2 r^2 \rho \right\}, \quad (1.17)$$

which is expected to provide the energy associated with the non-uniform density of the condensate. We refer to the Appendix for the properties of its ground state energy E^{TF} and associated minimizer ρ^{TF} . Let us define

$$\mathcal{A}^{\text{TF}} := \text{supp}(\rho^{\text{TF}}). \quad (1.18)$$

If $\Omega \leq 2(\sqrt{\pi}\varepsilon)^{-1}$, $\mathcal{A}^{\text{TF}} = \mathcal{B}$, while if $\Omega > 2(\sqrt{\pi}\varepsilon)^{-1}$, \mathcal{A}^{TF} is an annulus of outer radius 1 and inner radius R_h with $1 - R_h \propto (\varepsilon\Omega)^{-1}$. As we shall see below, $|\Psi^{\text{GP}}|^2$ is close to ρ^{TF} and thus, if $\Omega \gg \varepsilon^{-1}$, the mass of Ψ^{GP} is concentrated close to the boundary of \mathcal{B} .

Our result about the uniform distribution of vorticity in fact holds in a slightly smaller region than \mathcal{A}^{TF} , namely the annulus

$$\mathcal{A}_{\text{bulk}} := \left\{ \vec{r} \in \mathcal{B} : \tilde{R} \leq r \leq R_m \right\} \quad (1.19)$$

where, for a certain quantity $\gamma := \gamma(\varepsilon, \Omega) > 0$ such that $\gamma = o(1)$ as $\varepsilon \rightarrow 0$ (see Section 3.3, Equation (3.35) for its precise definition),

$$\tilde{R} := \begin{cases} 0, & \text{if } \Omega \leq \bar{\Omega}\varepsilon^{-1}, \text{ with } \bar{\Omega} < 2/\sqrt{\pi}, \\ R_h + \gamma\varepsilon^{-1}\Omega^{-1}, & \text{if } 2(\sqrt{\pi}\varepsilon)^{-1} \lesssim \Omega \ll \varepsilon^{-2}|\log \varepsilon|^{-1}, \end{cases} \quad (1.20)$$

and R_m is the position of the unique maximum of the density g (see Proposition 2.2). It should be noted that \tilde{R} is close to R_h and R_m is close to 1 in such a way that

$$|\mathcal{A}^{\text{TF}} \setminus \mathcal{A}_{\text{bulk}}| \ll \mathcal{O}(\varepsilon^{-1}\Omega^{-1}) = |\mathcal{A}^{\text{TF}}|,$$

i.e., the domain $\mathcal{A}_{\text{bulk}}$ tends to the support of the TF density as $\varepsilon \rightarrow 0$. Also, thanks to the above estimate, we have

$$\int_{\mathcal{A}_{\text{bulk}}} d\vec{r} |\Psi^{\text{GP}}|^2 = 1 - o(1), \quad (1.21)$$

i.e., the mass is concentrated in $\mathcal{A}_{\text{bulk}}$. We refer to (2.22), (2.23) and (2.32) below for precise estimates of R_m .

We now state our result about the uniform distribution of vorticity. It is the analogue of [CY, Theorem 3.3] but here we prove that the distribution of vorticity is uniform in the whole regime $|\log \varepsilon| \ll \Omega \ll \varepsilon^{-2}|\log \varepsilon|^{-1}$ whereas in [CY] this was proved only for $\Omega \lesssim \varepsilon^{-1}$.

Theorem 1.1 (Uniform distribution of vorticity).

Let Ψ^{GP} be any GP minimizer and $\varepsilon > 0$ sufficiently small. If $|\log \varepsilon| \ll \Omega \ll \varepsilon^{-2}|\log \varepsilon|^{-1}$, there exists a finite family of disjoint balls² $\{\mathcal{B}_i\} := \{\mathcal{B}(\vec{r}_i, \varrho_i)\} \subset \mathcal{A}_{\text{bulk}}$ such that

1. $\varrho_i \leq \mathcal{O}(\Omega^{-1/2})$, $\sum \varrho_i \leq \mathcal{O}(\Omega^{1/2})$ and $\sum \varrho_i^2 \ll (1 + \varepsilon\Omega)^{-1}$,
2. $|\Psi^{\text{GP}}|^2 \geq C\gamma(1 + \varepsilon\Omega)$ on $\partial\mathcal{B}_i$ for some $C > 0$.

Moreover, denoting by $d_{i,\varepsilon}$ the winding number of $|\Psi^{\text{GP}}|^{-1}\Psi^{\text{GP}}$ on $\partial\mathcal{B}_i$ and introducing the measure

$$\nu := \frac{2\pi}{\Omega} \sum d_{i,\varepsilon} \delta(\vec{r} - \vec{r}_{i,\varepsilon}), \quad (1.22)$$

then, for any family of sets $\mathcal{S} \subset \mathcal{A}_{\text{bulk}}$ such that $|\mathcal{S}| \gg \Omega^{-1}|\log(\varepsilon^2\Omega|\log \varepsilon|)|^2$ as $\varepsilon \rightarrow 0$,

$$\frac{\nu(\mathcal{S})}{|\mathcal{S}|} \xrightarrow{\varepsilon \rightarrow 0} 1. \quad (1.23)$$

²Throughout the whole paper the notation $\mathcal{B}(\vec{r}, \varrho)$ stands for a ball of radius ϱ centered at \vec{r} , whereas $\mathcal{B}(R)$ is a ball with radius R centered at the origin.

Remark 1.1 (Distribution of vorticity)

The result proven in the above Theorem implies that the vorticity measure converges after a suitable rescaling to the Lebesgue measure, i.e., the vorticity is uniformly distributed. However such a statement is meaningful only for angular velocities at most of order ε^{-1} , when the TF support \mathcal{A}^{TF} can be bounded independently of ε . On the opposite if $\Omega \gg \varepsilon^{-1}$, \mathcal{A}^{TF} shrinks and its Lebesgue measure converges to 0 as $\varepsilon^{-1}\Omega^{-1}$. To obtain an interesting statement one has therefore to allow the domain \mathcal{S} to depend on ε with $|\mathcal{S}| \rightarrow 0$ as $\varepsilon \rightarrow 0$.

Remark 1.2 (Conditions on \mathcal{S})

We remark that the lower bound on the measure of the set \mathcal{S} , i.e., $|\mathcal{S}| \gg \Omega^{-1}|\log(\varepsilon^2\Omega|\log\varepsilon|)|^2$, is important, even though not optimal, as it will be clear in the proof: In order to localize the energy bounds to suitable lattice cells, one has to reject a certain number of ‘bad cells’ where nothing can be said about the vorticity of Ψ^{GP} . However since the number of bad cells is much smaller than the total number of cells, this has no effect on the final statement provided the measure of \mathcal{S} is much larger than the area of a single cell, i.e., $\mathcal{O}(\Omega^{-1}|\log(\varepsilon^2\Omega|\log\varepsilon|)|^2)$. A similar effect occurs in [CY, Theorem 3.3], where the stronger condition $|\mathcal{S}| > C$ is assumed.

Remark 1.3 (Vortex balls)

The balls contained in the family $\{\mathcal{B}_i\}$ are not necessarily vortex cores in the sense that each one might contain a large number of vortices. However the conditions stated at point 1 of the above Theorem 1.1 have important consequences on the properties of the family. For instance, if $\Omega \gg \varepsilon^{-1}$, the last one, i.e., $\sum \varrho_i^2 \ll \varepsilon^{-1}\Omega^{-1}$, guarantees that the area covered by balls is smaller than the area of the annulus $\mathcal{A}_{\text{bulk}}$ where the bulk of the condensate is contained. At the same time the other two conditions imply that the radius of any ball in the family is at most $\mathcal{O}(\Omega^{-1/2})$ and their number can not be too large: Assuming that for each ball $\varrho_i \sim \Omega^{-1/2}$, the second condition would yield a number of balls of order at most Ω , which is expected to be close to the total winding number of any GP minimizer.

An important difference between the flat Neumann and the flat Dirichlet problems can be seen directly from the energy asymptotics. Indeed, in the flat Neumann case (see [CY, Theorem 3.2]) the energy is composed of the contribution of the TF profile (leading order) and the contribution of a regular vortex lattice (subleading order). In the flat Dirichlet case the radial kinetic energy arising from the vanishing of the GP minimizer on $\partial\mathcal{B}$ might be larger (see Remark 1.4 below) than the contribution of the vortex lattice. As a result the functional (1.16) that includes this radial kinetic energy plays a key role in the energy asymptotics of the problem:

Theorem 1.2 (Ground state energy asymptotics).

As $\varepsilon \rightarrow 0$,

$$E^{\text{GP}} = \hat{E}^{\text{GP}} + \Omega|\log(\varepsilon^2\Omega)|(1 + o(1)), \quad (1.24)$$

if $|\log\varepsilon| \ll \Omega \lesssim \varepsilon^{-1}$, and

$$E^{\text{GP}} = \hat{E}^{\text{GP}} + \Omega|\log\varepsilon|(1 + o(1)), \quad (1.25)$$

if $\varepsilon^{-1} \lesssim \Omega \ll \varepsilon^{-2}|\log\varepsilon|^{-1}$.

Remark 1.4 (Composition of the energy)

The leading order term in the GP energy asymptotics is given by the energy \hat{E}^{GP} which contains the kinetic contribution of the density profile (see (1.15)), i.e., one can decompose \hat{E}^{GP} as $E^{\text{TF}} + \mathcal{O}(\varepsilon^{-1}) + \mathcal{O}(\varepsilon^{1/2}\Omega^{3/2})$, where the first remainder is the most relevant in the regime $\Omega \lesssim \varepsilon^{-1}$ and the second becomes dominant for angular velocities much larger than ε^{-1} .

The kinetic energy of the density profile can in turn be decomposed into the energy associated with Dirichlet conditions $\propto \varepsilon^{-1} + \varepsilon^{1/2}\Omega^{3/2}$ and the one due to the inhomogeneity of the profile $\sim \sqrt{\rho^{\text{TF}}}$, which is $\mathcal{O}(1) + \mathcal{O}(\varepsilon^2\Omega^2|\log\varepsilon|)$ (see Remark 2.1). The first contribution dominates for any angular

velocity $\Omega \ll \varepsilon^{-3} |\log \varepsilon|^{-2}$ and this is why it is the only one appearing in (1.24) and (1.25).

Note also that the kinetic energy due to Dirichlet boundary conditions is, in general, much larger than the vortex energy contribution, i.e., the second term in (1.24) and (1.25), except in the narrow regime

$$\varepsilon^{-1} |\log(\varepsilon^2 \Omega)|^{-1} \ll \Omega \ll \varepsilon^{-1} |\log \varepsilon|,$$

where the latter becomes predominant.

An important consequence of the above energy asymptotics is that we always have (see Proposition 3.1)

$$\| |\Psi^{\text{GP}}|^2 - \rho^{\text{TF}} \|_{L^2(\mathcal{B})} = o(1) \ll \| \rho^{\text{TF}} \|_{L^2(\mathcal{B})} \quad (1.26)$$

which allows to deduce

$$\int_{\mathcal{A}^{\text{TF}}} d\vec{r} |\Psi^{\text{GP}}|^2 = 1 - o(1). \quad (1.27)$$

This implies that if $\Omega > 2(\sqrt{\pi\varepsilon})^{-1}$, the mass of Ψ^{GP} is concentrated in an annulus, marking the transition to the vortex-lattice-plus-hole state. We thus have

$$\Omega_{c_2} = \frac{2}{\sqrt{\pi\varepsilon}} (1 + o(1)). \quad (1.28)$$

Note that we actually prove stronger results than (1.21) and (1.27). If $\Omega > \Omega_{c_2}$, any GP minimizer is in fact exponentially small in the central hole, minus possibly a very thin layer close to $r = R_h$ (see Proposition 3.2).

1.2 The Regime $\Omega \sim \varepsilon^{-2} |\log \varepsilon|^{-1}$: Emergence of the Giant Vortex

When the angular velocity reaches the asymptotic regime $\Omega \sim \varepsilon^{-2} |\log \varepsilon|^{-1}$ a transition in the GP ground state takes place above a certain threshold: Vortices are expelled from the essential support of any GP minimizer Ψ^{GP} . The density is concentrated in a shrinking annulus where such a wave function is vortex free. Anticipating this transition we shall throughout this section assume that

$$\Omega = \frac{\Omega_0}{\varepsilon^2 |\log \varepsilon|}, \quad (1.29)$$

for some constant $\Omega_0 > 0$.

The bulk of the condensate has to be defined differently in this regime: We set

$$\mathcal{A}_{\text{bulk}} := \left\{ \vec{r} \in \mathcal{B} : R_{>} \leq r \leq 1 - \varepsilon^{3/2} |\log \varepsilon|^2 \right\} \quad (1.30)$$

where

$$R_{>} := R_h + \varepsilon |\log \varepsilon|^{-1}. \quad (1.31)$$

The main result in this regime is contained in the following

Theorem 1.3 (Absence of vortices in the bulk).

If the angular velocity is given by (1.29) with $\Omega_0 > 2(3\pi)^{-1}$, then no GP minimizer has a zero inside $\mathcal{A}_{\text{bulk}}$ if ε is small enough.

More precisely, for any $\vec{r} \in \mathcal{A}_{\text{bulk}}$,

$$\left| |\Psi^{\text{GP}}(\vec{r})|^2 - \rho^{\text{TF}}(r) \right| \leq \mathcal{O}(\varepsilon^{-3/4} |\log \varepsilon|^2) \ll \rho^{\text{TF}}(r). \quad (1.32)$$

Remark 1.5 (Bulk of the condensate)

As the notation indicates, the domain $\mathcal{A}_{\text{bulk}}$ contains the bulk of the condensate: Using the explicit expression (A.1) of $\rho^{\text{TF}}(r)$, one can easily verify that

$$\|\rho^{\text{TF}}\|_{L^2(\mathcal{A}_{\text{bulk}})} = 1 - \mathcal{O}(|\log \varepsilon|^{-4}), \quad (1.33)$$

which implies by (1.32) that the same estimate holds true also for $|\Psi^{\text{GP}}|^2$.

A consequence of this result is the estimate

$$\Omega_{c_3} \leq \frac{2}{3\pi\varepsilon^2|\log \varepsilon|}(1 + o(1)). \quad (1.34)$$

As already noted, we believe that this upper bound is optimal, i.e., we actually have

$$\Omega_{c_3} = \frac{2}{3\pi\varepsilon^2|\log \varepsilon|}(1 + o(1)).$$

The proof of this conjecture could use the tools of [R] but we leave this aside for the present.

The theorem above is based on a comparison of a minimizer with a giant vortex wave function of the form

$$f(r) \exp \{i([\Omega] - \omega)\vartheta\},$$

where $[\cdot]$ stands for the integer part and $\omega \in \mathbb{Z}$ is some additional phase. Therefore we introduce a density functional

$$\begin{aligned} \mathcal{E}_\omega^{\text{gv}}[f] &:= \mathcal{E}^{\text{GP}} [f(r) \exp \{i([\Omega] - \omega)\vartheta\}] = \\ &\int_{\mathcal{B}} d\vec{r} \left\{ |\nabla f|^2 + ([\Omega] - \omega)^2 r^{-2} f^2 - 2([\Omega] - \omega)\Omega f^2 + \varepsilon^{-2} f^4 \right\} = \\ &\int_{\mathcal{B}} d\vec{r} \left\{ |\nabla f|^2 + B_\omega^2 f^2 - \Omega^2 r^2 f^2 + \varepsilon^{-2} f^4 \right\}, \end{aligned} \quad (1.35)$$

where $f \in \mathcal{D}^{\text{GP}}$ is *real-valued* and

$$\vec{B}_\omega(r) := (\Omega r - ([\Omega] - \omega)r^{-1}) \vec{e}_\vartheta. \quad (1.36)$$

We also set

$$E_\omega^{\text{gv}} := \inf_{f \in \mathcal{D}^{\text{GP}}, f=f^*} \mathcal{E}_\omega^{\text{gv}}[f]. \quad (1.37)$$

By simply testing the GP functional on a trial function of the form above, one immediately obtains the upper bound

$$E^{\text{GP}} \leq E^{\text{gv}} := \inf_{\omega \in \mathbb{Z}} E_\omega^{\text{gv}}. \quad (1.38)$$

In the following Theorem we prove that the r.h.s. of the expression above gives precisely the leading order term in the asymptotic expansion of E^{GP} as $\varepsilon \rightarrow 0$ and we state an estimate of the phase optimizing E_ω^{gv} .

Theorem 1.4 (Ground state energy asymptotics and optimal phase).

For any $\Omega_0 > (3\pi)^{-1}$ and ε small enough

$$E^{\text{GP}} = E^{\text{gv}} + \mathcal{O}((\log |\log \varepsilon|)^{-2} |\log \varepsilon|^2). \quad (1.39)$$

Moreover $E^{\text{gv}} = E_{\omega_{\text{opt}}}^{\text{gv}}$ with $\omega_{\text{opt}} \in \mathbb{N}$ satisfying

$$\omega_{\text{opt}} := \frac{2}{3\sqrt{\pi}\varepsilon}(1 + \mathcal{O}(|\log \varepsilon|^{-4})). \quad (1.40)$$

Remark 1.6 (Composition of the energy)

We refer to [CRY, Remark 1.4] for details on the energy E^{gv} (denoted \hat{E}^{GP} in that paper). Let us just emphasize that in this setting the Dirichlet boundary condition is responsible for a radial kinetic energy contribution that was not present in the flat Neumann case and gives the leading order correction $\propto \varepsilon^{-5/2} |\log \varepsilon|^{-3/2}$ to E^{TF} in the asymptotic expansion of E^{gv} .

A consequence of Theorem 1.3 is that the degree of Ψ^{GP} is well defined on any circle $\partial\mathcal{B}(r)$ of radius r centered at the origin, as long as

$$R_{>} \leq r \leq 1 - \varepsilon^{3/2} |\log \varepsilon|^2.$$

We are able to estimate this degree, proving that it is in agreement with that of the optimal giant vortex trial function (1.40):

Theorem 1.5 (Degree of a GP minimizer).

If $\Omega_0 > 2(3\pi)^{-1}$ and ε is small enough,

$$\deg \{ \Psi^{\text{GP}}, \partial\mathcal{B}(r) \} = \Omega - \frac{2}{3\sqrt{\pi}\varepsilon} (1 + \mathcal{O}(|\log \varepsilon|^{-4})), \quad (1.41)$$

for any $R_{>} \leq r \leq 1 - \varepsilon^{3/2} |\log \varepsilon|^2$.

We note that because of the Dirichlet condition there is a small region close to $\partial\mathcal{B}$ where the density goes to zero. We have basically no information on the GP minimizer in this layer that could a priori contain vortices. The existence of this layer is the main difference between the flat Dirichlet case and the flat Neumann case considered in [CRY]. In particular the lack of a priori estimates on the phase circulation of Ψ^{GP} on $\partial\mathcal{B}$ requires new ideas in the proof.

1.3 Rotational Symmetry Breaking

As anticipated above, a very natural question arising from the results in Section 1.2 is that of the repartition of vortices in the central hole of low matter density. In particular, does one have

$$\Psi^{\text{GP}} = g_{\text{opt}}(r) e^{i(\Omega - \omega_{\text{opt}})\vartheta},$$

modulo a constant phase factor, which would imply that all the vorticity is contained in a central multiply quantized vortex?

We show below that this can not be the case: the GP functional is rotationally symmetric but if the angular velocity exceeds a certain threshold this symmetry is broken at the level of the ground state. No minimizer of the GP energy functional is an eigenfunction of the angular momentum, i.e. a function of the form $f(r)e^{in\vartheta}$ with f real and n an integer. A straightforward consequence is that there is not a unique minimizer but for any given minimizing function one can obtain infinitely many others by simply rotating the function by an arbitrary angle. In other words as soon as the rotational symmetry is broken, the ground state is degenerate and its degeneracy is infinite.

In [CDY1, Proposition 2.2] we have proven that the symmetry breaking phenomenon occurs in the case of a bounded trap \mathcal{B} with Neumann boundary conditions when $c|\log \varepsilon| \leq \Omega \lesssim \varepsilon^{-1}$, for some given constant c . We are now going to show that such a result admits an extension to angular velocities much larger than ε^{-1} , i.e., the rotational symmetry is still broken even for very large angular velocities. Such an extension is far from obvious in view of the main result about the emergence of a giant vortex state discussed above: Since vortices are expelled from the essential support of the GP minimizer, there might a priori be a restoration of the rotational symmetry but the behavior of any GP minimizer inside the hole $\mathcal{B}(R_h)$ remains unknown.

Theorem 1.6 (Rotational symmetry breaking).

If ε is small enough and $\varepsilon\Omega$ large enough, no minimizer of the GP energy functional (1.1) is an eigenfunction of the angular momentum.

We note that it is proved in [AJR] for a related model that the ground state is rotationally symmetric if $\Omega < \Omega_{c_1}$ and ε is small enough. Theorem 1.6 shows that the symmetry, broken due to the nucleation of vortices, never reappears, even when $\Omega > \Omega_{c_3}$.

1.4 Organization of the Paper

The paper is organized as follows. Section 2 is devoted to general estimates that will be used throughout the paper. We then prove our results about the regime $|\log \varepsilon| \ll \Omega \ll \varepsilon^{-2} |\log \varepsilon|^{-1}$ in Section 3. The analysis of the energy functional (1.15) is the main new ingredient with respect to the method of [CY]. We adapt the techniques developed in that paper for the evaluation of the energy of a trial function containing a regular lattice of vortices. The corresponding lower bound is proved via a localization method allowing to appeal to results from GL theory [SS1, SS2]. The inhomogeneity of the density profile is dealt with using a Riemann sum approximation.

Section 4 is devoted to the giant vortex regime. Our main tools are the techniques of vortex ball construction and jacobian estimates, originating in the papers [Sa, J, JS] (see also [SS2]). We implement this approach using a cell decomposition as in [CRY]. New ideas are necessary to control the behavior of GP minimizers on $\partial\mathcal{B}$.

The symmetry breaking result is proved in Section 5. Following [Seir], given a candidate rotationally symmetric minimizer, we explicitly construct a wave function giving a lower energy. Finally the Appendix gathers important but technical results about the TF functional and the third critical speed.

2 Preliminary Estimates: The Density Profile with Dirichlet Boundary Conditions

This section is devoted to the proof of estimates which will prove to be very useful in the rest of the paper but are independent of the main results. We mainly investigate the properties of the density profile which captures the main traits of the modulus of the GP minimizer $|\Psi^{\text{GP}}|$: More precisely we study in details the minimization of the density functional $\hat{\mathcal{E}}^{\text{GP}}$ (1.15) and prove bounds on its ground state energy \hat{E}^{GP} (1.16) and associated minimizers g .

The leading order term in the ground state energy \hat{E}^{GP} is given by the infimum of the TF functional (1.17), i.e.,

$$E^{\text{TF}} := \inf_{\rho \in \mathcal{D}^{\text{TF}}} \mathcal{E}^{\text{TF}}[\rho], \quad \mathcal{D}^{\text{TF}} := \{\rho \in L^1(\mathcal{B}) : \rho > 0, \|\rho\|_1 = 1\}. \quad (2.1)$$

We postpone the discussion of the properties of E^{TF} as well as the corresponding minimizer ρ^{TF} to the Appendix.

Proposition 2.1 (Minimization of $\hat{\mathcal{E}}^{\text{GP}}$).

If $\Omega \ll \varepsilon^{-3} |\log \varepsilon|^{-2}$ as $\varepsilon \rightarrow 0$,

$$E^{\text{TF}} \leq \hat{E}^{\text{GP}} \leq E^{\text{TF}} + \mathcal{O}(\varepsilon^{-1}) + \mathcal{O}\left(\varepsilon^{1/2} \Omega^{3/2}\right). \quad (2.2)$$

Moreover there exists a minimizer g that is unique up to a sign, radial and can be chosen to be positive away from the boundary $\partial\mathcal{B}$. It solves inside \mathcal{B} the variational equation

$$-\Delta g - \Omega^2 r^2 g + 2\varepsilon^{-2} g^3 = \hat{\mu}^{\text{GP}} g, \quad (2.3)$$

with boundary condition $g(1) = 0$ and $\hat{\mu}^{\text{GP}} = \hat{E}^{\text{GP}} + \varepsilon^{-2} \|g\|_4^4$.

Remark 2.1 (Composition of the energy \hat{E}^{GP})

The remainders appearing on the r.h.s. of (2.2) can be interpreted as the kinetic energy due to Dirichlet boundary conditions: The bending of the TF density close to $r = 1$ in order to fulfill the boundary condition produces some kinetic energy which is not negligible and can be estimated by means of the trial function used in the proof of the above proposition, i.e., $\mathcal{O}(\varepsilon^{-1})$ as long as $\Omega \lesssim \varepsilon^{-1}$, and $\mathcal{O}(\varepsilon^{1/2}\Omega^{3/2})$ for larger angular velocities. Note indeed that the second correction becomes relevant only if $\Omega \gtrsim \varepsilon^{-1}$.

The orders of those corrections can be explained as follows: If $\Omega \lesssim \varepsilon^{-1}$ the TF density goes from its maximum of order 1 to 0 in a layer of thickness $\sim \varepsilon$ (because of the nonlinear term), yielding a gradient $\sim \varepsilon^{-1}$ and thus a kinetic energy of order ε^{-1} . If $\Omega \gg \varepsilon^{-1}$ the thickness of the annulus where g varies from $\sqrt{\varepsilon\Omega}$ to 0 becomes of order $\varepsilon^{1/2}\Omega^{-1/2}$ and the associated kinetic energy is $\mathcal{O}(\varepsilon^{1/2}\Omega^{3/2})$.

Note that in both cases the kinetic energy associated with the boundary conditions is much larger than the radial kinetic energy of the profile $\sqrt{\rho}^{\text{TF}}$ which is $\mathcal{O}(1)$ in the first case and $\mathcal{O}(\varepsilon^2\Omega^2|\log \varepsilon|)$ in the second one (see [CY, Section 4]): The condition $\Omega \ll \varepsilon^{-3}|\log \varepsilon|^{-2}$ is precisely due to the comparison of such energies for large angular velocities.

Finally we point out that, if $\Omega \ll \varepsilon^{-1}$, the correction of order ε^{-1} due to Dirichlet boundary conditions can become much larger than two terms of order Ω^2 and $\varepsilon^2\Omega^4$ contained inside E^{TF} (see the explicit expression (A.3) in the Appendix), so that the upper bound could be stated in that case $\hat{E}^{\text{GP}} \leq \pi^{-1}\varepsilon^{-2} + \mathcal{O}(\varepsilon^{-1})$.

Proof of Proposition 2.1.

The lower bound is trivial since it is sufficient to neglect the positive kinetic energy to get $\hat{E}^{\text{GP}} \geq E^{\text{TF}}$. The upper bound is obtained by evaluating $\hat{\mathcal{E}}^{\text{GP}}$ on a trial function of the form

$$f_{\text{trial}}(r) = c\sqrt{\rho(r)}\xi_D(r) \quad (2.4)$$

where c is the normalization constant and $0 \leq \xi_D(r) \leq 1$ a cut-off function equal to 1 everywhere except in the radial layer $[1 - \delta, 1]$, $\delta \ll (1 + \varepsilon\Omega)^{-1}$, where it goes smoothly to 0, so that f satisfies Dirichlet boundary conditions. The density $\rho(r)$ coincides with $\rho^{\text{TF}}(r)$ if Ω is below the threshold $2(\sqrt{\pi\varepsilon})^{-1}$ and is given by a regularization of ρ^{TF} above it, i.e., if $\varepsilon\Omega > 2/\sqrt{\pi}$, we set as in [CY, Eq. (4.9)]

$$\rho(r) := \begin{cases} 0, & \text{if } 0 \leq r \leq R_h, \\ \Omega^2 \rho^{\text{TF}}(R_h + \Omega^{-1})(r - R_h)^2, & \text{if } R_h \leq r \leq R_h + \Omega^{-1}, \\ \rho^{\text{TF}}(r), & \text{otherwise.} \end{cases} \quad (2.5)$$

Notice that ρ differs from ρ^{TF} only inside the interval $[R_h, R_h + \Omega^{-1}]$ and

$$\rho(r) = \rho^{\text{TF}}(r) + \mathcal{O}(\varepsilon^2\Omega). \quad (2.6)$$

In order to estimate the normalization constant we use the bound $\rho^{\text{TF}} \leq C(1 + \varepsilon\Omega)$, which implies

$$c^{-2} = \int_{\mathcal{B}} d\vec{r} \rho \xi_D^2 \geq \int_{\mathcal{B}} d\vec{r} \rho^{\text{TF}} - 2\pi \int_{1-\delta}^1 dr r(1 - \xi_D^2)\rho - C\varepsilon^2 \geq 1 - C[(\varepsilon\Omega + 1)\delta + \varepsilon^2]. \quad (2.7)$$

The kinetic energy of f_{trial} is bounded as follows:

$$\int_{\mathcal{B}} d\vec{r} |\nabla f_{\text{trial}}|^2 \leq 2c^2 \int_{\mathcal{B}} d\vec{r} \left\{ |\nabla \sqrt{\rho}|^2 + \rho |\nabla \xi_D|^2 \right\} \leq C[\varepsilon^2\Omega^2|\log \varepsilon| + (\varepsilon\Omega + 1)\delta^{-1}], \quad (2.8)$$

where we refer to [CY, Eqs. (4.14) and (4.15)] for the estimate of the kinetic energy of ρ .

The interaction term can be easily estimated as

$$\frac{1}{\varepsilon^2} \int_{\mathcal{B}} d\vec{r} f_{\text{trial}}^4 \leq \frac{1 + C(\varepsilon\Omega + 1)\delta}{\varepsilon^2} \|\rho^{\text{TF}}\|_2^2 \leq \varepsilon^{-2} \|\rho^{\text{TF}}\|_2^2 + C(\Omega + \varepsilon^{-1})^2\delta. \quad (2.9)$$

To evaluate the centrifugal term we act as in [CY, Eqs. (4.44) – (4.46)]: With analogous notation

$$\begin{aligned} -\Omega^2 \int_{\mathcal{B}} d\vec{r} r^2 f_{\text{trial}}^2 &= -2\pi\Omega^2 + 4\pi\Omega^2 \int_0^1 dr r \int_0^r dr' r' f_{\text{trial}}^2(r') \leq \\ &= -2\pi\Omega^2 + 4\pi\Omega^2 \int_0^1 dr r \int_0^r dr' r' \rho^{\text{TF}}(r') + C[\Omega + (\varepsilon^2 + \Omega^{-2})^{-1}\delta] = \\ &= -\Omega^2 \int_{\mathcal{B}} d\vec{r} r^2 \rho^{\text{TF}} + C(\Omega + \varepsilon^{-2}\delta + \Omega^2\delta), \end{aligned} \quad (2.10)$$

where we have integrated by parts twice and used (2.6), (2.7) and the normalization of f_{trial} . Hence one finally obtains

$$\hat{\mathcal{E}}^{\text{GP}} [f_{\text{trial}}] \leq E^{\text{TF}} + C[\varepsilon^2\Omega^2|\log \varepsilon| + \Omega + (\varepsilon\Omega + 1)\delta^{-1} + (\Omega + \varepsilon^{-1})^2\delta]. \quad (2.11)$$

It only remains to optimize w.r.t. δ , which yields $\delta = \varepsilon$, if $\Omega \lesssim \varepsilon^{-1}$, and $\delta = \varepsilon^{1/2}\Omega^{-1/2}$ otherwise, and thus the result. \square

A crucial property of the density g is stated in the following

Proposition 2.2 (Behavior of g).

The density g admits a unique maximum at some point $0 < R_m < 1$.

Proof. The method is very similar to what is used in [CRY, Lemma 2.1], although in that case one considers the Neumann problem. After a variable transformation $r^2 \rightarrow s$ the functional $\hat{\mathcal{E}}^{\text{GP}}$ becomes

$$\pi \int_0^1 ds \{s|\nabla f|^2 - \Omega^2 s f^2 + \varepsilon^{-2} f^4\}, \quad (2.12)$$

and the normalization condition

$$\int_0^1 ds g^2 = \pi^{-1}. \quad (2.13)$$

We first observe that the Dirichlet boundary condition implies that g cannot be constant, otherwise we would have $g = 0$ everywhere, contradicting the mass constraint.

Suppose now that g has more than one local maximum. Then it has a local minimum at some point $s = s_2$ with $0 < s_2 < 1$, on the right side of a local maximum at the position $s = s_1$, i.e., $s_1 < s_2$. For $0 < \varepsilon < g^2(s_1) - g^2(s_2)$, we consider the set $\mathcal{I}_\varepsilon = \{0 \leq s < s_2 : g^2(s_1) - \varepsilon \leq g^2(s) \leq g^2(s_1)\}$: Since g is continuous, the function

$$\Phi(\varepsilon) := \int_{\mathcal{I}_\varepsilon} ds g^2 \quad (2.14)$$

is strictly positive and $\Phi(\varepsilon) \rightarrow 0$ as $\varepsilon \rightarrow 0$. Likewise, for any $\kappa > 0$, we set $\mathcal{J}_\kappa = \{s_1 < s \leq 1 : g^2(s_2) \leq g^2(s) \leq g^2(s_2) + \kappa\}$, so that

$$\Gamma(\kappa) := \int_{\mathcal{J}_\kappa} ds g^2 \quad (2.15)$$

has the same properties as Φ .

Hence, by the continuity of g , there always exist $\bar{\varepsilon}, \bar{\kappa} > 0$, such that $g^2(s_2) + \bar{\kappa} < g^2(s_1) - \bar{\varepsilon}$ and $\Phi(\bar{\varepsilon}) = \Gamma(\bar{\kappa})$. Note that this implies that $\mathcal{I}_{\bar{\varepsilon}}$ and $\mathcal{J}_{\bar{\kappa}}$ are disjoint.

We now define a new normalized function \tilde{g} by

$$\tilde{g}^2(s) := \begin{cases} g^2(s_1)^2 - \bar{\varepsilon}, & \text{if } s \in \mathcal{I}_{\bar{\varepsilon}}, \\ g^2(s_2) + \bar{\kappa}, & \text{if } s \in \mathcal{J}_{\bar{\kappa}}, \\ g^2(s), & \text{otherwise.} \end{cases} \quad (2.16)$$

The gradient of \tilde{g} vanishes in the intervals $\mathcal{I}_{\bar{\varepsilon}}$ and $\mathcal{J}_{\bar{\kappa}}$ and equals the gradient of g everywhere else, so that the kinetic energy of \tilde{g} is smaller or equal to the one of g . The centrifugal term is lowered by \tilde{g} , because $-s$ is strictly decreasing and the value of \tilde{g}^2 on $\mathcal{I}_{\bar{\varepsilon}}$ is larger than on $\mathcal{J}_{\bar{\kappa}}$. Finally since mass is rearranged from $\mathcal{I}_{\bar{\varepsilon}}$ to $\mathcal{J}_{\bar{\kappa}}$, where the density is lower, $\|\tilde{g}\|_4^4 < \|g\|_4^4$.

Therefore the functional evaluated on \tilde{g} is strictly smaller than \hat{E}^{GP} , which contradicts the assumption that g is a minimizer. Hence g has only one maximum. \square

The energy asymptotics (2.2) implies that the density g^2 is close to the TF minimizer ρ^{TF} :

Proposition 2.3 (Preliminary estimates of g).

If $\Omega \ll \varepsilon^{-3} |\log \varepsilon|^{-2}$ as $\varepsilon \rightarrow 0$,

$$\|g^2 - \rho^{\text{TF}}\|_{L^2(\mathcal{B})} \leq \mathcal{O}(\varepsilon^{1/2} + \varepsilon^{5/4} \Omega^{3/4}), \quad (2.17)$$

$$g^2(R_m) = \|g\|_{L^\infty(\mathcal{B})}^2 \leq \|\rho^{\text{TF}}\|_{L^\infty(\mathcal{B})} \left(1 + \mathcal{O}(\sqrt{\varepsilon}) + \mathcal{O}(\varepsilon^{3/4} \Omega^{1/4})\right). \quad (2.18)$$

Proof. See, e.g., [CRY, Proposition 2.1]. Note that (2.17) implies the bound

$$|\hat{\mu}^{\text{GP}} - \mu^{\text{TF}}| \leq C(\varepsilon \Omega + 1)^{1/2} \left(\varepsilon^{-3/2} + \varepsilon^{-3/4} \Omega^{3/4}\right), \quad (2.19)$$

which yields (2.18). \square

Next proposition is going to be crucial in the proof of the main results since it allows to replace the density g^2 with the TF density ρ^{TF} : On the one hand, using the fact that the latter is explicit, this result will be used to obtain a suitable lower bound on g^2 in some region far from the boundary and, on the other hand, it implies that the boundary layer where g goes to 0 is very small.

Proposition 2.4 (Pointwise estimate of g).

If $\Omega \lesssim \bar{\Omega} \varepsilon^{-1}$ with $\bar{\Omega} < 2/\sqrt{\pi}$ as $\varepsilon \rightarrow 0$,

$$|g^2(r) - \rho^{\text{TF}}(r)| \leq \mathcal{O}(\sqrt{\varepsilon}), \quad (2.20)$$

for any $0 \leq r \leq 1 - \mathcal{O}(\varepsilon |\log \varepsilon|)$.

On the other hand³ if $2(\sqrt{\pi} \varepsilon)^{-1} \lesssim \Omega \lesssim \varepsilon^{-2}$,

$$|g^2(r) - \rho^{\text{TF}}(r)| \leq \mathcal{O}(\varepsilon^{7/4} \Omega^{5/4}), \quad (2.21)$$

for any $R_h + \mathcal{O}(\varepsilon^{-1} \Omega^{-1} |\log \varepsilon|^{-2}) \leq r \leq 1 - \mathcal{O}(\varepsilon^{1/2} \Omega^{-1/2} |\log \varepsilon|^{3/2})$.

Remark 2.2 (Position of the maximum of g)

The pointwise estimates (2.20) and (2.21) give some information about the position of the maximum point of g . Assuming that $\Omega \lesssim \varepsilon^{-1}$, one has the lower bound

$$g^2(R_m) \geq \rho^{\text{TF}}(1 - \varepsilon |\log \varepsilon|) - C\sqrt{\varepsilon},$$

since (2.20) holds true up to a distance $\varepsilon |\log \varepsilon|$ from the boundary. Hence one immediately obtains

$$R_m \geq 1 - \mathcal{O}(\varepsilon^{-3/2} \Omega^{-2}), \quad (2.22)$$

which for $\varepsilon^{-3/4} \ll \Omega \lesssim \varepsilon^{-1}$ implies that $R_m = 1 - o(1)$. For smaller angular velocities the above inequality becomes useless: Since ρ^{TF} is approximately constant in those regimes, i.e., $\rho^{\text{TF}}(r) = \pi^{-1} + \mathcal{O}(\varepsilon^2 \Omega^2)$,

³This second estimate applies also if $\Omega = 2(\sqrt{\pi} \varepsilon)^{-1}(1 - o(1))$, in which case R_h has to be set equal to 0.

the pointwise estimate (2.20) is too rough to extract information about the maximum of g .

On the opposite if $2(\sqrt{\pi}\varepsilon)^{-1} \lesssim \Omega \lesssim \varepsilon^{-2}$, we get from (2.21) the following: Either $R_m \geq 1 - \varepsilon^{1/2}\Omega^{-1/2}|\log \varepsilon|^{3/2}$ or the pointwise estimate (2.21) applies at R_m yielding $g^2(R_m) \leq \rho^{\text{TF}}(R_m) + C\varepsilon^{7/4}\Omega^{5/4}$ and

$$g^2(R_m) \geq \rho^{\text{TF}}(1 - \varepsilon^{1/2}\Omega^{-1/2}|\log \varepsilon|^{3/2}) - C\varepsilon^{7/4}\Omega^{5/4},$$

so that, in any case,

$$R_m \geq 1 - \mathcal{O}(\varepsilon^{-1/4}\Omega^{-3/4}), \quad (2.23)$$

since $\varepsilon^{1/2}\Omega^{-1/2}|\log \varepsilon|^{3/2} \ll \varepsilon^{-1/4}\Omega^{-3/4}$.

Remark 2.3 (Improved pointwise estimates of g)

Thanks to the remark above, it is possible to refine the estimates (2.20) and (2.21) and extend them up to the maximum point of g . More precisely one has the following: If $\Omega \lesssim \bar{\Omega}\varepsilon^{-1}$ with $\bar{\Omega} < 2/\sqrt{\pi}$,

$$|g(r) - \rho^{\text{TF}}(r)| \leq \mathcal{O}(\sqrt{\varepsilon}), \quad (2.24)$$

for any $0 \leq r \leq \max[R_m, 1 - \varepsilon|\log \varepsilon|]$. If $2(\sqrt{\pi}\varepsilon)^{-1} \lesssim \Omega \lesssim \varepsilon^{-2}$,

$$|g(r) - \rho^{\text{TF}}(r)| \leq \mathcal{O}(\varepsilon^{7/4}\Omega^{5/4}), \quad (2.25)$$

for any $R_h + \varepsilon^{-1}\Omega^{-1}|\log \varepsilon|^{-2} \leq r \leq \max[R_m, 1 - \varepsilon^{1/2}\Omega^{-1/2}|\log \varepsilon|^{3/2}]$.

The extension can be easily done in the first case ($\Omega \lesssim \bar{\Omega}\varepsilon^{-1}$) by noticing that one can suppose $R_m \geq 1 - \varepsilon|\log \varepsilon|$ (otherwise the bound is given by the original result), so that (2.24) follows from (2.18) together with

$$\|\rho^{\text{TF}}\|_\infty - \rho^{\text{TF}}(1 - \varepsilon|\log \varepsilon|) = \rho^{\text{TF}}(1) - \rho^{\text{TF}}(1 - \varepsilon|\log \varepsilon|) \leq C\varepsilon^3\Omega^2|\log \varepsilon| \ll \mathcal{O}(\sqrt{\varepsilon}),$$

and the fact that g is increasing in $\mathcal{B}(R_m)$.

In the other regime the key point is the estimate (2.23), which implies

$$\max_{1 - \varepsilon^{-1/4}\Omega^{-3/4} \leq r \leq 1} |\rho^{\text{TF}}(1) - \rho^{\text{TF}}(r)| \leq C\varepsilon^{7/4}\Omega^{5/4}.$$

Proof of Proposition 2.3.

The proof is done exactly as in [CRY, Proposition 2.6], so we highlight only the main differences.

The result is obtained by exhibiting suitable local sub- and super-solutions to the variational equation

$$-\Delta g = 2\varepsilon^{-2}(\hat{\rho} - g^2)g, \quad (2.26)$$

where the function $\hat{\rho}$ is given by

$$\hat{\rho}(r) := \frac{1}{2}(\varepsilon^2\hat{\mu}^{\text{GP}} + \varepsilon^2\Omega^2r^2). \quad (2.27)$$

By (2.19), if $\Omega \leq 2(\sqrt{\pi}\varepsilon)^{-1}$,

$$\|\hat{\rho} - \rho^{\text{TF}}\|_{L^\infty(\mathcal{B})} \leq C\sqrt{\varepsilon}, \quad (2.28)$$

whereas if $\Omega > 2(\sqrt{\pi}\varepsilon)^{-1}$,

$$|\hat{\rho}(r) - \rho^{\text{TF}}(r)| \leq C\varepsilon^{7/4}\Omega^{5/4}, \quad (2.29)$$

for any $r \geq R_h$.

In order to apply the maximum principle one needs a lower bound on the function $\hat{\rho}$ in the domain under consideration and it is provided by the above estimates: In the first case, i.e., if $\Omega \leq \bar{\Omega}\varepsilon^{-1}$,

$\rho^{\text{TF}}(r) \geq C(\bar{\Omega}) > 0$ and the pointwise estimate (2.28) guarantees the positivity of $\hat{\rho}$ everywhere; otherwise, if $2(\sqrt{\pi\varepsilon})^{-1} \lesssim \Omega \lesssim \varepsilon^{-2}$, the condition $r \geq R_h + \mathcal{O}(\varepsilon^{-1}\Omega^{-1}|\log \varepsilon|^{-2})$ yields

$$\rho^{\text{TF}}(r) \geq C\varepsilon\Omega|\log \varepsilon|^{-2} \gg \mathcal{O}(\varepsilon^{7/4}\Omega^{5/4}) \geq |\hat{\rho}(r) - \rho^{\text{TF}}(r)|,$$

so that $\hat{\rho}(r) > C\varepsilon\Omega|\log \varepsilon|^{-2} > 0$ in the region considered.

The rest of the proof is done as in [CRY, Proposition 2.6] in a local annulus $[r_0 - \delta, r_0 + \delta]$ with $\delta = \varepsilon|\log \varepsilon|$, if $\Omega \lesssim \Omega\varepsilon^{-1}$, and $\delta = \varepsilon^{1/2}\Omega^{-1/2}|\log \varepsilon|^{3/2}$ otherwise. Note that the lack of monotonicity of the density profile g prevents a straightforward extension of the estimate to the whole support of ρ^{TF} . \square

For angular velocities larger than the threshold $2(\sqrt{\pi\varepsilon})^{-1}$ the TF density develops a hole centered at the origin of radius R_h (see the Appendix) and in this case one can show that the density g is exponentially small there:

Proposition 2.5 (Exponential smallness of g inside the hole).

If $\Omega \geq 2(\sqrt{\pi\varepsilon})^{-1}$ as $\varepsilon \rightarrow 0$,

$$g^2(r) \leq C\varepsilon\Omega \exp\left\{-\frac{1-r^2}{1-R_h^2}\right\} \quad (2.30)$$

for any $\vec{r} \in \mathcal{B}$. Moreover, if $\Omega \geq 2(\sqrt{\pi\varepsilon})^{-1} + \mathcal{O}(1)$, there exist a strictly positive constant c such that for any \vec{r} such that $r \leq R_h - \mathcal{O}(\varepsilon^{7/6})$,

$$g^2(r) \leq C\varepsilon\Omega \exp\left\{-\frac{c}{\varepsilon^{1/6}}\right\}. \quad (2.31)$$

Proof. See [CRY, Proposition 2.2]. Note that in the proof of the second estimate, the condition $\Omega \geq 2(\sqrt{\pi\varepsilon})^{-1} + \mathcal{O}(1)$ is needed in order to guarantee that $R_h \gg \mathcal{O}(\varepsilon^{7/6})$. \square

The pointwise estimates (2.24) and (2.25) and the exponential smallness stated in the proposition above have some important consequences as, e.g., an improved L^2 estimate on the density g close to the boundary of the trap:

Proposition 2.6 (Estimate of R_m and L^2 estimate of g).

If $\varepsilon^{-1} \lesssim \Omega \ll \varepsilon^{-2}$,

$$R_m \geq 1 - \mathcal{O}(\varepsilon^{-5/8}\Omega^{-7/8}), \quad \|g\|_{L^2(\mathcal{B} \setminus \mathcal{B}(R_m))}^2 \leq \mathcal{O}(\varepsilon^{3/8}\Omega^{1/8}). \quad (2.32)$$

Proof. Without loss of generality we can assume $\Omega > 2(\sqrt{\pi\varepsilon})^{-1}$, since the proof in the other case, i.e., without the hole, is even simpler. Because of the normalization of both ρ^{TF} and g , we have

$$\int_{\mathcal{B} \setminus \mathcal{B}(R_m)} d\vec{r} (\rho^{\text{TF}} - g^2) = \int_{\mathcal{B}(R_m)} d\vec{r} (g^2 - \rho^{\text{TF}}).$$

The monotonicity of g in $\mathcal{B}(R_m)$ and the bound (2.25) yield $g^2(R_h) \leq g^2(R_h + \varepsilon^{-1}\Omega^{-1}|\log \varepsilon|^{-1}) \leq \varepsilon\Omega|\log \varepsilon|^{-1}$, so that, setting for convenience $R_0 := R_h + \varepsilon^{-1}\Omega^{-1}|\log \varepsilon|^{-1}$ and using the exponential smallness (2.31), one obtains

$$\begin{aligned} \int_{\mathcal{B}(R_0)} d\vec{r} |\rho^{\text{TF}} - g^2| &\leq \int_{\mathcal{B}(R_h - \varepsilon^{7/6})} d\vec{r} g^2 + C\varepsilon^{1/6}\Omega^{-1}|\log \varepsilon|^{-1} + \int_{\mathcal{B}(R_0) \setminus \mathcal{B}(R_h)} d\vec{r} |\rho^{\text{TF}} - g^2| \leq \\ &C \|\rho^{\text{TF}} - g^2\|_{L^2(\mathcal{B})} \varepsilon^{-1/2}\Omega^{-1/2}|\log \varepsilon|^{-1/2} + C\varepsilon^{1/6}\Omega^{-1}|\log \varepsilon|^{-1} \leq C\varepsilon^{3/4}\Omega^{1/4}|\log \varepsilon|^{-1/2}. \end{aligned}$$

For $r \geq R_0$ one can apply the pointwise estimate (2.25), which yields

$$\int_{\mathcal{B}(R_m) \setminus \mathcal{B}(R_0)} d\vec{r} |\rho^{\text{TF}} - g^2| \leq C\varepsilon^{3/4}\Omega^{1/4}.$$

Collecting the above estimates one therefore has

$$\int_{\mathcal{B} \setminus \mathcal{B}(R_m)} d\vec{r} (\rho^{\text{TF}} - g^2) \leq C\varepsilon^{3/4}\Omega^{1/4}. \quad (2.33)$$

On the other hand by (2.25), $g^2(R_m) \leq \rho^{\text{TF}}(R_m) + C\varepsilon^{7/4}\Omega^{5/4}$, so that

$$\begin{aligned} \int_{\mathcal{B} \setminus \mathcal{B}(R_m)} d\vec{r} (\rho^{\text{TF}} - g^2) &\geq \frac{\varepsilon^2 \Omega^2}{2} \int_{\mathcal{B} \setminus \mathcal{B}(R_m)} d\vec{r} (r^2 - R_m^2) - C\varepsilon^{7/4}\Omega^{5/4}(1 - R_m^2) \geq \\ &\quad \frac{1}{4}\pi\varepsilon^2\Omega^2(1 - R_m^2)^2 - C\varepsilon^{7/4}\Omega^{5/4}(1 - R_m^2), \end{aligned}$$

which gives the estimate of R_m .

Since the argument leading to (2.33) is symmetric in g^2 and ρ^{TF} , it is also true that

$$\int_{\mathcal{B} \setminus \mathcal{B}(R_m)} d\vec{r} g^2 \leq \int_{\mathcal{B} \setminus \mathcal{B}(R_m)} d\vec{r} \rho^{\text{TF}} + C\varepsilon^{3/4}\Omega^{1/4} \leq C\varepsilon^{3/8}\Omega^{1/8}, \quad (2.34)$$

due to the lower bound on R_m (2.32). \square

3 The Regime $|\log \varepsilon| \ll \Omega \ll \varepsilon^{-2} |\log \varepsilon|^{-1}$

This section contains the proof of the main results stated in the Introduction for the regime $|\log \varepsilon| \ll \Omega \ll \varepsilon^{-2} |\log \varepsilon|^{-1}$. We also prove some additional estimates, which are basically corollaries of the main results and will be used also in the analysis of the giant vortex regime.

3.1 GP Energy Asymptotics

The most important result proven in this section is the GP ground state energy asymptotics:

Proof of Theorem 1.2.

The result is proven by exhibiting upper and lower bounds for the GP ground state energy.

Step 1. For the upper bound we evaluate the GP functional on the trial function

$$\Psi_{\text{trial}}(\vec{r}) := c g(r) \xi(\vec{r}) \Phi(\vec{r}), \quad (3.1)$$

where c is a normalization constant, $\Phi(\vec{r})$ the phase factor introduced in [CY, Eq. (4.6)] and ξ a cut-off function: More precisely, using the complex notation $\zeta = x + iy \in \mathbb{C}$ for points $\vec{r} = (x, y) \in \mathbb{R}^2$, we can express Φ as

$$\Phi(\vec{r}) := \prod_{\zeta_i \in \mathcal{L}} \frac{\zeta - \zeta_i}{|\zeta - \zeta_i|}, \quad (3.2)$$

where we denote by \mathcal{L} a finite, regular lattice (triangular, rectangular or hexagonal) of points $\vec{r}_i \in \mathcal{B}$ such that the corresponding cell \mathcal{Q}^i is contained in \mathcal{B} : Each lattice point \vec{r}_i lies at the center of a lattice cell \mathcal{Q}^i and the lattice constant ℓ is chosen so that the area of the fundamental cell \mathcal{Q} is

$$|\mathcal{Q}| = \pi\Omega^{-1}. \quad (3.3)$$

Thus $\ell = C\Omega^{-1/2}$ and the total number of lattice points in the unit disc is $\Omega(1 - \mathcal{O}(\Omega^{-1/2}))$. In order to get rid of the singularities of the phase factor Φ at lattice points, we define the function

$$\xi(\vec{r}) := \begin{cases} 1, & \text{if } |\zeta - \zeta_i| > t, \forall \zeta_i \in \mathcal{L}, \\ t^{-1} |\zeta - \zeta_i|, & \text{if } |\zeta - \zeta_i| \leq t, \text{ for some } \zeta_i \in \mathcal{L} \end{cases} \quad (3.4)$$

where t is a variational parameter satisfying the conditions $\min[\varepsilon, \varepsilon^{1/2}\Omega^{-1/2}] \leq t \ll \Omega^{-1/2}$.

The normalization constant takes into account the effect of the cut-off function ξ and it is not difficult to see that $1 \leq c^2 \leq 1 + C\Omega t^2$.

We start by computing the kinetic energy of Ψ_{trial} :

$$\int_{\mathcal{B}} d\vec{r} \left| (\nabla - i\vec{A}) \Psi_{\text{trial}} \right|^2 = c^2 \int_{\mathcal{B}} d\vec{r} |\nabla(g\xi)|^2 + c^2 \int_{\mathcal{B}} d\vec{r} \xi^2 g^2 \left| \nabla\Phi - \vec{A} \right|^2. \quad (3.5)$$

The first term in the expression above can be estimated as follows:

$$\begin{aligned} c^2 \int_{\mathcal{B}} d\vec{r} |\nabla(g\xi)|^2 - \int_{\mathcal{B}} d\vec{r} |\nabla g|^2 &\leq \frac{1}{2}c^2 \int_{\mathcal{B}} d\vec{r} \nabla g^2 \cdot \nabla \xi^2 + C \left(\Omega + \Omega \varepsilon^{-1} t^2 + \varepsilon^{1/2} \Omega^{5/2} t^2 \right) \leq \\ &\frac{1}{2}c^2 \sum_{\vec{r}_i \in \mathcal{L}} \int_{\partial \mathcal{B}(\vec{r}_i, t)} d\sigma g^2 \partial_n \xi^2 - \frac{1}{2}c^2 \int_{\mathcal{B}} d\vec{r} g^2 \Delta \xi^2 + C \left(\Omega + \Omega \varepsilon^{-1} t^2 + \varepsilon^{1/2} \Omega^{5/2} t^2 \right) \leq \\ &C \left(\Omega + \Omega \varepsilon^{-1} t^2 + \varepsilon^{1/2} \Omega^{5/2} t^2 \right) \end{aligned} \quad (3.6)$$

where we have used the bounds $|\nabla \xi| \leq t^{-1}$, $|\Delta \xi^2| \leq Ct^{-2}$ and $\|\nabla g\|_2^2 \leq C(\varepsilon^{-1} + \varepsilon^{1/2}\Omega^{3/2})$ (see (2.2)). We have also used the fact that

$$\sum_{\vec{r}_i \in \mathcal{L}} \sup_{\vec{r} \in \mathcal{B}(\vec{r}_i, t)} g^2(r) \leq C\Omega,$$

which can be seen as a consequence of the upper bound $g^2 \leq C(\varepsilon\Omega + 1)$ in addition to the exponential smallness (2.31), which allows to estimate the above quantity as the number of cells contained in $\text{supp}(\rho^{\text{TF}})$ times $\varepsilon\Omega + 1$, i.e., $\mathcal{O}(\Omega)$.

In order to estimate the last term in (3.5), we act exactly as in [CY, Proposition 4.1]. The estimate (4.37) in [CY], that is obtained by making use of an analogy with an electrostatic problem, reads in our case

$$\int_{\mathcal{B}} d\vec{r} \xi^2 g^2 \left| \nabla\Phi - \vec{A} \right|^2 \leq (1 + Ct\Omega^{1/2}) \sum_{\vec{r}_i \in \mathcal{L}} \sup_{\vec{r} \in \mathcal{Q}^i} g^2(r) (\pi |\log(t^2\Omega)| + \mathcal{O}(1)). \quad (3.7)$$

It remains then to use the Riemann sum approximation and the normalization of g^2 to estimate the sum in the above expression: If $\Omega \leq \bar{\Omega}\varepsilon^{-1}$ for some $\bar{\Omega} < 2/\sqrt{\pi}$, we can simply use (2.24) to replace g^2 with ρ^{TF} and proceed as in the proof of Proposition 4.1 in [CY], obtaining

$$\int_{\mathcal{B}} d\vec{r} \xi^2 g^2 \left| \nabla\Phi - \vec{A} \right|^2 \leq \left[1 + \mathcal{O}(t\Omega^{1/2}) + \mathcal{O}(\sqrt{\varepsilon}) \right] |\mathcal{Q}|^{-1} (\pi |\log(t^2\Omega)| + \mathcal{O}(1)). \quad (3.8)$$

Note that inside each cell $\sup \rho^{\text{TF}} - \inf \rho^{\text{TF}} \leq C\varepsilon^2\Omega^{3/2} \ll \sqrt{\varepsilon}$, so this error term can be absorbed in the $\mathcal{O}(\sqrt{\varepsilon})$ in the equation above.

In the opposite case, if $\Omega \geq 2(\sqrt{\pi}\varepsilon)^{-1}$, we set

$$\mathcal{D} := \{ \vec{r} \in \mathcal{B} : r \geq \bar{R} \}, \quad (3.9)$$

with

$$\bar{R} := R_{\text{h}} + \varepsilon^{-1}\Omega^{-1} |\log(\varepsilon^2\Omega |\log \varepsilon|)|^{-1}, \quad (3.10)$$

so that $\bar{R} - R_{\text{h}} \ll \varepsilon^{-1}\Omega^{-1}$ and

$$\rho^{\text{TF}}(r) \geq \frac{1}{2}\varepsilon\Omega |\log \varepsilon|^{-1} (1 - o(1)), \quad \forall \vec{r} \in \mathcal{D}, \quad (3.11)$$

since $|\log(\varepsilon^2\Omega |\log \varepsilon|)| \leq |\log \varepsilon| (1 + o(1))$.

Now we can replace g^2 with ρ^{TF} inside \mathcal{D} by means of (2.25). Moreover in the region $r \leq \bar{R}$ we can use the

exponential smallness (2.31), if $r \leq R_h - \varepsilon^{7/6}$, and the pointwise bound $g^2(r) \leq g^2(\bar{R}) \leq C\varepsilon\Omega|\log\varepsilon|^{-1}$, if $R_h - \varepsilon^{7/6} \leq r \leq \bar{R}$, which follows from (2.25) and the monotonicity of $g^2(r)$ in $\mathcal{B}(R_m)$. The result is the upper estimate

$$\begin{aligned} \int_{\mathcal{B}} d\vec{r} \xi^2 g^2 \left| \nabla\Phi - \vec{A} \right|^2 &\leq \left[1 + C(t\Omega^{1/2} + \varepsilon^{3/4}\Omega^{1/4}|\log\varepsilon|) \right] \sum_{\vec{r}_i \in \mathcal{L} \cap \mathcal{D}} \sup_{\vec{r} \in \mathcal{Q}^i} \rho^{\text{TF}}(r) (\pi|\log(t^2\Omega)| + \mathcal{O}(1)) + \\ &C\varepsilon\Omega|\log\varepsilon|^{-1} \left| \{ \vec{r} : R_h - \varepsilon^{7/6} \leq r \leq \bar{R} \} \right| |\mathcal{Q}|^{-1} |\log\varepsilon| + C\varepsilon\Omega|\log\varepsilon| \exp \left\{ -c\varepsilon^{-1/6} \right\} \leq \\ &\left[1 + C(t\Omega^{1/2} + \varepsilon^{3/4}\Omega^{1/4}|\log\varepsilon|) \right] \sum_{\vec{r}_i \in \mathcal{L}} \sup_{\vec{r} \in \mathcal{Q}^i} \rho^{\text{TF}}(r) (\pi|\log(t^2\Omega)| + \mathcal{O}(1)) + C\Omega|\log\varepsilon|^{-1} \leq \\ &\left[1 + C(t\Omega^{1/2} + \varepsilon^{3/4}\Omega^{1/4}|\log\varepsilon| + \varepsilon\Omega^{1/2}) \right] |\mathcal{Q}|^{-1} (\pi|\log(t^2\Omega)| + \mathcal{O}(1)) + C\Omega|\log\varepsilon|^{-1}, \quad (3.12) \end{aligned}$$

where we have used the estimate $\sup \rho^{\text{TF}} - \inf \rho^{\text{TF}} \leq C\varepsilon^2\Omega^{3/2}$ inside any cell $\mathcal{Q}^i \subset \mathcal{D}$.

The estimate of $\mathcal{E}^{\text{TF}}[|\Psi_{\text{trial}}|^2]$ can be obtained as in [CY, Eqs. (4.42) and (4.48)] (see also (2.10)):

$$\begin{aligned} \mathcal{E}^{\text{TF}} \left[|\Psi_{\text{trial}}|^2 \right] &\leq (1 + C\Omega t^2)\varepsilon^{-2} \int_{\mathcal{B}} d\vec{r} g^4 - \Omega^2 \int_{\mathcal{B}} d\vec{r} r^2 g^2 + C\varepsilon^{-1}\Omega^2 t^2 \leq \\ &\mathcal{E}^{\text{TF}} [g^2] + C [\varepsilon^{-2}\Omega t^2 + \varepsilon^{-1}\Omega^2 t^2]. \quad (3.13) \end{aligned}$$

To conclude the proof of the upper bound it only remains to choose the variational parameter t : In the regime $\Omega \leq \bar{\Omega}\varepsilon^{-1}$, $\bar{\Omega} < 2/\sqrt{\pi}$, we take $t = \varepsilon$ so that the remainder occurring in the above estimate becomes $\mathcal{O}(\Omega)$ as in (3.6) and (3.8), whereas, if $\Omega \geq 2(\sqrt{\pi}\varepsilon)^{-1}$, the remainder in (3.13) leads to $t^2 = \varepsilon\Omega^{-1}$ in order to recover the same error term $\mathcal{O}(\Omega)$ as in (3.6). In (3.8) there is an additional remainder of order $\mathcal{O}(\varepsilon\Omega^{3/2}|\log\varepsilon|)$ which might become larger than Ω for very large angular velocities and is due to the Riemann sum approximation.

The final result is therefore

$$\mathcal{E}^{\text{GP}} [\Psi_{\text{trial}}] \leq \hat{E}^{\text{GP}} + \Omega|\log(\varepsilon^2\Omega)| + \mathcal{O}(\Omega), \quad (3.14)$$

if $1 \ll \Omega \lesssim \varepsilon^{-1}$, and

$$\mathcal{E}^{\text{GP}} [\Psi_{\text{trial}}] \leq \hat{E}^{\text{GP}} + \Omega|\log\varepsilon| + \mathcal{O}(\Omega) + \mathcal{O}(\varepsilon\Omega^{3/2}|\log\varepsilon|), \quad (3.15)$$

if $\Omega \ll \varepsilon^{-2}$.

Step 2. The starting point of the lower bound proof is a decoupling of the energy which can be obtained by defining a function $u(\vec{r})$ as

$$u(\vec{r}) := g^{-1}(r)\Psi^{\text{GP}}(\vec{r}). \quad (3.16)$$

Note that, thanks to the positivity of g , the function u is well defined in the open ball $\{\vec{r} : r < 1\}$.

By means of this definition and the variational equation (2.3), one can decouple the energy (see, e.g., [CRY, Proposition 3.1] or [Se, Lemma 2.2]) to obtain, using the L^2 normalization of both Ψ^{GP} and g ,

$$\mathcal{E}^{\text{GP}}[\Psi^{\text{GP}}] = \hat{E}^{\text{GP}} + \int_{\mathcal{B}} d\vec{r} g^2 \left\{ \left| (\nabla - i\vec{A})u \right|^2 + \varepsilon^{-2}g^2(1 - |u|^2)^2 \right\}.$$

We deduce the lower bound

$$E^{\text{GP}} = \mathcal{E}^{\text{GP}}[\Psi^{\text{GP}}] \geq \hat{E}^{\text{GP}} + \int_{\bar{\mathcal{D}}} d\vec{r} g^2 \left\{ \left| (\nabla - i\vec{A})u \right|^2 + \varepsilon^{-2}g^2(1 - |u|^2)^2 \right\} \quad (3.17)$$

by restricting the last integral to $\tilde{\mathcal{D}}$, with

$$\tilde{\mathcal{D}} := \begin{cases} \{\vec{r} \in \mathcal{B} : r \leq 1 - \varepsilon |\log \varepsilon|\}, & \text{if } \Omega \leq \bar{\Omega} \varepsilon^{-1}, \text{ with } \bar{\Omega} < 2/\sqrt{\pi}, \\ \mathcal{B}(R_m) \cap \mathcal{D} & \text{if } \Omega \gtrsim 2(\sqrt{\pi} \varepsilon)^{-1}. \end{cases} \quad (3.18)$$

The pointwise estimates (2.24) and (2.25) allow the replacement of g^2 with ρ^{TF} :

$$E^{\text{GP}} \geq \hat{E}^{\text{GP}} + \left[1 - C \left(\sqrt{\varepsilon} + \varepsilon^{3/4} \Omega^{1/4}\right)\right] \int_{\tilde{\mathcal{D}}} d\vec{r} \rho^{\text{TF}} \left\{ \left| (\nabla - i\vec{A})u \right|^2 + \varepsilon^{-2} \rho^{\text{TF}} (1 - |u|^2)^2 \right\}. \quad (3.19)$$

Moreover as in [CY, Section 5] we define another regular (square) lattice

$$\hat{\mathcal{L}} := \left\{ \vec{r}_i = (m\hat{\ell}, n\hat{\ell}), m, n \in \mathbb{Z} : \hat{\mathcal{Q}}^i \subset \tilde{\mathcal{D}} \right\}, \quad (3.20)$$

where $\hat{\mathcal{Q}}^i$ is the cell centered at \vec{r}_i and the lattice spacing satisfies the same conditions as in [CY, Eq. (5.16)], i.e.,

$$|\log \varepsilon|^{1/2} \Omega^{-1/2} \ll \hat{\ell} \ll \min \left[1, (\varepsilon \Omega)^{-1} |\log(\varepsilon^2 \Omega |\log \varepsilon|)|^{-1} \right], \quad (3.21)$$

so that

$$\sup_{\vec{r} \in \hat{\mathcal{Q}}^i} |\rho^{\text{TF}}(r) - \rho^{\text{TF}}(r_i)| \leq C \varepsilon \Omega \hat{\ell} |\log \varepsilon| \rho^{\text{TF}}(r_i).$$

Hence (3.19) yields the lower bound

$$\begin{aligned} E^{\text{GP}} - \hat{E}^{\text{GP}} &\geq \left[1 - \mathcal{O}(\sqrt{\varepsilon}) - \mathcal{O}(\varepsilon^{3/4} \Omega^{1/4} |\log \varepsilon|) - \mathcal{O}(\varepsilon \Omega \hat{\ell} |\log \varepsilon|) \right] \sum_{\vec{r}_i \in \hat{\mathcal{L}}} \rho^{\text{TF}}(r_i) \mathcal{E}^{(i)}[u] \geq \\ &\quad (1 - o(1)) \sum_{\vec{r}_i \in \hat{\mathcal{L}}} \rho^{\text{TF}}(r_i) \mathcal{E}^{(i)}[u], \end{aligned} \quad (3.22)$$

where $\mathcal{E}^{(i)}$ is defined as in [CY, Eq. (5.18)], i.e.,

$$\mathcal{E}^{(i)}[u] := \int_{\hat{\mathcal{Q}}^i} d\vec{r} \left\{ \left| (\nabla - i\vec{A})u \right|^2 + \varepsilon^{-2} \rho^{\text{TF}}(r_i) (1 - |u|^2)^2 \right\}. \quad (3.23)$$

After a suitable scaling the energy above can be seen as a Ginzburg-Landau energy with a fixed external field h_{ex} in the range $|\log \varepsilon| \ll h_{\text{ex}} \ll \varepsilon^{-2}$ where ε is a new small parameter. We can thus use the lower bound for the Ginzburg-Landau energy (see [SS1, SS2]) as in [CY, Proposition 5.1]. The result is

$$\mathcal{E}^{(i)}[u] \geq \Omega \hat{\ell}^2 |\log(\min[\varepsilon, \varepsilon^2 \Omega])| (1 - o(1)), \quad (3.24)$$

for any $|\log \varepsilon| \ll \Omega \ll \varepsilon^{-2} |\log \varepsilon|^{-1}$.

To complete the proof it suffices then to use, for any $\Omega \ll \varepsilon^{-1}$, the estimate $\rho^{\text{TF}}(r) \geq \pi^{-1} (1 - o(1))$, which yields

$$\sum_{\vec{r}_i \in \hat{\mathcal{L}}} \rho^{\text{TF}}(r_i) \geq (1 - o(1)) \pi^{-1} (1 - \mathcal{O}(\varepsilon |\log \varepsilon|)) |\mathcal{B}| |\hat{\mathcal{Q}}|^{-1} \geq (1 - o(1)) \hat{\ell}^{-2}, \quad (3.25)$$

and thus the result. On the other hand, if $\Omega \gtrsim \varepsilon^{-1}$, a simple computation (see, e.g., (2.34)) using the estimates (2.22) and (2.32) gives

$$\|\rho^{\text{TF}}\|_{L^1(\mathcal{B} \setminus \mathcal{B}(R_m))} \leq o(1), \quad (3.26)$$

which implies

$$\sum_{\vec{r}_i \in \hat{\mathcal{L}}} \rho^{\text{TF}}(r_i) \geq (1 - o(1)) \hat{\ell}^{-2} \int_{\mathcal{B}(R_m) \cap \mathcal{D}} d\vec{r} \rho^{\text{TF}}(r) \geq (1 - o(1)) \hat{\ell}^{-2}, \quad (3.27)$$

thanks to the normalization of ρ^{TF} .

By putting together (3.22), (3.24), (3.25) and (3.27), one obtains the lower bound matching (3.14) and (3.15). \square

3.2 Estimates for GP Minimizers

The GP energy asymptotics has many important consequences on the asymptotic behavior of GP minimizers: For instance the upper bounds (3.14) and (3.15) immediately imply the L^2 convergence of any minimizing density $|\Psi^{\text{GP}}|^2$ to the TF density ρ^{TF} :

Proposition 3.1 (L^2 convergence of $|\Psi^{\text{GP}}|^2$).

As $\varepsilon \rightarrow 0$, if $|\log \varepsilon| \ll \Omega \lesssim \varepsilon^{-1}$,

$$\| |\Psi^{\text{GP}}|^2 - \rho^{\text{TF}} \|_{L^2(\mathcal{B})} \leq \mathcal{O}(\varepsilon^{1/2}) + \mathcal{O}(\varepsilon \Omega^{1/2} |\log(\varepsilon^2 \Omega)|^{1/2}), \quad (3.28)$$

whereas, if $\varepsilon^{-1} \ll \Omega \ll \varepsilon^{-2}$,

$$\| |\Psi^{\text{GP}}|^2 - \rho^{\text{TF}} \|_{L^2(\mathcal{B})} \leq \mathcal{O}(\varepsilon \Omega^{1/2} |\log \varepsilon|^{1/2}) + \mathcal{O}(\varepsilon^{5/2} \Omega^{3/2}). \quad (3.29)$$

Proof. See [CRY, Proposition 2.1]. \square

Acting as in the derivation of [CRY, Eq. (2.8)], one can show that the above L^2 estimates imply a bound on the chemical potential μ^{GP} occurring in the variational equation (1.6) solved by Ψ^{GP} :

$$|\mu^{\text{GP}} - \mu^{\text{TF}}| \leq \mathcal{O}(\varepsilon^{-3/2}) + \mathcal{O}(\varepsilon^{-1/2} \Omega^{1/2} |\log(\varepsilon^2 \Omega)|^{1/2}), \quad (3.30)$$

if $|\log \varepsilon| \ll \Omega \lesssim \varepsilon^{-1}$, while, for $\varepsilon^{-1} \ll \Omega \ll \varepsilon^{-2}$,

$$|\mu^{\text{GP}} - \mu^{\text{TF}}| \leq \mathcal{O}(\varepsilon \Omega^2) + \mathcal{O}(\varepsilon^{-1/2} \Omega |\log \varepsilon|^{1/2}). \quad (3.31)$$

Such estimates can in turn be used to prove a pointwise upper bound for $|\Psi^{\text{GP}}|^2$ (see [CRY, Proposition 2.1]), i.e.,

$$\| \Psi^{\text{GP}} \|_{L^\infty(\mathcal{B})}^2 \leq \rho^{\text{TF}}(1) \cdot \begin{cases} 1 + \mathcal{O}(\varepsilon^{1/2}) + \mathcal{O}(\varepsilon^{3/2} \Omega^{1/2} |\log(\varepsilon^2 \Omega)|^{1/2}), & \text{if } |\log \varepsilon| \ll \Omega \lesssim \varepsilon^{-1}, \\ 1 + \mathcal{O}(\varepsilon^2 \Omega) + \mathcal{O}(\varepsilon^{1/2} |\log \varepsilon|^{1/2}), & \text{if } \varepsilon^{-1} \ll \Omega \ll \varepsilon^{-2}. \end{cases} \quad (3.32)$$

We finally state another very useful pointwise estimate of Ψ^{GP} analogous to [CRY, Proposition 2.2] and Proposition 2.5. As is the case for the density profile g , if the angular velocity is above the threshold $2(\sqrt{\pi}\varepsilon)^{-1}$, any GP minimizer is exponentially small inside the hole $\mathcal{B}(R_h)$.

Proposition 3.2 (Exponential smallness of Ψ^{GP} inside the hole).

If $\Omega \geq (2/\sqrt{\pi})\varepsilon^{-1} + \mathcal{O}(1)$, as $\varepsilon \rightarrow 0$, there exists a strictly positive constant c such that for any \vec{r} such that $r \leq R_h - \mathcal{O}(\varepsilon^{7/6})$,

$$|\Psi^{\text{GP}}(\vec{r})|^2 \leq C\varepsilon\Omega \exp\left\{-\frac{c}{\varepsilon^{1/6}}\right\}. \quad (3.33)$$

Proof. See [CRY, Proposition 2.2]. \square

3.3 Distribution of Vorticity

We are now able to prove the uniform distribution of vorticity:

Proof of Theorem 1.1.

The proof follows very closely the proof of [CY, Theorem 3.3] and relies essentially on [SS1, Proposition 5.1].

The argument has to be slightly adapted depending on the value of the angular velocity: For any $\Omega \leq \bar{\Omega}\varepsilon^{-1}$, with $\bar{\Omega} < 2/\sqrt{\pi}$, the proof of [CY, Theorem 3.3] applies with only one minor modification, since the cells in the lattice $\hat{\mathcal{L}}$ occurring in the lower bound proof do not cover the whole of \mathcal{B} . However, since the region covered by cells tends to \mathcal{A}^{TF} as $\varepsilon \rightarrow 0$ and the area of the excluded set close to the boundary is of order $\mathcal{O}(\varepsilon|\log \varepsilon|)$, i.e., much smaller than the cell area, such a difference in the lattice choice has no consequences for the final result.

We now discuss the modifications in the regime $\varepsilon^{-1} \ll \Omega \ll \varepsilon^{-2}|\log \varepsilon|^{-1}$ which was not taken into account in [CY, Theorem 3.3]. The starting point is the localization of the energy bounds (3.15), (3.22) and (3.24), which can be rewritten as

$$\sum_{\tilde{r}_i \in \hat{\mathcal{L}}} \rho^{\text{TF}}(r_i) \left| \mathcal{E}^{(i)}[u] - \Omega \hat{\ell}^2 |\log \varepsilon| \right| \leq \eta \Omega \hat{\ell}^2 |\log \varepsilon| \sum_{\tilde{r}_i \in \hat{\mathcal{L}}} \rho^{\text{TF}}(r_i), \quad (3.34)$$

for some

$$\eta = \eta(\varepsilon, \Omega) \ll 1 \text{ as } \varepsilon \rightarrow 0.$$

In order to obtain a similar estimate inside one lattice cell, one first needs a suitable lower bound on the density ρ^{TF} and this can be obtained by restricting the analysis to the bulk of the condensate, i.e.,

$$\mathcal{A}_{\text{bulk}} := \left\{ \tilde{r} \in \mathcal{B} : \tilde{R} \leq r \leq R_m \right\}$$

where, if $\Omega \gg \varepsilon^{-1}$, \tilde{R} is given by

$$\tilde{R} := R_h + \gamma \varepsilon^{-1} \Omega^{-1}, \quad \gamma := |\log \eta|^{-1}. \quad (3.35)$$

We then have, for some $C > 0$,

$$\rho^{\text{TF}}(r) \geq C \varepsilon \Omega |\log \eta|^{-1} \text{ on } \mathcal{A}_{\text{bulk}}. \quad (3.36)$$

Moreover, the localization of the energy estimate requires that a certain number of bad cells be rejected: As in [CY, Theorem 3.3] we first introduce a new small parameter

$$\epsilon := \sqrt{\frac{2\varepsilon|\log \varepsilon|}{\Omega}} \ll 1, \quad (3.37)$$

so that $|\log(\epsilon^2 \Omega)| = |\log \varepsilon|(1 + o(1))$ and (3.34) yields

$$\sum_{\tilde{r}_i \in \hat{\mathcal{L}}} \rho^{\text{TF}}(r_i) \mathcal{E}_\epsilon^{(i)}[u] \leq (1 + \eta) \sum_{\tilde{r}_i \in \hat{\mathcal{L}}} \rho^{\text{TF}}(r_i) \Omega \hat{\ell}^2 |\log(\epsilon^2 \Omega)|, \quad (3.38)$$

where

$$\mathcal{E}_\epsilon^{(i)}[u] := \int_{\hat{\mathcal{Q}}^i} d\tilde{r} \left\{ \left| (\nabla - i\vec{A})u \right|^2 + \epsilon^{-2} (1 - |u|^2)^2 \right\}, \quad (3.39)$$

with $\eta(\epsilon, \Omega) \rightarrow 0$ as $\epsilon \rightarrow 0$.

We then say that a cell $\hat{\mathcal{Q}}^i \subset \mathcal{A}_{\text{bulk}}$ is a good cell if

$$\mathcal{E}_\epsilon^{(i)}[u] \leq (1 + \sqrt{\eta}) \Omega \hat{\ell}^2 |\log(\epsilon^2 \Omega)|, \quad (3.40)$$

whereas the cell is bad if the inequality is reversed.

Now given any set $\mathcal{S} \subset \mathcal{A}_{\text{bulk}}$ such that $|\mathcal{S}| \gg |\hat{\mathcal{Q}}|$, the upper bound (3.38), the definition of bad cells, (3.36) and the upper bound $\rho^{\text{TF}} \leq \mathcal{O}(\varepsilon\Omega)$ imply that

$$N_B \leq \sqrt{\eta} \gamma^{-1} N = \sqrt{\eta} |\log \eta| N \ll N, \quad (3.41)$$

where N_B and N stand for the number of bad cells and the total number of cells contained inside \mathcal{S} respectively.

On the other hand by the definition (3.40) good cells satisfy the assumptions of [SS1, Proposition 5.1] and therefore one can construct a finite collection of disjoint balls $\{\mathcal{B}_i\} := \{\mathcal{B}(\vec{r}_i, \varrho_i)\}$ such that $|u| > 1/2$ on the boundary of each ball and $\varrho_i \leq \mathcal{O}(\Omega^{-1/2})$. Hence one can define the winding number $d_{i,\varepsilon}$ of u on $\partial\mathcal{B}_i$, which coincides with the winding number of Ψ^{GP} and, using [SS1, Proposition 5.1]

$$2\pi \sum d_{i,\varepsilon} = \Omega \hat{\ell}^2 (1 + o(1)), \quad 2\pi \sum |d_{i,\varepsilon}| = \Omega \hat{\ell}^2 (1 + o(1)). \quad (3.42)$$

The rest of the statement of Theorem 1.1 easily follows by noticing that one can always take $\hat{\ell} = \Omega^{-1/2} |\log(\varepsilon^2 \Omega |\log \varepsilon|)|$, which satisfies (3.21), obtaining the lower condition on the area of the set \mathcal{S} . \square

4 The Giant Vortex Regime $\Omega \sim \varepsilon^{-2} |\log \varepsilon|^{-1}$

As a preparation for the proof of the main results contained in Theorems 1.3 and 1.4 we formulate and prove in Section 4.1 some important propositions about the properties of the giant vortex density profiles. The proof of the absence of vortices in the bulk will follow the analysis of the ground state energy asymptotics, which is achieved in several steps. The main ingredients are the energy decoupling (Section 4.2), the vortex ball construction and the jacobian estimate (Section 4.4). Each individual step is analogous to the corresponding one contained in [CRY] and we will often omit some details, only stressing the major differences with the analysis of [CRY] and referring to that paper for further details.

4.1 Giant Vortex Density Profiles

In this section we investigate the properties of the giant vortex profiles and the associated energy functional defined in (1.35). Actually for technical reasons which will be clearer later we consider a functional identical to (1.35) but on a different integration domain, i.e.,

$$\mathcal{A} := \{\vec{r} \in \mathcal{B} : r \geq R_{<}\}, \quad (4.1)$$

where $R_{<} < R_{\text{h}}$ is suitably chosen in order to apply some estimates: All the conditions on $R_{<}$ occurring in the subsequent proofs are satisfied if we take

$$R_{<} := R_{\text{h}} - \varepsilon^{8/7}. \quad (4.2)$$

More precisely we define

$$\tilde{\mathcal{G}}^{\text{GP}} := \left\{ f \in H^1(\mathcal{A}) : f = f^*, \|f\|_{L^2(\mathcal{A})} = 1, f = 0 \text{ on } \partial\mathcal{B} \right\} \quad (4.3)$$

and set, for any $f \in \tilde{\mathcal{G}}^{\text{GP}}$,

$$\begin{aligned} \tilde{\mathcal{E}}_{\omega}^{\text{gv}}[f] := \int_{\mathcal{A}} d\vec{r} \left\{ |\nabla f|^2 + ([\Omega] - \omega)^2 r^{-2} f^2 - 2([\Omega] - \omega) \Omega f^2 + \varepsilon^{-2} f^4 \right\} = \\ \int_{\mathcal{A}} d\vec{r} \left\{ |\nabla f|^2 + B_{\omega}^2 f^2 - \Omega^2 r^2 f^2 + \varepsilon^{-2} f^4 \right\}. \end{aligned} \quad (4.4)$$

We recall that

$$B_\omega = \Omega r - ([\Omega] - \omega) r^{-1}.$$

The associated ground state energy is

$$\tilde{E}_\omega^{\text{gv}} := \inf_{f \in \tilde{\mathcal{G}}^{\text{GP}}} \tilde{\mathcal{E}}_\omega^{\text{gv}}[f] \quad (4.5)$$

and we denote g_ω any associated minimizer.

The TF-like functional obtained from (4.4) by dropping the kinetic term is denoted by $\tilde{\mathcal{E}}_\omega^{\text{TF}}$ (see (A.4)) and its minimization discussed in the Appendix.

Proposition 4.1 (Minimization of $\tilde{\mathcal{E}}_\omega^{\text{gv}}$).

If $\Omega \propto \varepsilon^{-2} |\log \varepsilon|^{-1}$ and $|\omega| \leq \mathcal{O}(\varepsilon^{-5/4} |\log \varepsilon|^{-3/4})$ as $\varepsilon \rightarrow 0$, then

$$E^{\text{TF}} \leq \tilde{E}_\omega^{\text{TF}} \leq \tilde{E}_\omega^{\text{gv}} \leq \tilde{E}_\omega^{\text{TF}} + \mathcal{O}(\varepsilon^{-5/2} |\log \varepsilon|^{-3/2}) \leq E^{\text{TF}} + \mathcal{O}(\varepsilon^{-5/2} |\log \varepsilon|^{-3/2}). \quad (4.6)$$

There exists a minimizer g_ω that is unique up to a sign, radial and can be chosen to be positive away from the boundary $\partial\mathcal{B}$. It solves inside \mathcal{A} the variational equation

$$-\Delta g_\omega + B_\omega^2 g_\omega - \Omega^2 r^2 g_\omega + 2\varepsilon^{-2} g_\omega^3 = \tilde{\mu}_\omega^{\text{gv}} g_\omega, \quad (4.7)$$

with boundary conditions $g_\omega(1) = 0$ and $g'_\omega(R_<) = 0$ and $\tilde{\mu}_\omega^{\text{gv}} = \tilde{E}_\omega^{\text{gv}} + \varepsilon^{-2} \|g_\omega\|_4^4$.

Moreover g_ω has a unique global maximum at \tilde{R}_m with $R_< < \tilde{R}_m < 1$.

Remark 4.1 (Composition of the energy)

Unlike the flat Neumann case, the remainder in the r.h.s. of (4.6) is of the same order even if the refined TF energy $\tilde{E}_\omega^{\text{TF}}$ is extracted. The reason is that such a remainder is actually due to the radial kinetic energy of the giant vortex density profile and in particular to Dirichlet boundary conditions.

In order to give some heuristics to explain such a difference with the flat Neumann case, it is indeed sufficient to note that, by the pointwise estimate (2.21), the density g_ω goes from its maximum value $\sim \varepsilon^{1/2} \Omega^{1/2} \sim \varepsilon^{-1/2} |\log \varepsilon|^{-1/2}$ to 0 in a region of width at most $\mathcal{O}(\varepsilon^{1/2} \Omega^{-1/2} |\log \varepsilon|^{3/2}) = \mathcal{O}(\varepsilon^{3/2} |\log \varepsilon|^2)$. This yields an estimate for the kinetic energy of g_ω in that region as $\mathcal{O}(\varepsilon^{-5/2} |\log \varepsilon|^{-3})$, i.e., approximately the same remainder as in (4.6), which is in any case much larger than the difference between the TF energies $\tilde{E}_\omega^{\text{TF}} - E^{\text{TF}}$ (see (A.5)).

Proof of Proposition 4.1.

The proof of Proposition 2.1 applies to the functional $\tilde{\mathcal{E}}_\omega^{\text{gv}}$ as well by noticing that

$$|B_\omega(r)| \leq \Omega \sup_{\tilde{r} \in \mathcal{A}} (r^{-1} - r) + C |\omega| \leq C (\varepsilon^{-1} + |\omega|), \quad (4.8)$$

which implies that the B_ω^2 term in the functional (see the second expression in (4.4)) is always smaller than the remainder in (2.2), provided $|\omega| \leq \mathcal{O}(\varepsilon^{-5/4} |\log \varepsilon|^{-3/4})$. The Neumann condition at the inner boundary of \mathcal{A} is a direct consequence of the assumption $f \in H^1(\mathcal{A})$. \square

Since the asymptotic behavior of the energy $\tilde{E}_\omega^{\text{gv}}$ is the same as that of \hat{E}^{GP} (see (2.2)) for any $|\omega| \leq \mathcal{O}(\varepsilon^{-5/4} |\log \varepsilon|^{-3/4})$, most of the estimates proven for the density profile g hold true for g_ω as well, provided the phase ω satisfies the estimate required in Proposition 4.1. We sum up such estimates in the following

Proposition 4.2 (Estimates for g_ω).

If $\Omega \sim \varepsilon^{-2} |\log \varepsilon|^{-1}$ and $|\omega| \leq \mathcal{O}(\varepsilon^{-5/4} |\log \varepsilon|^{-3/4})$ as $\varepsilon \rightarrow 0$,

$$\|g_\omega^2 - \rho^{\text{TF}}\|_{L^2(\mathcal{A})} \leq \mathcal{O}(\varepsilon^{-1/4} |\log \varepsilon|^{-3/4}), \quad (4.9)$$

$$g_\omega^2(\tilde{R}_m) = \|g_\omega\|_{L^\infty(\mathcal{A})}^2 \leq \|\rho^{\text{TF}}\|_{L^\infty(\mathcal{B})} \left(1 + \mathcal{O}(\varepsilon^{1/4} |\log \varepsilon|^{-1/4})\right). \quad (4.10)$$

Moreover for any $\vec{r} \in \mathcal{A}$ such that $R_h + \mathcal{O}(\varepsilon |\log \varepsilon|^{-1}) \leq r \leq \max[\tilde{R}_m, 1 - \varepsilon^{3/2} |\log \varepsilon|^2]$

$$|g_\omega^2(r) - \rho^{\text{TF}}(r)| \leq \mathcal{O}(\varepsilon^{-3/4} |\log \varepsilon|^{-5/4}) \leq \mathcal{O}(\varepsilon^{1/4} |\log \varepsilon|^{7/4}) \rho^{\text{TF}}(r) \ll \rho^{\text{TF}}(r), \quad (4.11)$$

and the maximum position $\tilde{R}_m(\omega)$ of g_ω satisfies the bounds

$$\tilde{R}_m(\omega) \geq 1 - \mathcal{O}(\varepsilon^{9/8} |\log \varepsilon|^{7/8}), \quad \|g_\omega\|_{L^2(\mathcal{B} \setminus \mathcal{B}(\tilde{R}_m))}^2 \leq \mathcal{O}(\varepsilon^{1/8} |\log \varepsilon|^{1/8}). \quad (4.12)$$

Finally for any \vec{r} such that $r \leq R_h - \mathcal{O}(\varepsilon^{7/6})$,

$$g_\omega^2(r) \leq C \varepsilon^{-1} |\log \varepsilon|^{-1} \exp\left\{-\frac{c}{\varepsilon^{1/6}}\right\}. \quad (4.13)$$

Proof. The results are proven exactly as the analogous statements contained in Propositions 2.3, 2.4, 2.5 and 2.6. \square

4.2 Energy Decoupling and Optimal Phases

The first step in the proof of the absence of vortices is a restriction of the GP energy to a subdomain of \mathcal{B} and its splitting in a suitable energy functional plus the giant vortex profile energy. More precisely we consider the annulus \mathcal{A} defined in (4.1) with an inner radius $R_< = R_h - \varepsilon^{8/7}$ suitably chosen in such a way that outside \mathcal{A} the estimates (3.33) and (4.13) yield the exponential smallness in ε of both Ψ^{GP} and the density profile g_ω .

We also recall the functional $\tilde{\mathcal{E}}_\omega^{\text{gv}}$ introduced in (4.4), which is going to give the energy of the giant vortex profile, and the reduced energy

$$\mathcal{E}_\omega[v] := \int_{\mathcal{A}} d\vec{r} g_\omega^2 \left\{ |\nabla v|^2 - 2\vec{B}_\omega \cdot (iv, \nabla v) + \varepsilon^{-2} g_\omega^2 (1 - |v|^2)^2 \right\}, \quad (4.14)$$

where

$$(iv, \nabla v) := \frac{1}{2} i (v \nabla v^* - v^* \nabla v). \quad (4.15)$$

Proposition 4.3 (Reduction to an annulus).

For any $\omega \in \mathbb{Z}$ such that $|\omega| \leq \mathcal{O}(\varepsilon^{-5/4} |\log \varepsilon|^{-3/4})$ and for ε sufficiently small

$$\tilde{E}_\omega^{\text{gv}} + \mathcal{E}_\omega[u_\omega] - \mathcal{O}(\varepsilon^\infty) \leq E^{\text{GP}} \leq \tilde{E}_\omega^{\text{gv}} + \mathcal{O}(\varepsilon^\infty), \quad (4.16)$$

where the function u_ω is defined in \mathcal{A} by the decomposition

$$\Psi^{\text{GP}}(\vec{r}) =: g_\omega(r) u_\omega(\vec{r}) \exp\{i([\Omega] - \omega)\vartheta\}. \quad (4.17)$$

Proof. As in [CRY, Proposition 5.4] the only ingredients for the proof of the above result are the exponential smallness (3.33) of Ψ^{GP} outside \mathcal{A} and the variational equation solved by g_ω . Note that the function u_ω is well defined away from the boundary $\partial\mathcal{B}$ where both Ψ^{GP} and g_ω vanish. \square

The idea behind the decomposition (4.17) is that, if the phase factor ω is chosen in a suitable way, the function u_ω obtained by the extraction from Ψ^{GP} of a density g and the giant vortex phase, i.e., the phase factor $\exp\{i([\Omega] - \omega)\vartheta\}$, contains basically no more vorticity and $|u_\omega| \sim 1$ in some region close to the boundary of the trap. The optimal giant vortex phase is determined by inspecting the dependence on ω of the energy $\tilde{E}_\omega^{\text{gv}}$, i.e., one needs to identify the ω_0 minimizing $\tilde{E}_\omega^{\text{gv}}$.

Proposition 4.4 (Properties of the optimal phase ω_0 and density g_{ω_0}).

For every $\varepsilon > 0$ there exists an $\omega_0 \in \mathbb{Z}$ minimizing E_{ω}^{gv} . Moreover one has

$$\omega_0 = \frac{2}{3\sqrt{\pi\varepsilon}}(1 + \mathcal{O}(|\log \varepsilon|^{-4})), \quad \int_{\mathcal{A}} d\vec{r} g_{\omega_0}^2 \left(\Omega - \frac{[\Omega] - \omega_0}{r^2} \right) = \mathcal{O}(1). \quad (4.18)$$

Proof. The existence of a minimizing $\omega_0 \in \mathbb{Z}$ can be deduced as in [CRY, Proposition 3.2] as well as the second estimate in (4.18).

The estimate of ω_0 is a straightforward consequence of the estimates⁴ on g_{ω_0} contained in Proposition 4.2, since one has (recall the definition of the annulus $\mathcal{A}_{\text{bulk}}$ in (1.30))

$$\begin{aligned} \Omega \int_{\mathcal{A}} d\vec{r} (r^{-2} - 1) g_{\omega_0}^2 &\leq \Omega \left(1 + \mathcal{O}(\varepsilon^{1/4} |\log \varepsilon|^{7/4}) \right) \int_{\mathcal{A}_{\text{bulk}}} d\vec{r} (r^{-2} - 1) \rho^{\text{TF}} + \mathcal{O}(\varepsilon^{-1}) \int_{\mathcal{A} \setminus \mathcal{A}_{\text{bulk}}} d\vec{r} g_{\omega_0}^2 \leq \\ &\Omega \left(1 + \mathcal{O}(\varepsilon^{1/4} |\log \varepsilon|^{7/4}) \right) \int_{\mathcal{A}^{\text{TF}}} d\vec{r} (r^{-2} - 1) \rho^{\text{TF}} + \mathcal{O}(\varepsilon^{-1} |\log \varepsilon|^{-4}), \end{aligned} \quad (4.19)$$

where we have used the fact that $|\mathcal{A} \setminus \mathcal{A}_{\text{bulk}}| \leq \mathcal{O}(\varepsilon |\log \varepsilon|^{-1})$ and the estimates (4.10) and (4.11), which also imply that

$$\sup_{\vec{r} \in \mathcal{A} \setminus \mathcal{A}_{\text{bulk}}} g_{\omega_0}^2(r) \leq \mathcal{O}(\varepsilon^{-1} |\log \varepsilon|^{-3}).$$

On the other hand since

$$\Omega \int_{\mathcal{A}^{\text{TF}}} d\vec{r} (r^{-2} - 1) \rho^{\text{TF}} = \frac{\pi \varepsilon^2 \Omega^3}{4} [1 - R_{\text{h}}^4 + 2R_{\text{h}}^2 \log R_{\text{h}}^{-2}] = \frac{2}{3\sqrt{\pi\varepsilon}}(1 + \mathcal{O}(\varepsilon |\log \varepsilon|)), \quad (4.20)$$

and

$$\int_{\mathcal{A}} d\vec{r} r^{-2} g_{\omega_0}^2 \geq R_{\text{h}}^{-2} (1 - \mathcal{O}(\varepsilon^{8/7})) \geq 1 - \mathcal{O}(\varepsilon |\log \varepsilon|),$$

the result easily follows. \square

The analogue in the whole ball \mathcal{B} is discussed in the following

Proposition 4.5 (Optimal phase ω_{opt}).

For every $\varepsilon > 0$ there exists an $\omega_{\text{opt}} \in \mathbb{N}$ fulfilling

$$\omega_{\text{opt}} = \frac{2}{3\sqrt{\pi\varepsilon}}(1 + \mathcal{O}(|\log \varepsilon|^{-4})) \quad (4.21)$$

which minimizes E_{ω}^{gv} , i.e.,

$$E^{\text{gv}} = E_{\omega_{\text{opt}}}^{\text{gv}}. \quad (4.22)$$

Proof. The existence of ω_{opt} can be proven as in Proposition 4.4 above. Moreover, as in [CRY, Proposition 3.2], it is not difficult to show that the following estimate

$$\int_{\mathcal{B}} d\vec{r} g_{\text{opt}}^2 \left(\Omega - \frac{[\Omega] - \omega_0}{r^2} \right) = \mathcal{O}(1), \quad (4.23)$$

holds true, where g_{opt} is the minimizing density associated with ω_{opt} .

In order to extract the same information as in the proof of Proposition 4.4 one needs however to restrict the above integration to a domain comparable to \mathcal{A} and this requires some further analysis of the properties

⁴Note that the second estimate in (4.18) allows to extract the simple bound $|\omega_0| \leq \mathcal{O}(\varepsilon^{-1})$ which guarantees that all the estimates proven in Section 4.1 apply to g_{ω_0} .

of g_{opt} .

Using a regularization of g_{ω_0} as a trial function for $\mathcal{E}_{\omega_{\text{opt}}}^{\text{gv}}$ and exploiting the exponential smallness (4.13) one can easily show that

$$E^{\text{gv}} = E_{\omega_{\text{opt}}}^{\text{gv}} \leq \tilde{E}_{\omega_0}^{\text{gv}} + \mathcal{O}(\varepsilon^\infty), \quad (4.24)$$

which guarantees that all the estimates proven in Proposition 4.2 apply also to g_{opt} . Hence one can use the exponential smallness of g_{opt} (see (4.13)) to estimate the integral inside $\mathcal{B} \setminus \mathcal{A}$, but this is not completely sufficient because the potential $\tilde{B}_{\omega_{\text{opt}}}$ contains a singular term at the origin $\sim r^{-2}$ and one needs an additional estimate showing that g_{opt} vanishes as $r \rightarrow 0$. This is proven in Lemma 4.1 below. By using (4.26) and the analogue of (4.13), one thus obtains from (4.23)

$$\int_{\mathcal{A}} d\vec{r} g_{\text{opt}}^2 \left(\Omega - \frac{[\Omega] - \omega_0}{r^2} \right) = \mathcal{O}(1), \quad (4.25)$$

which implies the result exactly as⁵ in the proof of Proposition 4.4. \square

Lemma 4.1 (Pointwise estimate of g_{opt} close to the origin).

The density g_{opt} minimizing the functional $\mathcal{E}_{\omega_{\text{opt}}}^{\text{gv}}$ defined in (1.35) satisfies the pointwise estimate

$$g_{\text{opt}}(r) \leq \|g_{\text{opt}}\|_{L^\infty(\mathcal{B})} (2r)^{[\Omega/2]}. \quad (4.26)$$

for any $0 \leq r \leq 1/2$.

Proof. The function $W(r) := \|g_{\text{opt}}\|_{\infty} (2r)^{[\Omega/2]}$ is a supersolution in $[0, 1/2]$ for the variational equation solved by g_{opt} , i.e.,

$$-\Delta g_{\text{opt}} + ([\Omega] - \omega_{\text{opt}})^2 r^{-2} g_{\text{opt}} - 2\Omega([\Omega] - \omega_{\text{opt}})g_{\text{opt}} + 2\varepsilon^{-2}g_{\text{opt}}^3 = \mu_{\text{opt}}g_{\text{opt}},$$

since

$$\begin{aligned} -\Delta W + ([\Omega] - \omega_{\text{opt}})^2 r^{-2} W - 2\Omega([\Omega] - \omega_{\text{opt}})W + 2\varepsilon^{-2}W^3 - \mu_{\text{opt}}W &\geq \\ \{ [([\Omega] - \omega_{\text{opt}})^2 - [\Omega/2]^2 - C\Omega] r^{-2} - 2\Omega([\Omega] - \omega_{\text{opt}}) - \mu_{\text{opt}} \} W(r) &\geq C\Omega W(r) \geq 0, \end{aligned}$$

where we have used the estimate $\mu_{\text{opt}} = -\Omega^2(1 - o(1))$ and the fact that we are in the interval $r \in [0, 1/2]$. Since at the boundary $\partial\mathcal{B}_{1/2}$ one has $g_{\text{opt}}(1/2) \leq \|g_{\text{opt}}\|_{\infty} = W(1/2)$, the maximum principle (see, e.g., [E]) guarantees that $g_{\text{opt}}(r) \leq W(r)$ and therefore the result. \square

4.3 Estimates of the Reduced Energies

The next crucial step in the proof of the absence of vortices is the lower bound for the reduced energy functional \mathcal{E}_{ω_0} and in the rest of this section we will focus on such a problem. Since the optimal phase ω_0 as well as the associated density g_{ω_0} can be fixed throughout the rest of the proof, we simplify the notation for the sake of clarity and set

$$\mathcal{E}_{\omega_0}[v] =: \mathcal{E}[v], \quad g_{\omega_0} =: g, \quad \tilde{R}_m(\omega_0) =: \tilde{R}_m, \quad \vec{B}_{\omega_0}(r) =: \vec{B}(r) = [\Omega r - ([\Omega] - \omega_0) r^{-1}] \vec{e}_\theta, \quad (4.27)$$

and

$$\mathcal{F}[v] := \int_{\mathcal{A}} d\vec{r} g^2 \left\{ |\nabla v|^2 + \varepsilon^{-2} g^2 (1 - |v|^2)^2 \right\}, \quad (4.28)$$

⁵Note that the other estimates of g_{opt} (analogous to those stated in Proposition 4.2) which are needed to complete the proof can be derived from the energy bound (4.24).

where $R_{<} := R_{\text{h}} - \varepsilon^{8/7}$ and (see (4.1))

$$\mathcal{A} := \{\vec{r} \in \mathcal{B} : r \geq R_{<}\}.$$

We also recall that $u := u_{\omega_0}$ is defined inside \mathcal{A} by

$$\Psi^{\text{GP}}(\vec{r}) := g(r)u(\vec{r}) \exp\{i([\Omega] - \omega_0)\vartheta\},$$

and the annulus $\mathcal{A}_{\text{bulk}}$ is (see (1.30))

$$\mathcal{A}_{\text{bulk}} := \left\{ \vec{r} \in \mathcal{B} : R_{>} \leq r \leq 1 - \varepsilon^{3/2} |\log \varepsilon|^2 \right\}$$

with $R_{>} := R_{\text{h}} + \varepsilon |\log \varepsilon|^{-1}$ (see (1.31)). Note that thanks to the pointwise estimate (4.11), we have the lower bound

$$g^2(r) \geq \frac{C}{\varepsilon |\log \varepsilon|^3} \text{ on } \mathcal{A}_{\text{bulk}}. \quad (4.29)$$

We can now state the main result in this section, which is going to be the crucial ingredient in the proof of the absence of vortices:

Proposition 4.6 (Bounds on the reduced energies).

If $\Omega = \Omega_0 \varepsilon^{-2} |\log \varepsilon|^{-1}$ with $\Omega_0 > 2(3\pi)^{-1}$, then for ε small enough

$$\mathcal{F}[u] \leq \mathcal{O}\left(\frac{|\log \varepsilon|^2}{\log |\log \varepsilon|^2}\right), \quad \mathcal{E}[u] \geq -\mathcal{O}\left(\frac{|\log \varepsilon|^2}{\log |\log \varepsilon|^2}\right). \quad (4.30)$$

The proof of the above results is quite involved and before the discussion of its details, which is postponed to Section 4.5, we are going to give a quick sketch of it together with the statement of several preliminary results.

The main trick in the estimate of the reduced energy is an integration by parts of the second term in (4.14), which is made possible by the introduction of a potential function $F(r)$ already considered in [CRY]. Such a function satisfies the key properties

$$\nabla^\perp F = 2g^2 \vec{B}, \quad F(R_{<}) = 0, \quad (4.31)$$

and it is explicitly given by

$$F(r) := 2 \int_{R_{<}}^r ds g^2(s) \left(\Omega s - ([\Omega] - \omega_0) \frac{1}{s} \right) = 2 \int_{R_{<}}^r ds g^2(s) \vec{B}(s) \cdot \vec{e}_\vartheta. \quad (4.32)$$

Other important properties of F are formulated in the next lemma and are basically straightforward consequences of (4.18) and the bound

$$|B(r)| \leq \mathcal{O}(\varepsilon^{-1}) \text{ on } \mathcal{A}, \quad (4.33)$$

which follows from the definition of \mathcal{A} .

Lemma 4.2 (Useful properties of F).

Let F be defined in (4.32). The following bounds hold true:

$$\|F\|_{L^\infty(\mathcal{A})} \leq \mathcal{O}(\varepsilon^{-1}), \quad \|\nabla F\|_{L^\infty(\mathcal{A})} \leq \mathcal{O}(\varepsilon^{-2} |\log \varepsilon|^{-1}). \quad (4.34)$$

Moreover one has the pointwise estimates

$$|F(1)| \leq \mathcal{O}(1), \quad |F(r)| \leq C \begin{cases} \varepsilon^{-1} |r - R_{<}| g^2(r), & \text{if } r \in [R_{<}, \tilde{R}_{\text{m}}], \\ 1 + \varepsilon^{-1} |1 - r| g^2(r), & \text{if } r \in [\tilde{R}_{\text{m}}, 1]. \end{cases} \quad (4.35)$$

Proof. Most of the proof follows from [CRY, Lemma 4.1]. The estimate (4.18) yields $|F(1)| \leq \mathcal{O}(1)$. The last inequality in (4.35) for $r \in [\tilde{R}_m, 1]$ is a consequence of this bound together with the identity

$$F(r) = F(1) - 2 \int_r^1 ds g^2(s) \vec{B}(s) \cdot \vec{e}_\vartheta,$$

and the fact that $g(r)$ is decreasing for $r \in [\tilde{R}_m, 1]$. \square

Due to the lack of control of the behavior of the function u at the boundary $\partial\mathcal{B}$, we need to use a suitable decomposition of F : An integration by parts (Stokes theorem) of the second term in (4.14) would indeed give

$$-2 \int_{\mathcal{A}} d\vec{r} g^2 \vec{B} \cdot (iu, \nabla u) = \int_{\mathcal{A}} d\vec{r} F(r) \operatorname{curl}(iu, \nabla u) - \int_{\partial\mathcal{B}} d\sigma F(1) (iu, \partial_\tau u), \quad (4.36)$$

and the last term in the expression above clearly depends on u at the boundary. While Neumann boundary conditions allow to extract some information about u on $\partial\mathcal{B}$ and in particular an upper estimate for that term, on the opposite, if Dirichlet conditions are imposed, u is not even well posed on $\partial\mathcal{B}$, since both Ψ^{GP} and g vanish there. A way out to avoid such a problem is the decomposition of F into a function vanishing on $\partial\mathcal{B}$ and another one whose gradient can be explicitly controlled: More precisely we set

$$F_{\text{out}}(r) := F(1) \left[\int_{R_<}^1 ds s^{-1} g^2(s) \right]^{-1} \int_{R_<}^r ds s^{-1} g^2(s), \quad (4.37)$$

so that

$$\nabla (g^{-2} \nabla F_{\text{out}}) = 0, \quad F_{\text{out}}(1) = F(1). \quad (4.38)$$

If we now define

$$F_{\text{in}}(r) := F(r) - F_{\text{out}}(r), \quad (4.39)$$

one can easily verify that

$$\nabla (g^{-2} \nabla F_{\text{in}}) = 2 \nabla \cdot B(r) \vec{e}_r, \quad F_{\text{in}}(1) = 0, \quad (4.40)$$

and, integrating by parts only the term involving F_{in} in (4.36) we obtain

$$-2 \int_{\mathcal{A}} d\vec{r} g^2 \vec{B} \cdot (iu, \nabla u) = - \int_{\mathcal{A}} d\vec{r} \nabla^\perp F_{\text{out}} \cdot (iu, \nabla u) + \int_{\mathcal{A}} d\vec{r} F_{\text{in}}(r) \operatorname{curl}(iu, \nabla u). \quad (4.41)$$

The energy $\mathcal{E}[u]$ can thus be rewritten as

$$\mathcal{E}[u] = \int_{\mathcal{A}} d\vec{r} \left\{ g^2 |\nabla u|^2 + F_{\text{in}}(r) \operatorname{curl}(iu, \nabla u) + \varepsilon^{-2} g^4 (1 - |u|^2)^2 \right\} - \int_{\mathcal{A}} d\vec{r} \nabla^\perp F_{\text{out}} \cdot (iu, \nabla u). \quad (4.42)$$

The first three terms above are the most important ones and their estimate is the key result in the proof of the absence of vortices. The last term on the other hand can be estimate separately and one can show that it yields only a smaller order correction.

More precisely the first two terms can be estimated in terms of the vorticity of u : As in [CRY], if we suppose that $|u| \sim 1$ except in some balls $\{\mathcal{B}(\bar{a}_j, t)\}_{j \in J}$, $J \subset \mathbb{N}$, whose radius t is much smaller than the width of $\mathcal{A}_{\text{bulk}}$, and we denote by d_j the degree of u around \bar{a}_j ,

$$\int_{\mathcal{A}} d\vec{r} F_{\text{in}}(r) \operatorname{curl}(iu, \nabla u) \simeq \sum_{j \in J} 2\pi F_{\text{in}}(a_j) d_j. \quad (4.43)$$

and, optimizing w.r.t. the radius t ,

$$\int_{\mathcal{A}} d\vec{r} g^2 |\nabla u|^2 \gtrsim \sum_{j \in J} 2\pi g^2(a_j) |d_j| \log \left(\frac{\varepsilon |\log \varepsilon|}{t} \right) \gtrsim \sum_{j \in J} \pi g^2(a_j) |d_j| |\log \varepsilon|. \quad (4.44)$$

Hence

$$\mathcal{E}[u] \gtrsim \sum_{j \in J} 2\pi |d_j| \left(\frac{1}{2} g^2(a_j) |\log \varepsilon| + F_{\text{in}}(a_j) \right), \quad (4.45)$$

and, if $\Omega_0 > 2(3\pi)^{-1}$, the sum between parenthesis is positive for any \vec{a}_j in the bulk (see Section A.2), which means that vortices become energetically unfavorable. Note that there is an important difference with the analysis contained in [CRY] since F is replaced in the expression above by F_{in} . This is basically the main effect of Dirichlet boundary conditions.

The starting point of the reduced energy estimate is given by the following preliminary upper bounds:

Lemma 4.3 (Preliminary energy bounds).

If $\Omega \sim \varepsilon^{-2} |\log \varepsilon|^{-1}$ as $\varepsilon \rightarrow 0$,

$$\mathcal{F}[u] \leq \mathcal{O}(\varepsilon^{-2}), \quad \mathcal{E}[u] \geq -\mathcal{O}(\varepsilon^{-2}). \quad (4.46)$$

Proof. See [CRY, Lemma 4.2]. □

4.4 Vortex Ball Construction and Jacobian Estimate

In order to construct families of balls containing all the vortices of u , we need to exploit some local energy bound on $\mathcal{F}[u]$. However the bounds (4.46) are not sufficient for our purposes, since they imply that the area of the set where u can possibly vanish is of order $\varepsilon^2 |\log \varepsilon|^2$, whereas the vortex balls method requires to cover it by balls whose radii are much smaller than the width of \mathcal{A} , which is $\mathcal{O}(\varepsilon |\log \varepsilon|)$.

As in [CRY] there is a way out to this obstruction in the localization of the energy bound (4.46), given by the decomposition of the domain into suitable good and bad cells:

Definition 4.1 (Good and bad cells).

We decompose \mathcal{A} into almost rectangular cells \mathcal{A}_n , $n \in \mathbb{N}$, of side length $\mathcal{O}(\varepsilon |\log \varepsilon|)$, given by

$$\mathcal{A}_n := \{ \vec{r} \in \mathcal{A} : \vartheta \in [n\theta, (n+1)\theta] \}, \quad (4.47)$$

where $\theta := 2\pi/N$ and $N \sim \varepsilon^{-1} |\log \varepsilon|^{-1}$ is the total number of cells. Let $0 \leq \alpha < \frac{1}{2}$ be a parameter to be fixed later on.

We say that \mathcal{A}_n is an α -good cell if

$$\int_{\mathcal{A}_n} d\vec{r} g^2 \left\{ |\nabla u|^2 + \varepsilon^{-2} g^2 (1 - |u|^2)^2 \right\} \leq \varepsilon^{-1-\alpha} |\log \varepsilon|, \quad (4.48)$$

whereas inside α -bad cells the (strict) inequality is reversed. We denote by N_α^{G} and N_α^{B} the numbers of α -good and bad cells and by GS_α and BS_α the sets covered by good and bad cells respectively.

By definition of bad cells, one has that (4.46) immediately implies

$$N_\alpha^{\text{B}} < \varepsilon^{1+\alpha} |\log \varepsilon|^{-1} \mathcal{F}[u] \leq C \varepsilon^{-1+\alpha} |\log \varepsilon|^{-1} \ll N, \quad (4.49)$$

i.e., there are very few α -bad cells. Note also that the final estimate (4.30) implies that there are actually no bad cells at all.

We can now construct the vortex balls inside good cells but, since the density has to be large enough, we need to restrict the analysis to the subdomain $\mathcal{A}_{\text{bulk}} \subset \mathcal{A}$ (see (1.30) for its definition):

Proposition 4.7 (Vortex ball construction inside good cells).

For any $0 \leq \alpha < \frac{1}{2}$ and ε small enough, there exists a finite collection $\{\mathcal{B}_i\}_{i \in I} := \{\mathcal{B}(\vec{a}_i, \varrho_i)\}_{i \in I}$ of disjoint balls with centers \vec{a}_i and radii ϱ_i such that

1. $\{\vec{r} \in GS_\alpha \cap \mathcal{A}_{\text{bulk}} : ||u| - 1| > |\log \varepsilon|^{-1}\} \subset \bigcup_{i \in I} \mathcal{B}_i$,
2. for any α -good cell \mathcal{A}_n , $\sum_{i, \mathcal{B}_i \cap \mathcal{A}_n \neq \emptyset} \varrho_i = \varepsilon |\log \varepsilon|^{-5}$.

Setting $d_i := \deg\{u, \partial \mathcal{B}_i\}$, if $\mathcal{B}_i \subset \mathcal{A}_{\text{bulk}} \cap GS_\alpha$, and $d_i = 0$ otherwise, we have the lower bounds

$$\int_{\mathcal{B}_i} d\vec{r} g^2 |\nabla u|^2 \geq 2\pi \left(\frac{1}{2} - \alpha\right) |d_i| g^2(a_i) |\log \varepsilon| \left(1 - C \frac{\log |\log \varepsilon|}{|\log \varepsilon|}\right). \quad (4.50)$$

Proof. See [CRY, Proposition 4.2]. □

Given a suitable family of disjoint balls as in the above proposition, one can prove that in the α -good set the vorticity measure of u will be close to a sum of Dirac masses, i.e.,

$$\text{curl}(iu, \nabla u) \simeq \sum_{i \in I} 2\pi d_i \delta(\vec{r} - \vec{a}_i),$$

where $\delta(\vec{r} - \vec{a}_i)$ stands for the Dirac delta centered at \vec{a}_i .

Proposition 4.8 (Jacobian estimate).

Let $0 \leq \alpha < \frac{1}{2}$ and ϕ be any piecewise- C^1 test function with compact support $\text{supp}(\phi) \subset \mathcal{A}_{\text{bulk}} \cap GS_\alpha$. Let also $\{\mathcal{B}_i\}_{i \in I} := \{\mathcal{B}(\vec{a}_i, \varrho_i)\}_{i \in I}$ be a disjoint collection of balls as in Proposition 4.7.

Then setting $d_i := \deg\{u, \partial \mathcal{B}_i\}$, if $\mathcal{B}_i \subset \mathcal{A}_{\text{bulk}} \cap GS_\alpha$, and $d_i = 0$ otherwise, one has

$$\left| \sum_{i \in I} 2\pi d_i \phi(\vec{a}_i) - \int_{GS_\alpha \cap \mathcal{A}_{\text{bulk}}} d\vec{r} \phi \text{curl}(iu, \nabla u) \right| \leq C \|\nabla \phi\|_{L^\infty(GS_\alpha)} \varepsilon^2 |\log \varepsilon|^{-2} \mathcal{F}[u]. \quad (4.51)$$

Proof. See [CRY, Proposition 4.3]. □

4.5 Completion of the Proofs

The main goal in this section is the proof of Proposition 4.6, which will lead to the proof of Theorem 1.4. As anticipated before, the first important step is an integration by parts of the second term in (4.14), but, since it has to be performed cell by cell, it generates boundary terms living on the frontiers between good and bad cells. Such terms are artificial, since the cell decomposition has no physical meaning, and we want to avoid having to estimate them.

As in [CRY] we introduce an azimuthal partition of unity to get rid of these terms (see also [CRY, Definition 4.2 and Eq. (4.69)]): We define a pleasant set PS_α as the set generated by good cells such that their neighbor cells are both good (pleasant cells), whereas the average set AS_α is made of good cells with exactly one good cell as neighbor (average cells). Finally the unpleasant set UPS_α contains all the remaining good and bad cells (unpleasant cells). Denoting by N_α^P , N_α^{AS} , N_α^{UP} the number of pleasant, average and unpleasant cells respectively, it is not difficult to see that

$$N_\alpha^{UP} \leq \frac{3}{2} N_\alpha^B \ll N, \quad N_\alpha^A \leq 2N_\alpha^B \ll N. \quad (4.52)$$

The partition of unity is given by two functions $\chi_{\text{in}}(\vartheta)$ and $\chi_{\text{out}}(\vartheta)$ such that $\chi_{\text{in}}(\vartheta) + \chi_{\text{out}}(\vartheta) = 1$ for any $\vartheta \in [0, 2\pi]$ and

$$\chi_{\text{out}}(\vartheta) := \begin{cases} 1, & \text{if } \vartheta \in UPS_\alpha, \\ 0, & \text{if } \vartheta \in PS_\alpha, \end{cases} \quad \chi_{\text{in}}(\vartheta) := \begin{cases} 1, & \text{if } \vartheta \in PS_\alpha, \\ 0, & \text{if } \vartheta \in UPS_\alpha, \end{cases} \quad (4.53)$$

Since both functions vary from 1 to 0 inside an average cell, one can always impose the bounds

$$|\nabla\chi_{\text{out}}| \leq \mathcal{O}(\varepsilon^{-1}|\log\varepsilon|^{-1}), \quad |\nabla\chi_{\text{in}}| \leq \mathcal{O}(\varepsilon^{-1}|\log\varepsilon|^{-1}), \quad (4.54)$$

because the side length of a cell is $\propto \varepsilon|\log\varepsilon|$.

In order to apply the jacobian estimate proven in Proposition 4.8 to the function $\phi = \chi_{\text{in}}F_{\text{in}}$, whose support is not contained in $\mathcal{A}_{\text{bulk}}$ but only in \mathcal{A} , we also need a radial partition of unity: We define two radii as (recall that $R_{>} = R_{\text{h}} + \varepsilon|\log\varepsilon|^{-1}$ as in (1.31))

$$R_{\text{cut}}^+ := 1 - \varepsilon|\log\varepsilon|^{-1}, \quad R_{\text{cut}}^- := R_{>} + \varepsilon|\log\varepsilon|^{-1}, \quad (4.55)$$

and two positive functions $\xi_{\text{in}}(r)$ and $\xi_{\text{out}}(r)$ satisfying $\xi_{\text{in}}(r) + \xi_{\text{out}}(r) = 1$ for any $\vec{r} \in \mathcal{A}$ and (recall (4.2), i.e., $R_{<} = R_{\text{h}} - \varepsilon^{7/6}$)

$$\xi_{\text{out}}(r) := \begin{cases} 1, & \text{if } r \in [R_{<}, R_{>}], \text{ or } [1 - \varepsilon^{3/2}|\log\varepsilon|^2, 1] \\ 0, & \text{if } r \in [R_{\text{cut}}^-, R_{\text{cut}}^+], \end{cases} \quad (4.56)$$

$$\xi_{\text{in}}(r) := \begin{cases} 1, & \text{if } r \in [R_{\text{cut}}^-, R_{\text{cut}}^+], \\ 0, & \text{if } r \in [R_{<}, R_{>}], \text{ or } [1 - \varepsilon^{3/2}|\log\varepsilon|^2, 1]. \end{cases} \quad (4.57)$$

Thanks to (4.55) we can also assume

$$|\nabla\xi_{\text{out}}| \leq \mathcal{O}(\varepsilon^{-1}|\log\varepsilon|), \quad |\nabla\xi_{\text{in}}| \leq \mathcal{O}(\varepsilon^{-1}|\log\varepsilon|). \quad (4.58)$$

We are now ready to prove the bound on the reduced energies:

Proof of Proposition 4.6.

For the sake of simplicity we denote by $\{\mathcal{B}_i\}_{i \in I} := \{\mathcal{B}(\vec{a}_i, \varrho_i)\}_{i \in I}$ a collection of disjoint balls as in Proposition 4.7, whereas the subset $J \subset I$ identifies balls such that $d_j \neq 0$.

The starting point is an integration by parts as in (4.42), i.e.,

$$\mathcal{E}[u] = \int_{\mathcal{A}} d\vec{r} \left\{ g^2 |\nabla u|^2 + F_{\text{in}}(r) \text{curl}(iu, \nabla u) + \varepsilon^{-2} g^4 (1 - |u|^2)^2 \right\} - \int_{\mathcal{A}} d\vec{r} \nabla^\perp F_{\text{out}} \cdot (iu, \nabla u). \quad (4.59)$$

The last term in the expression above is the easiest to bound: By using the explicit expression of F_{out} , one obtains

$$\left| \int_{\mathcal{A}} d\vec{r} \nabla^\perp F_{\text{out}} \cdot (iu, \nabla u) \right| \leq C \int_{\mathcal{A}} d\vec{r} g^2(r) |u| |\nabla u| \leq C \left(\delta \int_{\mathcal{A}} d\vec{r} g^2 |u|^2 + \delta^{-1} \int_{\mathcal{A}} d\vec{r} g^2 |\nabla u|^2 \right) \leq C(\delta + \delta^{-1} \mathcal{F}[u]) \leq C\mathcal{F}[u]^{1/2}, \quad (4.60)$$

where we have introduced a parameter δ and chosen $\delta = \mathcal{F}[u]^{1/2}$ (recall that $\mathcal{F}[u] \geq 0$).

The remaining term in (4.59) can be estimated exactly as in [CRY, Proof of Proposition 4.1], with only one difference due to the presence of F_{in} instead of F : Since by definition the former vanishes on $\partial\mathcal{B}$, we can get rid of all the boundary terms (see, e.g., [CRY, Eq. (4.86)]) and the final result is, for some parameters γ, δ that we fix below,

$$\begin{aligned} \int_{\mathcal{A}} d\vec{r} \left\{ g^2 |\nabla u|^2 + F_{\text{in}} \text{curl}(iu, \nabla u) \right\} \geq & \\ \sum_{j \in J} \xi_{\text{in}}(a_j) |d_j| \left[(1 - \gamma) \left(\frac{1}{2} - \alpha \right) g^2(a_j) |\log\varepsilon| \left(1 - C \frac{\log|\log\varepsilon|}{|\log\varepsilon|} \right) - |F_{\text{in}}(a_j)| \right] + & \\ (1 - \gamma) \int_{\mathcal{A}} d\vec{r} \xi_{\text{out}} g^2 |\nabla u|^2 - \int_{\mathcal{A}} d\vec{r} \xi_{\text{out}} |F_{\text{in}}(r)| |\nabla u|^2 + (\gamma - \delta) \int_{\mathcal{A}} d\vec{r} g^2 |\nabla u|^2 & \\ - \frac{C}{\delta \varepsilon^2} \int_{UPS_\alpha \cup AS_\alpha} d\vec{r} g^2 |u|^2 - C |\log\varepsilon|^{-1} \mathcal{F}[u]. & \quad (4.61) \end{aligned}$$

We can now choose the parameters α , δ and γ as follows:

$$\gamma = 2\delta = \frac{\log |\log \varepsilon|}{|\log \varepsilon|}, \quad \alpha = \tilde{\alpha} \frac{\log |\log \varepsilon|}{|\log \varepsilon|}, \quad (4.62)$$

where $\tilde{\alpha}$ is a large enough constant (see below).

Using the properties of the function $H(r) := \frac{1}{2}g^2 |\log \varepsilon| - |F_{\text{in}}|$ proven in Proposition A.1, we have for $\Omega_0 > (3\pi)^{-1}$

$$\frac{1}{2}g^2(a_j) |\log \varepsilon| - |F_{\text{in}}(a_j)| \geq C\varepsilon^{-1} |\log \varepsilon|^{-2}$$

for any $\vec{a}_j \in \mathcal{A}_{\text{bulk}}$, so that

$$(1 - \gamma) \left(\frac{1}{2} - \alpha \right) g^2(a_j) |\log \varepsilon| \left(1 - C \frac{\log |\log \varepsilon|}{|\log \varepsilon|} \right) - |F_{\text{in}}(a_j)| \geq \\ \frac{1}{2}g^2(a_j) |\log \varepsilon| - |F_{\text{in}}(a_j)| - Cg^2(a_j) \log |\log \varepsilon| \geq C\varepsilon^{-1} |\log \varepsilon|^{-2} \left(1 - \frac{C \log |\log \varepsilon|}{|\log \varepsilon|} \right) > 0, \quad (4.63)$$

where we have used (4.29).

On the other hand for any $\vec{r} \in \text{supp}(\xi_{\text{out}})$ either $|r - R_{<}| \leq C\varepsilon |\log \varepsilon|^{-1}$ or $|r - 1| \leq C\varepsilon |\log \varepsilon|^{-1}$, which by the bounds (4.35) imply that in the first case

$$|F_{\text{in}}(r)| \leq C (|\log \varepsilon|^{-1} g^2(r) + |F_{\text{out}}(r)|) \leq C (|\log \varepsilon|^{-1} g^2(r) + 1) \leq C |\log \varepsilon|^{-1} g^2(r), \quad (4.64)$$

thanks to (4.29), whereas in the second case

$$|F_{\text{in}}(r)| \leq |F(1) - F_{\text{out}}(r)| + 2 \int_r^1 ds |B(s)| g^2(s) \leq \\ |F(1)| \left(\int_{R_{<}}^1 ds s^{-1} g^2(s) \right)^{-1} \int_r^1 ds s^{-1} g^2(s) + C |\log \varepsilon|^{-1} g^2(r) \leq C |\log \varepsilon|^{-1} g^2(r). \quad (4.65)$$

Note that in this second case there is no need to assume that $r \geq R_{\text{m}}$ in order to use that g is decreasing in $[r, 1]$: By the bounds (4.10) and (4.11), for any $1 - \varepsilon |\log \varepsilon|^{-1} \leq r \leq 1$,

$$g^2(r) \geq (1 - o(1)) \rho^{\text{TF}} (1 - \varepsilon |\log \varepsilon|^{-1}) \geq (1 - o(1)) g^2(\tilde{R}_{\text{m}}),$$

so that we can always bound in the integrals $g^2(s)$ by $(1 + o(1))g^2(r)$.

In conclusion

$$|F_{\text{in}}(r)| \ll g^2(r), \quad (4.66)$$

for any $\vec{r} \in \text{supp}(\xi_{\text{out}})$ and thus

$$\int_{\mathcal{A}} d\vec{r} \xi_{\text{out}} [(1 - \gamma) g^2(r) - |F_{\text{in}}(r)|] |\nabla u|^2 \geq 0. \quad (4.67)$$

Finally we have from (4.61), (4.63) and (4.67)

$$\int_{\mathcal{A}} d\vec{r} \left\{ g^2 |\nabla u|^2 + F_{\text{in}}(r) \text{curl}(iu, \nabla u) \right\} \geq \\ C \frac{\log |\log \varepsilon|}{|\log \varepsilon|} \int_{\mathcal{A}} d\vec{r} g^2 |\nabla u|^2 - C \frac{|\log \varepsilon|}{\varepsilon^2 \log |\log \varepsilon|} \int_{UPS_\alpha \cup AS_\alpha} d\vec{r} g^2 |u|^2 - C |\log \varepsilon|^{-1} \mathcal{F}[u], \quad (4.68)$$

and adding

$$\int_{\mathcal{A}} d\vec{r} \frac{g^4}{\varepsilon^2} (1 - |u|^2)^2$$

to both sides of (4.68) and using (4.59) and (4.60), we get the lower bound

$$\mathcal{E}[u] \geq C \left\{ \frac{\log |\log \varepsilon|}{|\log \varepsilon|} \mathcal{F}[u] - \mathcal{F}[u]^{1/2} - \frac{|\log \varepsilon|}{\varepsilon^2 \log |\log \varepsilon|} \int_{UPS_\alpha \cup AS_\alpha} d\vec{r} g^2 |u|^2 \right\}, \quad (4.69)$$

valid for ε small enough and $\Omega_0 > (3\pi)^{-1}$. But $g^2|u|^2 = |\Psi^{\text{GP}}|^2 \leq C\varepsilon^{-1} |\log \varepsilon|^{-1}$, whereas the side length of a cell is $\mathcal{O}(\varepsilon |\log \varepsilon|)$, thus

$$\int_{UPS_\alpha \cup AS_\alpha} d\vec{r} g^2 |u|^2 \leq \frac{C |UPS_\alpha \cup AS_\alpha|}{\varepsilon |\log \varepsilon|} \leq C\varepsilon |\log \varepsilon| (N_\alpha^{\text{UP}} + N_\alpha^{\text{A}}) \leq C\varepsilon^{2+\alpha} \mathcal{F}[u], \quad (4.70)$$

by (4.49) and (4.52). Therefore (4.69) becomes

$$\mathcal{E}[u] \geq C \left\{ \frac{\log |\log \varepsilon|}{|\log \varepsilon|} \mathcal{F}[u] - \frac{|\log \varepsilon|}{\log |\log \varepsilon|} \varepsilon^\alpha \mathcal{F}[u] - \mathcal{F}[u]^{1/2} \right\}. \quad (4.71)$$

Recalling the choice of α in (4.62), we now take a constant $\tilde{\alpha} > 2$, so that

$$\frac{|\log \varepsilon|}{\log |\log \varepsilon|} \varepsilon^\alpha = \frac{|\log \varepsilon|^{1-\tilde{\alpha}}}{\log |\log \varepsilon|} \ll \frac{\log |\log \varepsilon|}{|\log \varepsilon|}$$

and

$$\mathcal{O}(\varepsilon^\infty) \geq \mathcal{E}[u] \geq \left(\frac{\log |\log \varepsilon|}{|\log \varepsilon|} \mathcal{F}[u] - \mathcal{F}[u]^{1/2} \right) \quad (4.72)$$

which yields both results. \square

The proof of the energy asymptotics is essentially a corollary of the reduced energy estimates together with the discussion contained in Section 4.1:

Proof of Theorem 1.4.

By (4.16) and (4.30)

$$E^{\text{GP}} \geq \tilde{E}_{\omega_0}^{\text{gv}} + \mathcal{E}[u] - \mathcal{O}(\varepsilon^\infty) \geq \tilde{E}_{\omega_0}^{\text{gv}} - C(\log |\log \varepsilon|)^{-2} |\log \varepsilon|^2,$$

but one can easily show that $\tilde{E}_{\omega_0}^{\text{gv}} \geq E_{\omega_0}^{\text{gv}} - \mathcal{O}(\varepsilon^\infty)$ by simply testing the functional $\mathcal{E}_{\omega_0}^{\text{gv}}$ on a suitable regularization of g_{ω_0} and thus

$$E^{\text{GP}} \geq E_{\omega_0}^{\text{gv}} - C(\log |\log \varepsilon|)^{-2} |\log \varepsilon|^2 \geq E^{\text{gv}} - C(\log |\log \varepsilon|)^{-2} |\log \varepsilon|^2,$$

which concludes the lower bound proof.

The upper bound (1.38) is trivially obtained by testing the GP functional on a giant vortex function with phase $[\Omega] - \omega_{\text{opt}}$ (see Proposition 4.5). \square

Using the equations satisfied by Ψ^{GP} and g , one can derive an equation satisfied by u :

$$-\nabla(g^2 \nabla u) - 2ig^2 \vec{B} \cdot \nabla u + 2\frac{g^4}{\varepsilon^2} (|u|^2 - 1)u = \lambda g^2 u$$

where $\lambda = \mu^{\text{GP}} - \tilde{\mu}_{\omega_0}^{\text{gv}}$. A useful estimate on the gradient of u follows from this equation and allows to conclude the proof of Theorem 1.3. We state the estimate for convenience and refer to [CRY, Lemma 5.1] for its proof.

Lemma 4.4 (Estimate for the gradient of u).

Recall the definition of u in (4.17). There is a finite constant C such that

$$\|\nabla u\|_{L^\infty(\mathcal{A}_{\text{bulk}})} \leq C \frac{|\log \varepsilon|^{3/2}}{\varepsilon^{3/2}}. \quad (4.73)$$

We now complete the

Proof of Theorem 1.3. Suppose that at some point $\vec{r}_0 \in \mathcal{A}_{\text{bulk}}$ we have

$$||u(\vec{r}_0)| - 1| \geq \varepsilon^{1/4} |\log \varepsilon|^3.$$

Then, using (4.73), there is a constant C such that, for any $\vec{r} \in \mathcal{B}(\vec{r}_0, C\varepsilon^{7/4} |\log \varepsilon|^{3/2})$, we have

$$||u(\vec{r})| - 1| \geq \frac{1}{2} \varepsilon^{1/4} |\log \varepsilon|^3.$$

This implies (recall (4.29))

$$\int_{\mathcal{B}(\vec{r}_0, C\varepsilon^{7/4} |\log \varepsilon|^{3/2})} d\vec{r} \frac{g^4}{\varepsilon^2} (1 - |u|^2)^2 \geq C |\log \varepsilon|^3,$$

and thus

$$\mathcal{F}[u] \geq C |\log \varepsilon|^3, \quad (4.74)$$

which is a contradiction with (4.6).

We have thus proven that

$$||\Psi^{\text{GP}}|^2 - g^2| \leq g^2 ||u|^2 - 1| \leq C \frac{|\log \varepsilon|^2}{\varepsilon^{3/4}} \quad (4.75)$$

on $\mathcal{A}_{\text{bulk}}$. The result then follows by combining (4.11) and (4.75). \square

Theorem 1.5 follows as a corollary:

Proof of Theorem 1.5.

Given any $R_{>} \leq r \leq 1 - \varepsilon^{3/2} |\log \varepsilon|^2$, Theorem 1.3 guarantees that $\deg\{u, \partial\mathcal{B}_r\}$ is well defined and independent of r . Moreover one has

$$2\pi |\deg\{u, \partial\mathcal{B}_r\}| \leq \int_{\partial\mathcal{B}_r} ds |u|^{-1} |\partial_\tau u| \leq C \int_{\partial\mathcal{B}_r} ds |\partial_\tau u|,$$

because u is bounded below in $\mathcal{A}_{\text{bulk}}$ as a consequence of the proof of Theorem 1.3. Now integrating in r from $R_{>}$ to $1 - \varepsilon^{3/2} |\log \varepsilon|^2$ both sides of the above expression and using the fact that the degree is independent of r because u has no vortices, we obtain

$$2\pi |\deg\{u, \partial\mathcal{B}_r\}| \leq C\varepsilon^{-1} |\log \varepsilon|^{-1} \int_{\mathcal{A}_{\text{bulk}}} d\vec{r} |\nabla u| \leq C\varepsilon^{-1} |\log \varepsilon|^{-1} |\mathcal{A}_{\text{bulk}}|^{1/2} \|\nabla u\|_{L^2(\mathcal{A}_{\text{bulk}})}, \quad (4.76)$$

where we have used Cauchy-Schwarz inequality and the fact that $|\mathcal{A}_{\text{bulk}}| = 2\pi(1 - \varepsilon^{3/2} |\log \varepsilon|^2 - R_{>}) = \mathcal{O}(\varepsilon |\log \varepsilon|)$. On the other hand, (4.29) and (4.6) imply

$$\|\nabla u\|_{L^2(\mathcal{A}_{\text{bulk}})} \leq C\varepsilon^{1/2} |\log \varepsilon|^{5/2}.$$

We conclude

$$2\pi |\deg\{u, \partial\mathcal{B}_r\}| \leq C |\log \varepsilon|^2$$

and final result is thus a simple consequence of the definition (4.17). \square

5 Rotational Symmetry Breaking

We first introduce some notation that will be used in the proof of Theorem 1.6: The result stated there is equivalent to prove that no GP minimizer is a symmetric vortex, i.e., a wave function of the form $f(r) \exp\{in\vartheta\}$, $n \in \mathbb{Z}$. We therefore denote by E_n the energy obtained by minimizing the GP functional on symmetric vortices, i.e.,

$$E_n := \inf_{f \in \mathcal{D}^{\text{GP}}} \mathcal{E}^{\text{GP}} [f(r) \exp\{in\vartheta\}] = \mathcal{E}^{\text{GP}} [f_n(r) \exp\{in\vartheta\}], \quad (5.1)$$

where $f_n(r)$ is the unique real minimizer.

We also define $\bar{n} \in \mathbb{N}$ through $\min_{n \in \mathbb{Z}} E_n =: E_{\bar{n}}$: Note that a minimizing \bar{n} certainly exists for any ε thanks to the convexity in n of the functional. However such a minimizer needs not be unique because of some accidental degeneracy (there are at most 2 minimizers), which can be removed by a infinitesimal change of ε .

The next lemma contains several useful properties of $f_{\bar{n}}$:

Lemma 5.1 (Symmetric vortex minimizer).

For any $\varepsilon > 0$ and $\Omega \gg \varepsilon^{-1}$, there exists some $\bar{n} \in \mathbb{Z}$ minimizing $E_{\bar{n}}$ and it satisfies the estimate $\bar{n} = \Omega(1 + \mathcal{O}(\varepsilon^{-1}\Omega^{-1}))$.

The associated minimizer $f_{\bar{n}}(r)$ is unique and, up to multiplication by a constant phase factor, it is given by a positive radial function vanishing only at $r = 0$ and $r = 1$. Moreover it has a unique maximum at some point $0 < R_* < 1$ and satisfies the L^2 estimate $\|f_{\bar{n}}\|_{L^2(\mathcal{B} \setminus \mathcal{B}_{R_*})} = o(1)$.

Proof. We first notice that by setting $\bar{n} =: [\Omega] - \omega$ for some $\omega \in \mathbb{Z}$, one can easily recover the coupled minimization problem studied in Proposition 4.5 (see also Proposition 4.4) for some different angular velocity Ω . It is very easy to realize that the existence of a minimizing ω (and thus \bar{n}) as well as the estimate $\omega = \mathcal{O}(\varepsilon^{-1})$ can be deduced in the same way as in Proposition 4.5.

On the other hand for any given $n \in \mathbb{Z}$ the uniqueness and positivity of the minimizer $f_n(r)$ can be deduced by standard arguments, whereas the existence of a unique maximum at some point $0 < R_* < 1$ can be proven by a rearrangement argument as in Proposition 2.2 by noticing that the potential $\bar{n}^2 r^{-2}$ is strictly decreasing.

In order to prove the L^2 estimate, we first notice that the fact that $\bar{n} = \Omega(1 - \mathcal{O}(\varepsilon^{-1}\Omega^{-1}))$ implies the upper bound

$$E_{\bar{n}} \leq E^{\text{TF}} + \mathcal{O}(\varepsilon^{-2}) + \mathcal{O}(\varepsilon^{1/2}\Omega^{3/2}), \quad (5.2)$$

which is a consequence of the pointwise estimates (2.5) and (4.26) together with the bound $|B_{[\Omega] - \bar{n}}(r)| \leq \mathcal{O}(\varepsilon^{-1}) + \mathcal{O}([\Omega] - \bar{n})$ for any $\vec{r} \in \mathcal{A}$. As in (2.19) the above estimate yields

$$|\mu_{\bar{n}} - \mu^{\text{TF}}| \leq \mathcal{O}(\varepsilon^{-3/2}\Omega^{1/2}) + \mathcal{O}(\varepsilon^{-1/4}\Omega^{5/4}). \quad (5.3)$$

We can thus repeat the proof of the pointwise estimate (2.21) and the final result is

$$|g^2(r) - \rho^{\text{TF}}(r)| \leq \mathcal{O}(\varepsilon^{1/2}\Omega^{1/2}) + \mathcal{O}(\varepsilon^{7/4}\Omega^{5/4}),$$

for any $R_h^2 + \varepsilon^{-1}\Omega^{-1} |\log \varepsilon|^{-1} \leq r^2 \leq 1 - \varepsilon^{1/2}\Omega^{-1/2} |\log \varepsilon|^{3/2}$. The argument described in Remark 2 therefore gives

$$R_*^2 \geq 1 - o(\varepsilon^{-1}\Omega^{-1}), \quad (5.4)$$

which in addition to $f_{\bar{n}}^2 \leq \mathcal{O}(\varepsilon\Omega)$ implies the result. \square

The main tool in the proof of the breaking of the rotational symmetry is the investigation of the second variation of the GP energy functional evaluated at some local minimizer: Given some Ψ solving the variational equation

$$-\Delta \Psi - 2\vec{\Omega} \cdot \vec{L} \Psi + 2\varepsilon^{-2} |\Psi|^2 \Psi = \mu_{\Psi} \Psi, \quad (5.5)$$

where $\mu_\Psi := \mathcal{E}^{\text{GP}}[\Psi] + \varepsilon^{-2} \|\Psi\|_4^4$, and some perturbation $\Xi(\vec{r}) \in H_0^1(\mathcal{B})$, one has $\mathcal{E}^{\text{GP}}[\Psi + \varepsilon\Xi] = \mathcal{E}^{\text{GP}}[\Psi] + \varepsilon^2 \mathcal{Q}_\Psi[\Xi] + \mathcal{O}(\varepsilon^3)$, where

$$\mathcal{Q}_\Psi[\Xi] := \int_{\mathcal{B}} d\vec{r} \left\{ |\nabla\Xi|^2 - 2\Xi^* \vec{\Omega} \cdot \vec{L}\Xi + 4\varepsilon^{-2} |\Psi|^2 |\Xi|^2 - \mu_\Psi |\Xi|^2 \right\} + 2\varepsilon^{-2} \Re \int_{\mathcal{B}} d\vec{r} (\Psi^*)^2 \Xi^2. \quad (5.6)$$

By definition, if there exists some $\Xi \in H_0^1(\mathcal{B})$ such that $\mathcal{Q}_\Psi[\Xi] < 0$, the associated local minimizer Ψ is *globally unstable* and in particular can not be a global minimizer of the GP functional.

Proof of Theorem 1.6.

Assuming that the GP minimizer was given by a symmetric vortex $f_{\bar{n}}(r) \exp\{i\bar{n}\vartheta\}$ for some \bar{n} , we explicitly exhibit a trial function $\Xi(\vec{r})$ such that the quadratic form $\mathcal{Q}_\Psi[\Xi]$ evaluated at $\Psi(\vec{r}) = f_{\bar{n}}(r) \exp\{i\bar{n}\vartheta\}$ is negative (for simplicity we denote it by $\mathcal{Q}_{\bar{n}}$), which yields a contradiction with the assumption that the symmetric vortex is a global minimizer.

For any $d > 1$ we set

$$\Xi(\vec{r}) := (A(r) + B(r)) e^{i(n+d)\vartheta} + (A(r) - B(r)) e^{i(n-d)\vartheta}, \quad (5.7)$$

with

$$A(r) := \begin{cases} r^{d+1} f'_{\bar{n}}(r), & \text{if } 0 \leq r \leq R_*, \\ 0, & \text{if } R_* \leq r \leq 1, \end{cases} \quad B(r) := \begin{cases} \bar{n} r^d f_{\bar{n}}(r), & \text{if } 0 \leq r \leq R_*, \\ \bar{n} R_*^d f_{\bar{n}}(r), & \text{if } R_* \leq r \leq 1. \end{cases} \quad (5.8)$$

A trial function of this form was first introduced in [Seir, Theorem 2] to prove symmetry breaking for a special class of trapping potential but here we replace in the original definition [Seir, Eq. (2.30)] d with $-d$. Moreover in order to satisfy the condition $\Xi \in H_0^1(\mathcal{B})$, we have modified the function A setting it equal to 0 for $r \geq R_*$. Note that the function certainly belongs to $H^1(\mathcal{B})$ since $f_{\bar{n}}$ is differentiable and $A(r) + B(r) \sim r^{\bar{n}+d}$ as $r \rightarrow 0$, but $B \notin H_0^1(\mathcal{B})$ because of the singularity in the derivative at $r = R_*$.

We can simply borrow the explicit computations from [Seir, Eqs. (2.31) and (2.33)] (recall that in our case there is no external potential, A vanishes for $r \geq R_*$, d has to be replaced with $-d$ and Ω with 2Ω) and, denoting by $\mu_{\bar{n}}$ the chemical potential associated with $f_{\bar{n}}$, we obtain

$$\mathcal{Q}_{\bar{n}}[\Xi] = 8\pi \int_0^{R_*} dr r^{2d+2} f_{\bar{n}}(r) f'_{\bar{n}}(r) \left\{ (d+1)\mu_{\bar{n}} - \frac{2(d+1)}{\varepsilon^2} f_{\bar{n}}^2 + 2\Omega\bar{n} \right\} + 4\pi n^2 d^2 \int_{R_*}^1 dr \frac{R_*^{2d}}{r} f_{\bar{n}}^2(r). \quad (5.9)$$

Using the estimate (5.3), one immediately obtains that $\mu^{\text{GP}} = \mu_{\bar{n}} = -\Omega^2(1 - o(1))$. We can thus estimate the quantity between brackets in the first term in (5.9) as

$$(d+1)\mu_{\bar{n}} - 2\varepsilon^{-2}(d+1)f_{\bar{n}}^2 + 2\Omega\bar{n} \leq -\Omega^2(d-1 - o(1)) \quad (5.10)$$

which implies the bound

$$\begin{aligned} 8\pi \int_0^{R_*} dr r^{2d+2} f_{\bar{n}}(r) f'_{\bar{n}}(r) \left\{ (d+1)\mu_{\bar{n}} - 2\varepsilon^{-2}(d+1)f_{\bar{n}}^2 + 2\Omega\bar{n} \right\} \leq \\ - 8\pi\Omega^2(d-1 - o(1)) \int_0^{R_*} dr r^{2d+2} f_{\bar{n}}(r) f'_{\bar{n}}(r) \leq \\ - 4\pi\Omega^2(d-1 - o(1)) \left[R_*^{2d+2} f_{\bar{n}}^2(R_*) - (2d+2)R_*^{2d} \int_0^{R_*} dr r f_{\bar{n}}^2(r) \right] \leq \\ - 4\pi\Omega^2(d-1 - o(1)) R_*^{2d+2} f_{\bar{n}}^2(R_*) (1 - Cd\varepsilon^{-1}\Omega^{-1}), \end{aligned} \quad (5.11)$$

where we have used the fact that f is increasing between 0 and R_* and the lower bound (5.4).

On the other hand the last term in (5.9) can be bounded as

$$4\pi n^2 d^2 R_*^{2d} \int_{R_*}^1 dr r^{-1} f_{\bar{n}}^2(r) \leq 2n^2 d^2 R_*^{2d-2} \|f_{\bar{n}}\|_{L^2(\mathcal{B} \setminus \mathcal{B}_{R_*})}^2 \leq o(1) R_*^{2d-2} \Omega^2 d^2. \quad (5.12)$$

Hence (5.11) and (5.12) yield

$$\mathcal{Q}_{\bar{n}}[\Xi] \leq -4\pi d\Omega^2 R_*^{2d+2} f_{\bar{n}}^2(R_*) (1 - d^{-1} - o(1) - C\varepsilon^{-1}\Omega^{-1}d) < 0$$

for any finite $d \geq 2$ and ε small enough. \square

Remark 5.1 (Flat Neumann case)

The above proof applies with minor modifications to the case of the bounded trap \mathcal{B} with Neumann conditions at the boundary $\partial\mathcal{B}$: It is indeed sufficient to make the replacements in the trial function Ξ

$$A(r) = r^{d+1} f_{\bar{n}}'(r), \quad B(r) = \bar{n}r^d f_{\bar{n}}(r),$$

for any $\vec{r} \in \mathcal{B}$ and compute

$$\mathcal{Q}_{\bar{n}}[\Xi] = 8\pi \int_0^{R_*} dr r^{2d+2} f_{\bar{n}}(r) f_{\bar{n}}'(r) \{(d+1)\mu_{\bar{n}} - 2(d+1)\varepsilon^{-2} f_{\bar{n}}^2 + 2\Omega\bar{n}\}.$$

Now since $f_{\bar{n}}$ is increasing in the Neumann case, $f_{\bar{n}}^2 \leq \mathcal{O}(\varepsilon\Omega)$ and $\mu_{\bar{n}} = -\Omega^2(1 - o(1))$, the quadratic form can be made negative for d large enough.

Appendix A

In this Appendix we discuss some useful properties of the TF-like functionals involved in the analysis as well as the critical angular velocities.

A.1 The Thomas-Fermi Functionals

The minimization of the TF functional introduced in (1.17) has already been discussed in other papers (see, e.g., [CY, Appendix] or [CRY, Appendix A]), so we only sum up here the main results: The minimizer among positive functions is unique and explicitly given by

$$\rho^{\text{TF}}(r) := \frac{1}{2} [\varepsilon^2 \mu^{\text{TF}} + \varepsilon^2 \Omega^2 r^2]_+, \quad (\text{A.1})$$

where $[\cdot]_+$ stands for the positive part and $\mu^{\text{TF}} := E^{\text{TF}} + \varepsilon^{-2} \|\rho^{\text{TF}}\|_2^2$. If $\Omega \geq 2(\sqrt{\pi\varepsilon})^{-1}$, the chemical potential is given by $\mu^{\text{TF}} = -\Omega^2 R_{\text{h}}^2$ with

$$R_{\text{h}} := \sqrt{1 - \frac{2}{\sqrt{\pi\varepsilon}\Omega}}, \quad (\text{A.2})$$

and the TF minimizer can be rewritten as $\rho^{\text{TF}}(r) = \frac{1}{2}\varepsilon^2\Omega^2 [r^2 - R_{\text{h}}^2]_+$, which makes explicit the fact that it vanishes for $r \leq R_{\text{h}}$.

The corresponding ground state energy can be explicitly evaluated and is given by

$$E^{\text{TF}} = \begin{cases} \frac{1}{\pi}\varepsilon^{-2} - \frac{1}{2}\Omega^2 - \frac{1}{48}\pi\varepsilon^2\Omega^4, & \text{if } \Omega \leq \Omega_{c_2}, \\ -\Omega^2 [1 - 4/(3\sqrt{\pi})\Omega], & \text{if } \Omega > \Omega_{c_2}. \end{cases} \quad (\text{A.3})$$

Note that above the second critical velocity, the annulus $\mathcal{A}^{\text{TF}} := \text{supp}(\rho^{\text{TF}})$ has a shrinking width of order $\varepsilon|\log\varepsilon|$ (see (A.2)) and the leading order term in the ground state energy asymptotics is $-\Omega^2$, which is due to the convergence of ρ^{TF} to a distribution supported at the boundary of the trap.

In the giant vortex regime another TF-like functional becomes more relevant, i.e.,

$$\tilde{\mathcal{E}}_\omega^{\text{TF}}[\rho] := \int_{\mathcal{B}} d\vec{r} \{ -\Omega^2 r^2 \rho + B_\omega^2(r) \rho + \varepsilon^{-2} \rho^2 \} = \int_{\mathcal{B}} d\vec{r} \{ ([\Omega] - \omega)^2 r^{-2} \rho + \varepsilon^{-2} \rho^2 \} - 2\Omega[\Omega - \omega], \quad (\text{A.4})$$

where the potential \vec{B}_ω is defined in (1.36), $\omega \in \mathbb{Z}$ and we have used the normalization in $L^1(\mathcal{B})$ of the density in the last term. The minimization of such a functional was studied in details in [CRY, Appendix A] and we recall here only the most important fact, i.e., the ground state energy \tilde{E}^{TF} satisfies the estimate

$$\tilde{E}_\omega^{\text{TF}} = E^{\text{TF}} + \left[\omega - \frac{2}{3\sqrt{\pi}\varepsilon} \right]^2 + \frac{2}{9\pi\varepsilon^2} + \mathcal{O}(\varepsilon^{-2} |\log \varepsilon|^{-1}), \quad (\text{A.5})$$

which suggests that it is minimized by a phase $\omega^{\text{TF}} := 2(3\sqrt{\pi}\varepsilon)^{-1}$.

A.2 The Third Critical Angular Velocity Ω_{c_3}

In this last part of the Appendix we state the estimate of the critical velocity Ω_{c_3} , which is defined as the angular velocity at which vortices disappear from the bulk of the condensate. To estimate this velocity we need to compare the vortex energy cost $\frac{1}{2}g_{\omega_0}^2(r)|\log \varepsilon|$ with the vortex energy gain $|F_{\text{in}}(r)|$ (see (4.39), (4.32) and (4.37)). In [CRY, Appendix] a similar comparison is performed when the density $g_{\omega_0}^2$ is replaced by ρ^{TF} and it is shown that, if $\Omega > \Omega_{c_3}$ in the sense that $\Omega = \Omega_0 \varepsilon^{-2} |\log \varepsilon|^{-1}$ with $\Omega > 2(3\pi)^{-1}$, then the function

$$H^{\text{TF}}(r) := \frac{1}{2} |\log \varepsilon| \rho^{\text{TF}}(r) - |F^{\text{TF}}(r)|, \quad (\text{A.6})$$

where

$$F^{\text{TF}}(r) := 2 \int_{R_h}^r ds \vec{B}_{\omega^{\text{TF}}}(s) \cdot \vec{e}_\theta \rho^{\text{TF}}(s), \quad (\text{A.7})$$

satisfies the lower bound

$$H^{\text{TF}}(r) \geq C\varepsilon^{-1} |\log \varepsilon|^{-2} > 0 \quad (\text{A.8})$$

for any \vec{r} such that $r \geq R_{>} = R_h + \varepsilon |\log \varepsilon|^{-1}$.

The analogous result for the original function

$$H(r) := \frac{1}{2} g_{\omega_0}^2(r) |\log \varepsilon| - |F_{\text{in}}(r)|, \quad (\text{A.9})$$

is proven in the following

Proposition A.1 (Third critical velocity Ω_{c_3}).

If $\Omega_0 > 2(3\pi)^{-1}$ and ε is small enough, there exists a finite constant C such that

$$H(r) \geq C\varepsilon^{-1} |\log \varepsilon|^{-2} > 0$$

for any \vec{r} such that $r \geq R_{>} = R_h + \varepsilon |\log \varepsilon|^{-1}$.

Proof. The result can be proven in the same way as [CRY, Proposition A.2] by noticing that $|F_{\text{out}}| \leq |F(1)| \leq \mathcal{O}(1)$ and using such an estimate to replace $F_{\text{in}}(r)$ with $F(r)$ in $H(r)$. \square

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